

# SMART Systems (Vorlesung: KI & XPS)

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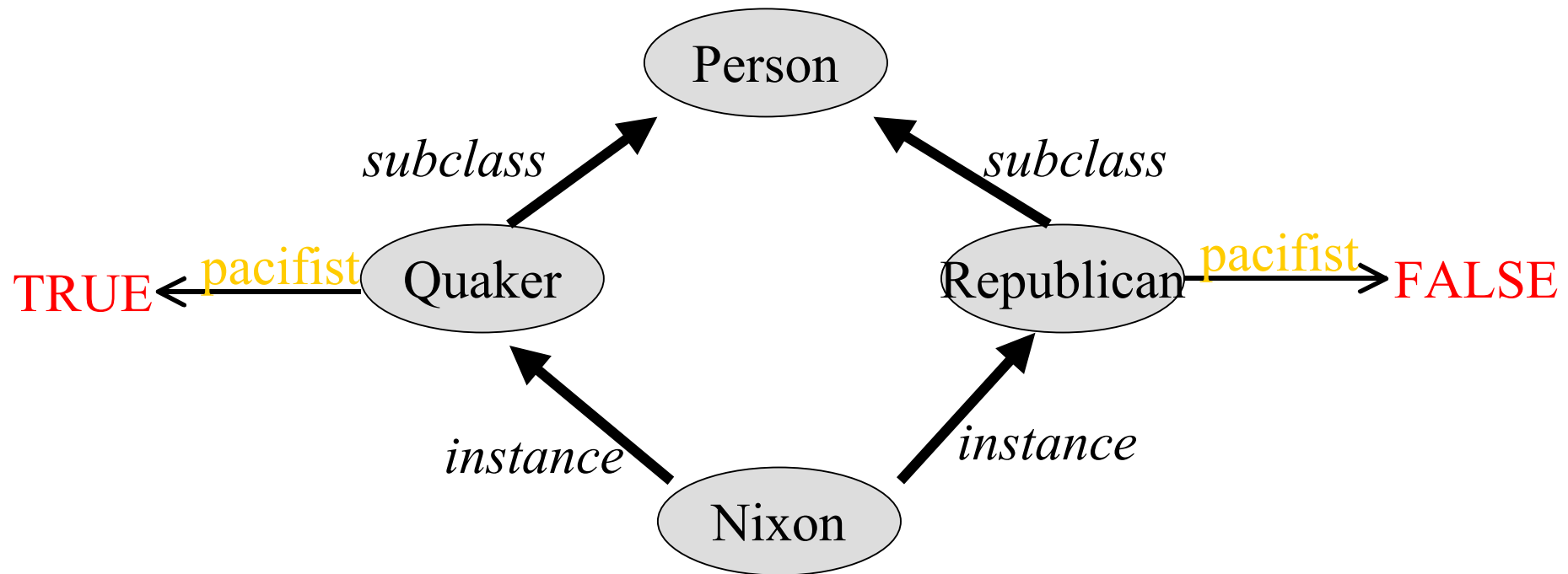
Ralf Möller, Univ. of Applied Sciences, FH-Wedel

- Beim vorigen Mal:
  - Handlungsplanung
- Inhalt heute:
  - Annahmen-basiertes Schließen
- Lernziele:
  - Default-Schließen und Abduktion
  - Anwendungsbereiche

# Nixon Diamond

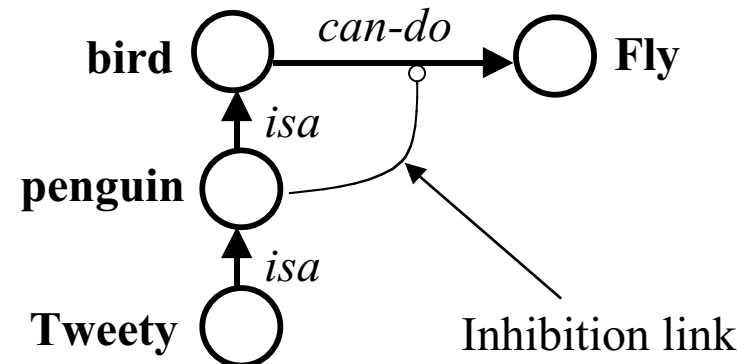
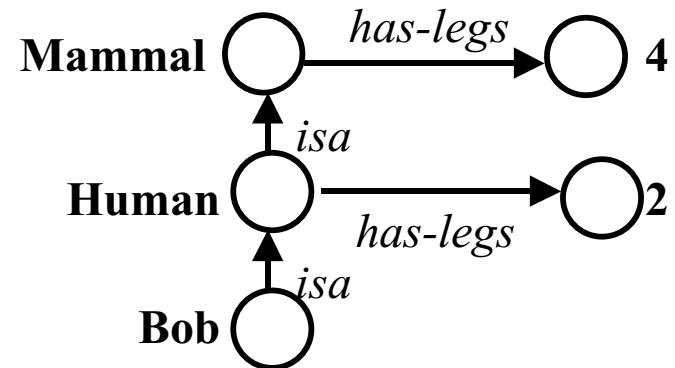
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- This was the classic example circa 1980.



# Exceptions in ISA hierarchy

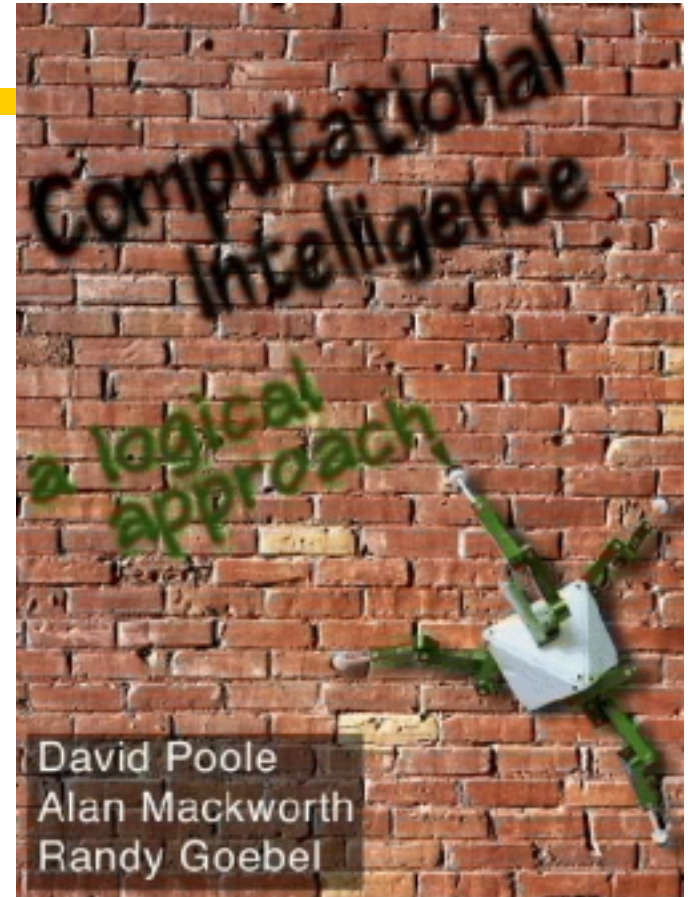
- Properties of a class are often default in nature (there are exceptions to these associations for some subclasses/instances)
- Closer ancestors (more specific) overriding far way ones (more general)
- Use explicit inhibition links to prevent inheriting some properties



# Acknowledgments

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- Slides taken from
- Computational Intelligence -  
A Logical Approach,  
David Poole, Alan Mackworth,  
Randy Goebel
- Oxford University Press,  
New York.
- <http://www.cs.ubc.ca/spider/poole/ci.html>



# Assumption-based Reasoning

Often we want our agents to make assumptions rather than doing deduction from their knowledge. For example:

- In **default reasoning** the delivery robot may want to assume Mary is in her office, even if it isn't always true.
- In **diagnosis** you hypothesize what could be wrong with a system to produce the observed symptoms.
- In **design** you hypothesize components that provably fulfill some design goals and are feasible.

# Design and Recognition

Two different tasks use assumption-based reasoning:

- **Design** The aim is to design an artifact or plan. The designer can select whichever design they like that satisfies the design criteria.
- **Recognition** The aim is to find out what is true based on observations. If there are a number of possibilities, the recognizer can't select the one they like best. The underlying reality is fixed; the aim is to find out what it is.

**Compare:** Recognizing a disease with designing a treatment.

Designing a meeting time with determining when it is.

# The Assumption-based Framework

The assumption-based framework is defined in terms of two sets of formulae:

- $F$  is a set of closed formulae called the **facts**.

These are formulae that are given as true in the world.

We assume  $F$  are Horn clauses.

- $H$  is a set of formulae called the **possible hypotheses** or **assumables**. Ground instances of the possible hypotheses can be assumed if consistent.

# Making Assumptions

- A **scenario** of  $\langle F, H \rangle$  is a set  $D$  of ground instances of elements of  $H$  such that  $F \cup D$  is satisfiable.
- An **explanation** of  $g$  from  $\langle F, H \rangle$  is a scenario that, together with  $F$ , implies  $g$ .

$D$  is an explanation of  $g$  if  $F \cup D \models g$  and  $F \cup D \not\models \text{false}$ .

A **minimal explanation** is an explanation such that no strict subset is also an explanation.

- An **extension** of  $\langle F, H \rangle$  is the set of logical consequences of  $F$  and a maximal scenario of  $\langle F, H \rangle$ .



# Default Reasoning and Abduction

There are two strategies for using the assumption-based framework:

- **Default reasoning** Where the truth of  $g$  is unknown and is to be determined.  
An explanation for  $g$  corresponds to an **argument** for  $g$ .
- **Abduction** Where  $g$  is given, and we are interested in explaining it.  $g$  could be an observation in a recognition task or a design goal in a design task.

# Default Reasoning

- When giving information, you don't want to enumerate all of the exceptions, even if you could think of them all.
- In default reasoning, you specify general knowledge and modularly add exceptions. The general knowledge is used for cases you don't know are exceptional.
- Classical logic is **monotonic**: If  $g$  logically follows from  $A$ , it also follows from any superset of  $A$ .
- Default reasoning is **nonmonotonic**: When you add that something is exceptional, you can't conclude what you could before.

# Defaults as Assumptions

Default reasoning can be modeled using

- $H$  is normality assumptions
- $F$  determines what follows from the assumptions

An explanation of  $g$  gives an **argument** for  $g$ .

# Default Example

A reader of newsgroups may have a default:  
“Articles about AI are generally interesting”.

$$H = \{int\_ai(X)\},$$

where  $int\_ai(X)$  means  $X$  is interesting if it is about AI.

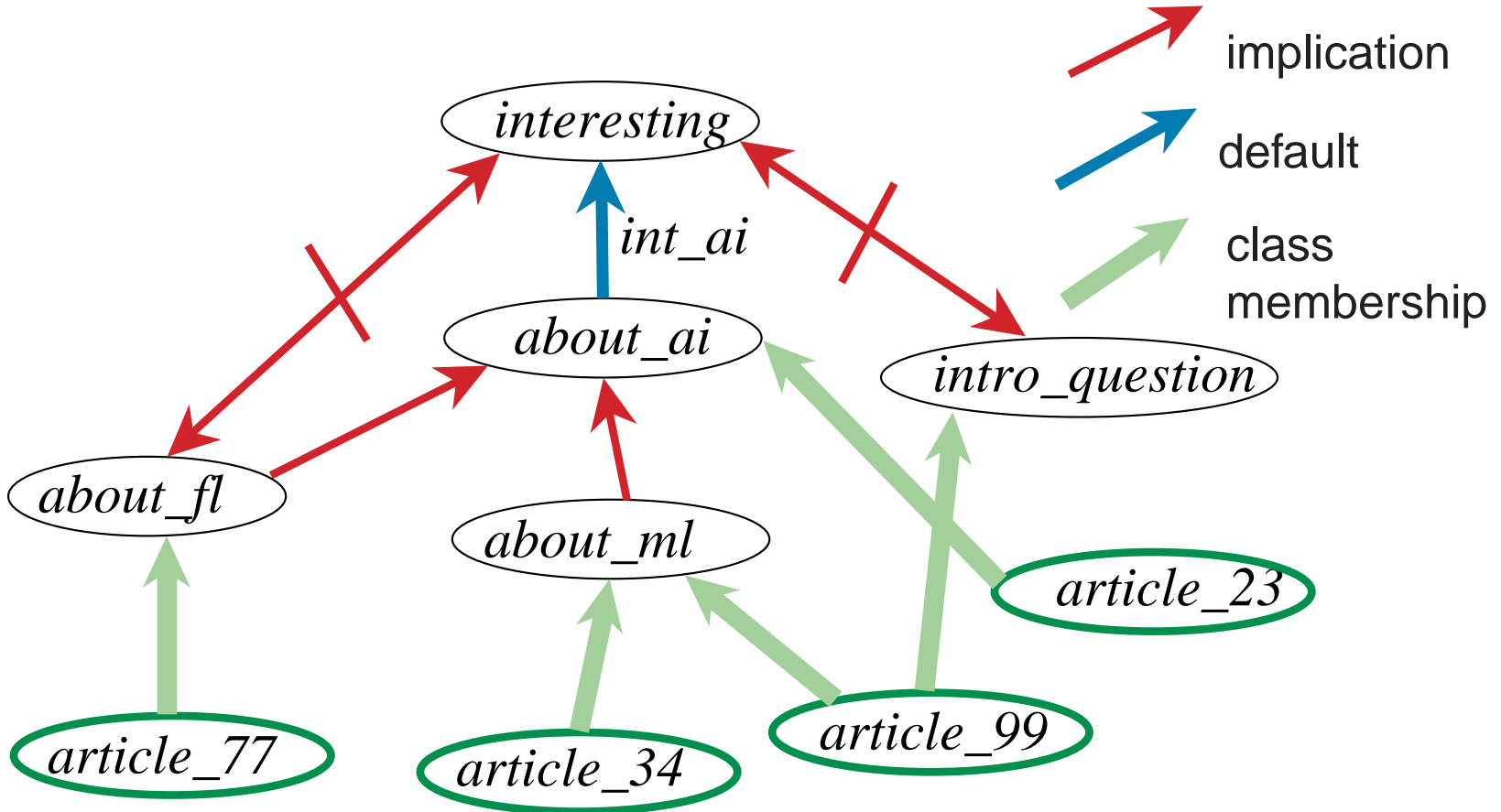
With facts:

$$interesting(X) \leftarrow about\_ai(X) \wedge int\_ai(X).$$

$$about\_ai(art\_23).$$

$\{int\_ai(art\_23)\}$  is an explanation for  $interesting(art\_23)$ .

# Diagram of the Default Example



# Exceptions to Defaults

“Articles about formal logic are about AI.”

“Articles about formal logic are uninteresting.”

“Articles about machine learning are about AI.”

$about\_ai(X) \leftarrow about\_fl(X).$

$false \leftarrow about\_fl(X) \wedge interesting(X).$

$about\_ai(X) \leftarrow about\_ml(X).$

$about\_fl(art\_77).$

$about\_ml(art\_34).$

You can't explain  $interesting(art\_77).$

You can explain  $interesting(art\_34).$

# Contradictory Explanations

Suppose formal logic articles aren't interesting *by default*:

$$H = \{unint\_fl(X), int\_ai(X)\}$$

The corresponding facts are:

$$interesting(X) \leftarrow about\_ai(X) \wedge int\_ai(X).$$

$$about\_ai(X) \leftarrow about\_fl(X).$$

$$false \leftarrow about\_fl(X) \wedge unint\_fl(X) \wedge interesting(X).$$

$$about\_fl(art\_77).$$

$\neg interesting(art\_77)$  has explanation  $\{unint\_fl(art\_77)\}$ .

$interesting(art\_77)$  has explanation  $\{int\_ai(art\_77)\}$ .

# Overriding Assumptions

Because *art\_77* is about formal logic, the argument “*art\_77* is interesting because it is about AI” shouldn’t be applicable.

This is an instance of preference for **more specific** defaults.

Arguments that articles about formal logic are interesting because they are about AI can be defeated by adding the fact:

$$false \leftarrow about\_fl(X) \wedge int\_ai(X).$$

This is known as a **cancellation rule.**

With this fact, you can no longer explain *interesting(art\_77)*.



# Multiple Extension Problem

- What if incompatible goals can be explained and there are no cancellation rules applicable?  
What should we predict?
- This is the multiple extension problem .
- **Recall:** an extension of  $\langle F, H \rangle$  is the set of logical consequences of  $F$  and a maximal scenario of  $\langle F, H \rangle$ .

# Skeptical Default Prediction

- We **predict**  $g$  if  $g$  is in all extensions of  $\langle F, H \rangle$ .
- Suppose  $g$  isn't in extension  $E$ . As far as we are concerned  $E$  could be the correct view of the world. So we shouldn't predict  $g$ .
- If  $g$  is in all extensions, then no matter which extension turns out to be true, we still have  $g$  true.
- Thus  $g$  is predicted even if an adversary gets to select assumptions, as long as the adversary is forced to select something. You do not predict  $g$  if the adversary can pick assumptions from which  $g$  can't be explained.

# Minimal Models Semantics for Prediction

**Recall:** logical consequence is defined as truth in all models.

We can define default prediction as truth in all **minimal models**.

Suppose  $M_1$  and  $M_2$  are models of the facts.

$M_1 <_H M_2$  if the hypotheses violated by  $M_1$  are a strict subset of the hypotheses violated by  $M_2$ . That is:

$$\{h \in H' : h \text{ is false in } M_1\} \subset \{h \in H' : h \text{ is false in } M_2\}$$

where  $H'$  is the set of ground instances of elements of  $H$ .

# Minimal Models and Minimal Entailment

- $M$  is a **minimal model** of  $F$  with respect to  $H$  if  $M$  is a model of  $F$  and there is no model  $M_1$  of  $F$  such that  $M_1 <_H M$ .
- $g$  is **minimally entailed** from  $\langle F, H \rangle$  if  $g$  is true in all minimal models of  $F$  with respect to  $H$ .
- **Theorem:**  $g$  is minimally entailed from  $\langle F, H \rangle$  if and only if  $g$  is in all extensions of  $\langle F, H \rangle$ .

# Abduction

**Abduction** is an assumption-based reasoning strategy where

- $H$  is a set of assumptions about what could be happening in a system
- $F$  axiomatizes how a system works
- $g$  to be explained is an observation or a design goal

**Example:** in **diagnosis** of a physical system:

$H$  contain possible faults and assumptions of normality,

$F$  contains a model of how faults manifest themselves

$g$  is conjunction of symptoms.

# Abduction versus Default Reasoning

Abduction differs from default reasoning in that:

- We don't care if  $\neg g$  can also be explained.
- It is the explanations that are of interest, not just the conclusion.
- $H$  contains abnormality as well as normality assumptions.
- We don't want to only explain normal outcomes; often we want to explain why some abnormal observation occurred.

# Example of User Modeling

Suppose a n infobot wants to determine what a user is interested in. We can hypothesize the interests of users:

$$H = \{interested\_in(Ag, Topic)\}.$$

Suppose the corresponding facts are:

$$\begin{aligned}selects(Ag, Art) \leftarrow \\ about(Art, Topic) \wedge \\ interested\_in(Ag, Topic).\end{aligned}$$

$$about(art\_94, ai).$$

$$about(art\_94, info\_highway).$$

$$about(art\_34, ai). \quad about(art\_34, skiing).$$

# User Modeling Example: explanations

There are two minimal explanations of  $selects(fred, art\_94)$ :

$\{interested\_in(fred, ai)\}$ .

$\{interested\_in(fred, information\_highway)\}$ .

If you observe  $selects(fred, art\_94) \wedge selects(fred, art\_34)$ , there are two minimal explanations:

$\{interested\_in(fred, ai)\}$ .

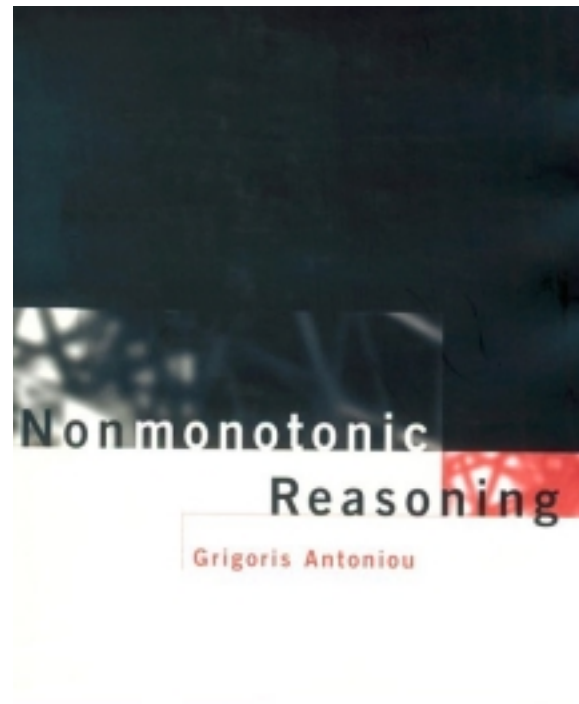
$\{interested\_in(fred, information\_highway),$   
 $interested\_in(fred, skiing)\}$ .



# Zusammenfassung, Kernpunkte



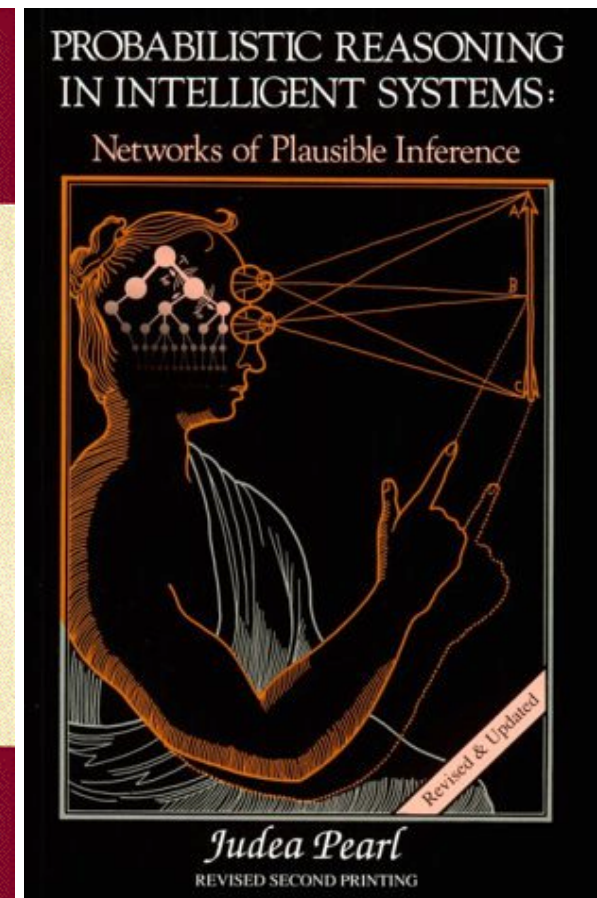
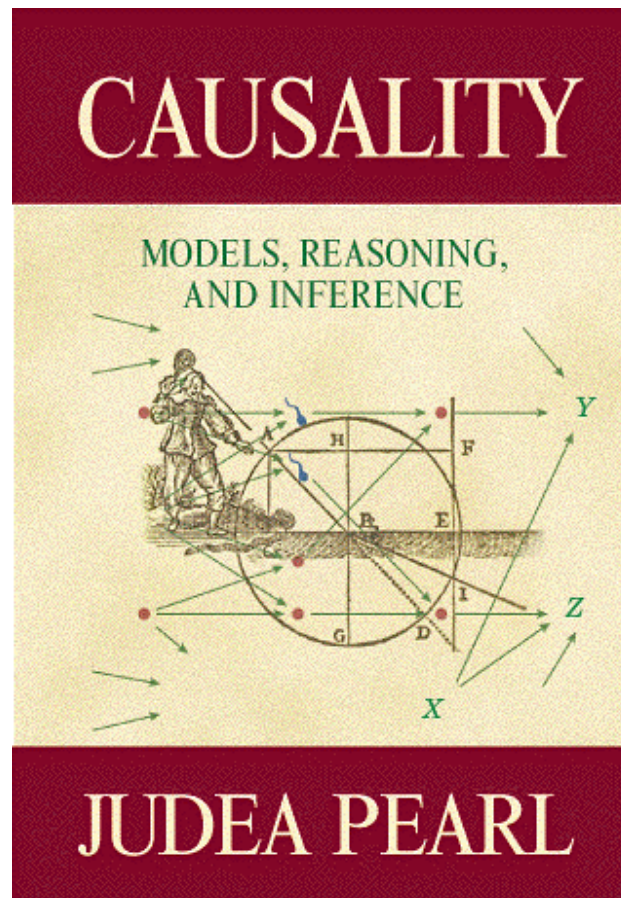
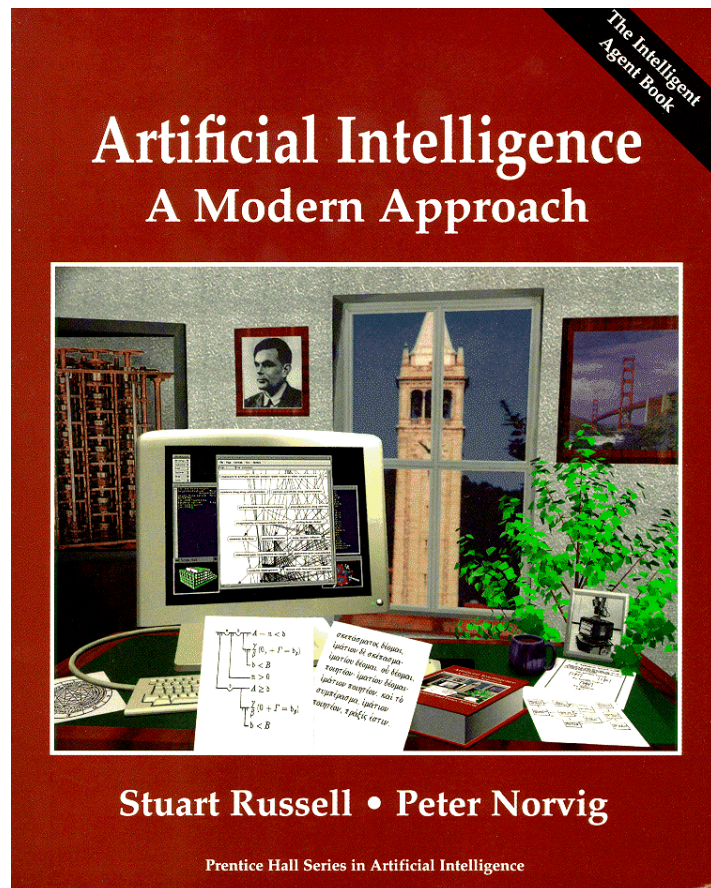
- Default-Schließen und Abduktion
- Anwendungsbereiche



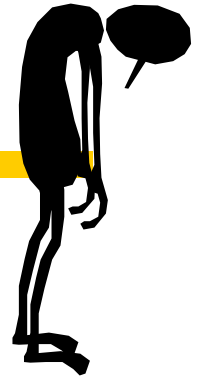
Weitere Literatur  
zum Thema dieser  
Vorlesung



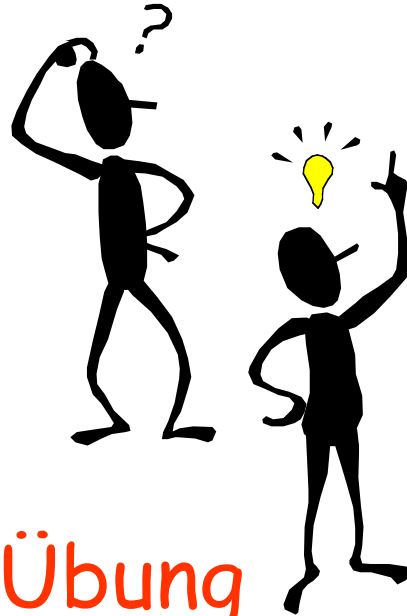
# Vertiefungen und Ergänzungen für die gesamte Veranstaltung



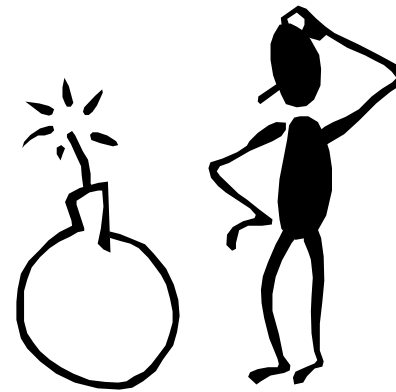
# Das war's ... (fast)



Vorlesung



Übung



Klausur

