



UNIVERSITÄT ZU LÜBECK

# Automated Planning and Acting – Nondeterministic Models

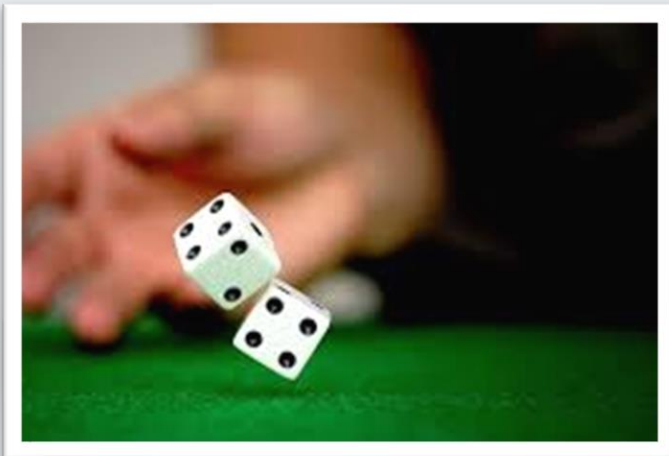
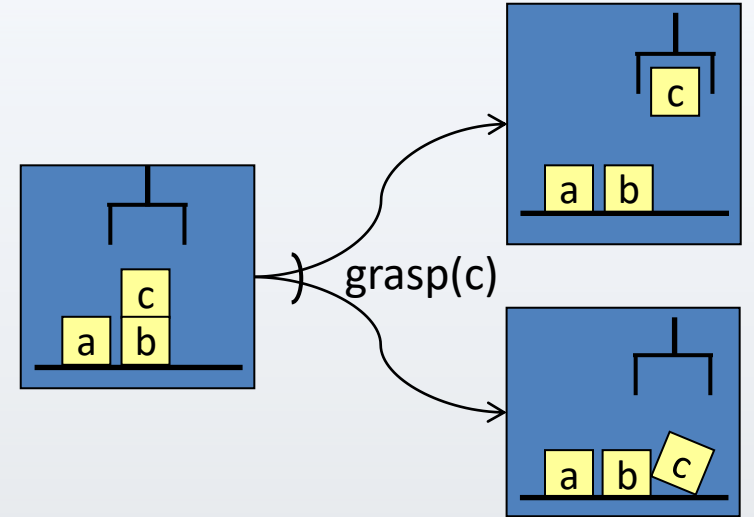
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Institute of Information Systems

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# Motivation

- We have assumed action  $a$  in state  $s$  has just one possible outcome
  - $y(s,a)$
- Often more than one possible outcome
  - Unintended outcomes
  - Exogenous events
  - Inherent uncertainty



1. Planning and Acting with **Deterministic** Models  
Conventional AI planning
2. Planning and Acting with **Refinement** Methods  
Abstract activities → collections of less-abstract activities
3. Planning and Acting with **Temporal** Models  
Reasoning about time constraints
4. Planning and Acting with **Nondeterministic** Models  
Actions with multiple possible outcomes
5. **Standard** Decision Making  
Utility theory  
Markov decision process (MDP)
6. Planning and Acting with **Probabilistic** Models  
Actions with multiple possible outcomes, with probabilities
7. **Advanced** Decision Making  
Hidden goals  
Partially observable MDP (POMDP)  
Decentralised POMDP
8. **Human-aware** Planning  
Planning with a human in the loop
9. **Causal** Planning  
Causality & Intervention  
Implications for Causal Planning

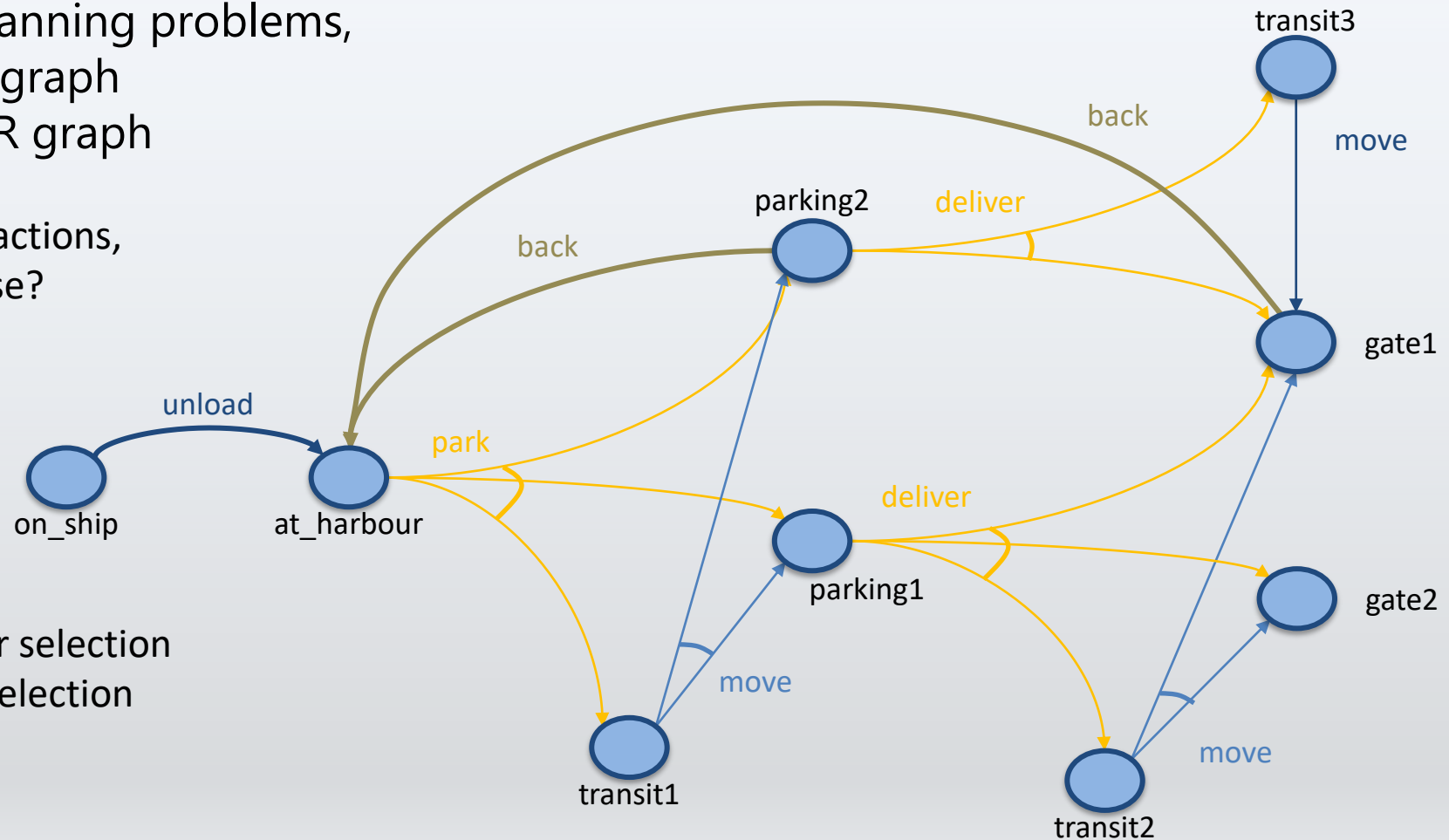
# Nondeterministic Planning Domains

- Planning domain: 3-tuple  $(S, A, \gamma)$ 
  - $S$  and  $A$  – finite sets of states and actions
  - $\gamma : S \times A \rightarrow 2^S$
  - $\gamma(s, a) = \{\text{all possible "next states" after applying action } a \text{ in state } s\}$
  - $a$  is **applicable** in state  $s$  iff  $\gamma(s, a) \neq \emptyset$
  - $\text{Applicable}(s) = \{\text{all actions applicable in } s\} = \{a \in A \mid \gamma(s, a) \neq \emptyset\}$
  - One possible action representation:
    - $n$  mutually exclusive “effects” lists
    - **Problem:**  $n$  may be combinatorically large
      - Suppose  $a$  can cause any possible combination of effects  $e_1, e_2, \dots, e_k$
      - Need  $\text{eff}_1, \text{eff}_2, \dots, \text{eff}_{2^k \triangleq n}$  effect lists
        - One for each possible combination of  $e_1, e_2, \dots, e_k$
    - For now, ignore most of that
      - states, actions  $\Leftrightarrow$  nodes, edges in a graph

$$\begin{aligned} &a(z_1, \dots, z_k) \\ &\text{pre: } p_1, \dots, p_m \\ &\text{eff}_1: e_{11}, e_{12}, \dots \\ &\text{eff}_2: e_{21}, e_{22}, \dots \\ &\quad \vdots \\ &\text{eff}_n: e_{n1}, e_{n2}, \dots \end{aligned}$$

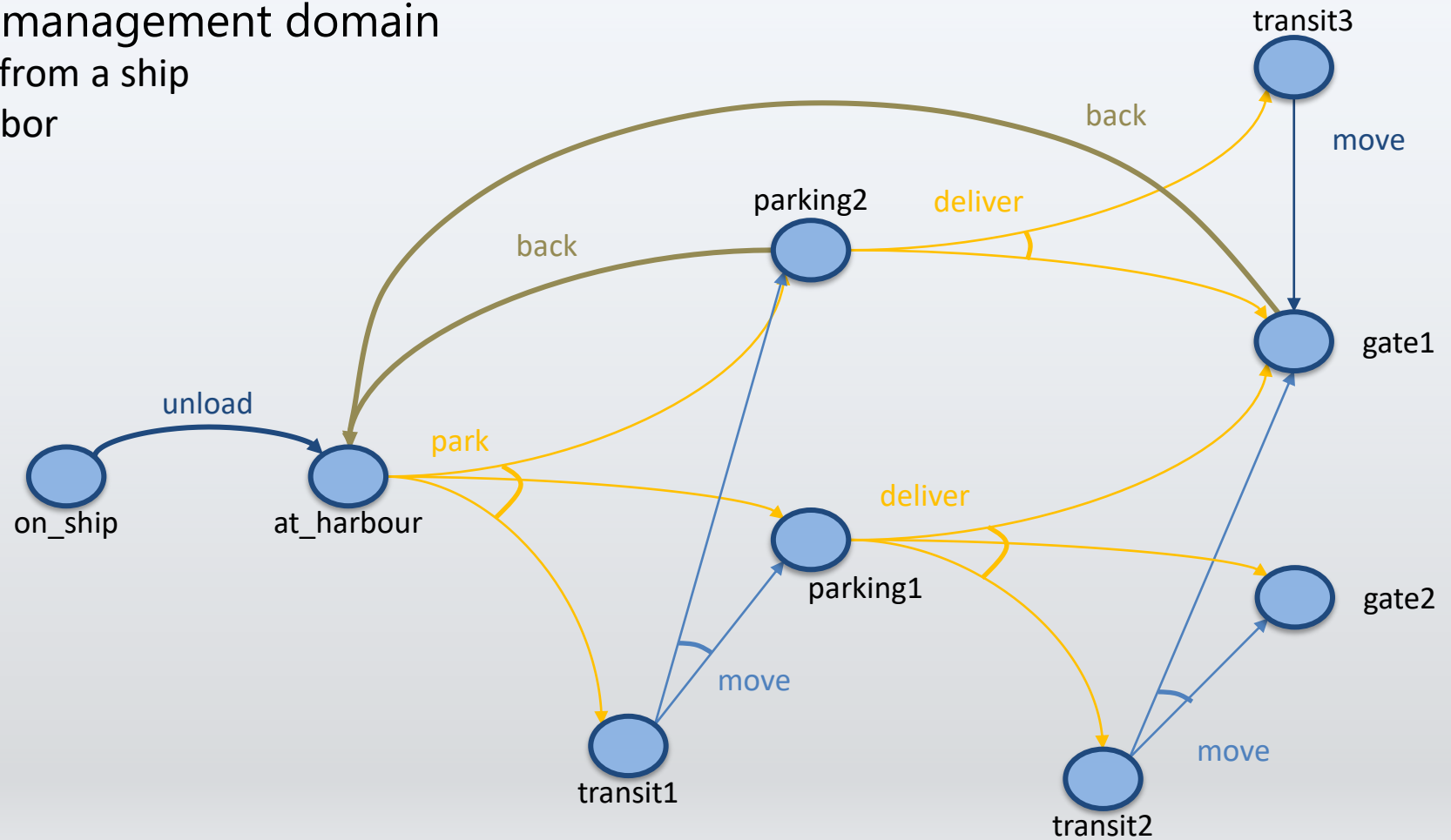
# Nondeterministic Planning Domains

- For deterministic planning problems, search space was a graph
- Now it's an AND/OR graph
  - **OR branch:**
    - Several applicable actions, which one to choose?
  - **AND branch:**
    - Multiple possible outcomes
    - Must handle all of them
- Analogy to PSP
  - *OR* branch  $\Leftrightarrow$  resolver selection
  - *AND* branch  $\Leftrightarrow$  flaw selection



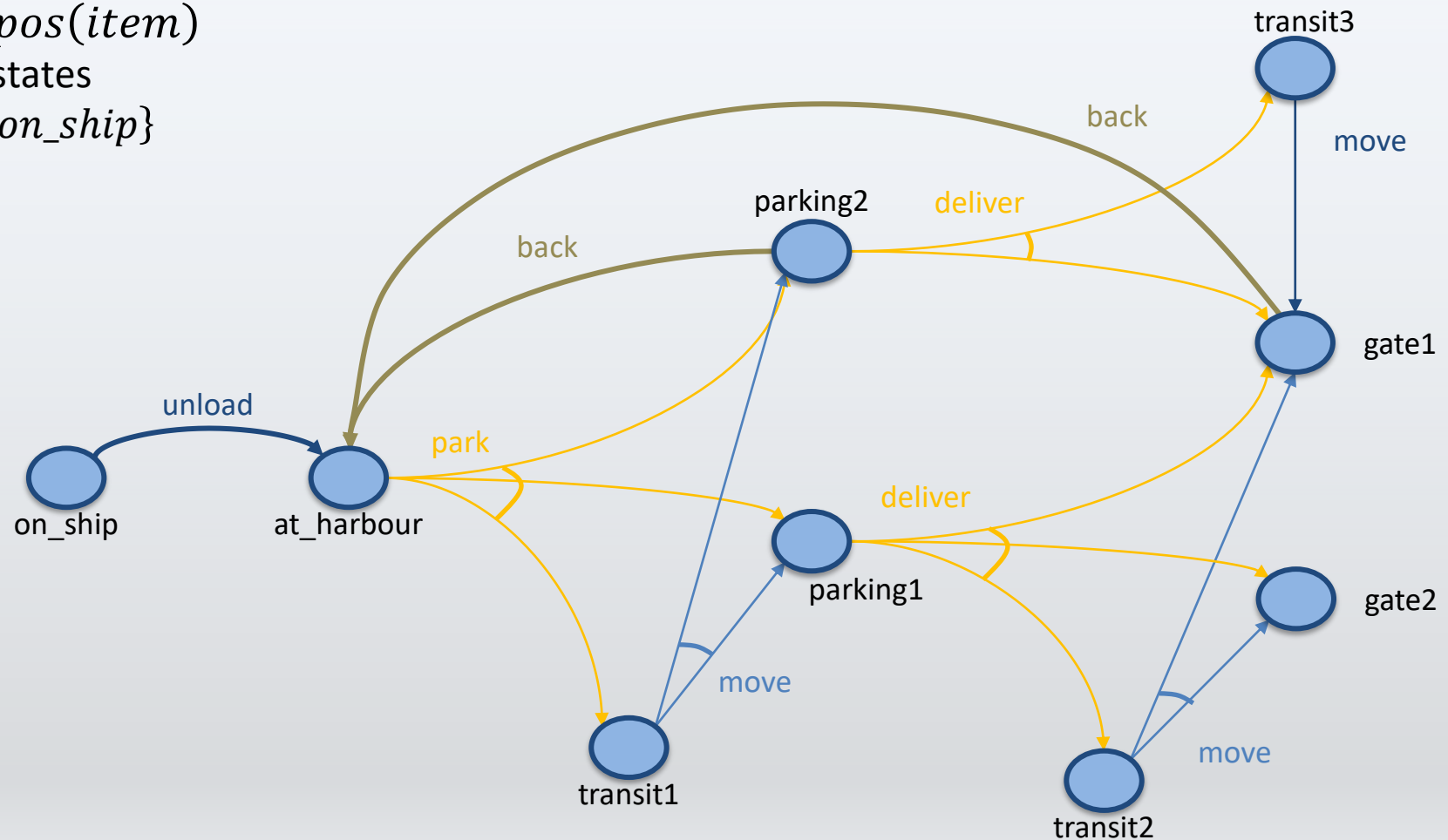
# Example

- Very simple harbor management domain
  - Unload a single item from a ship
  - Move it around a harbor



# Example

- One state variable:  $pos(item)$ 
  - Simplified names for states
    - For  $\{pos(item) = on\_ship\}$  write  $on\_ship$
- Five actions
  - Deterministic:
    - $unload$
    - $back$
    - ( $move$  in  $transit3$ )
  - Nondeterministic:
    - $park$ ,
    - $move$ ,
    - $deliver$



# Actions

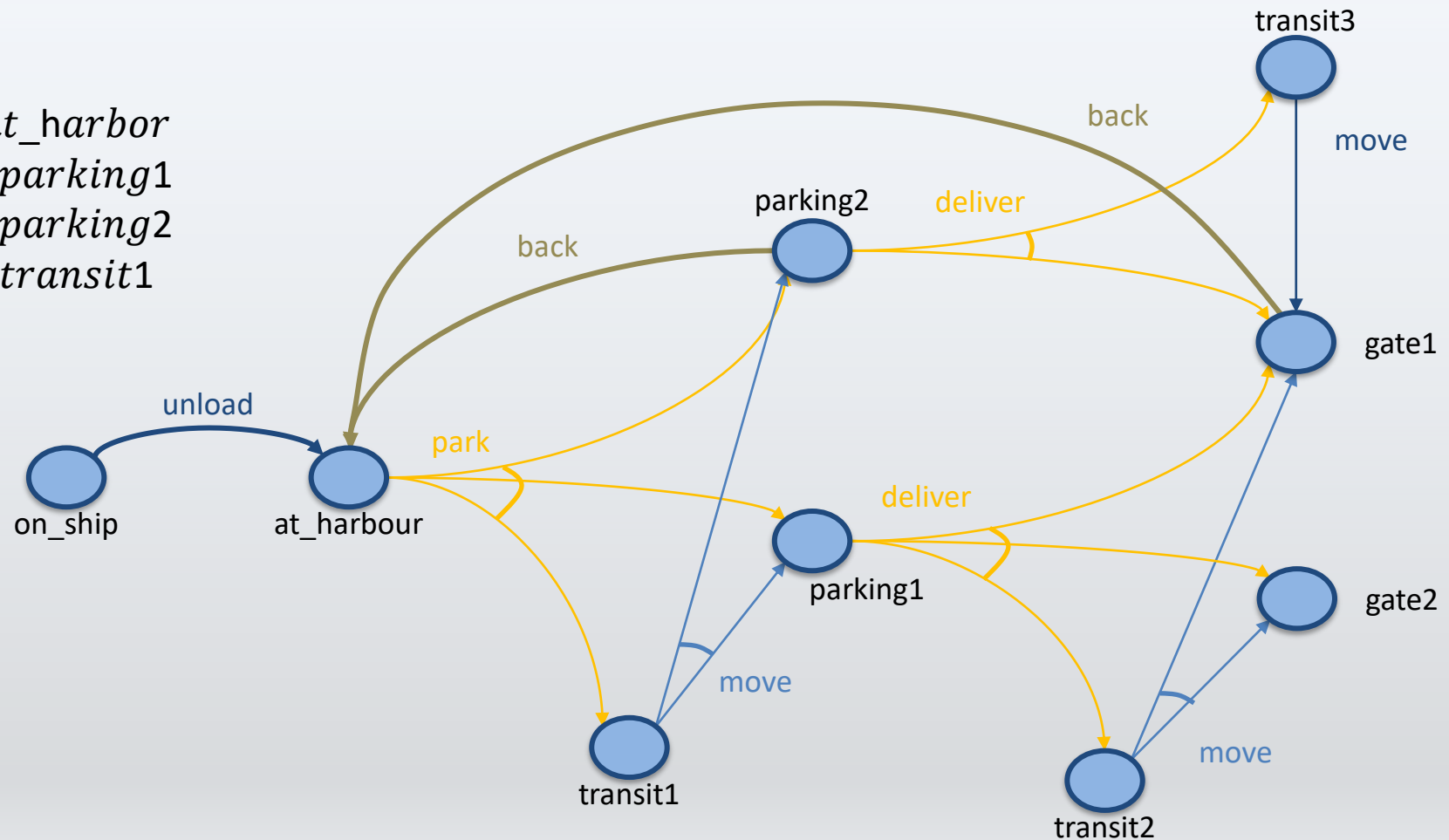
- Action example:

- park*

pre:  $pos(item)=at\_harbor$   
 eff<sub>1</sub>:  $pos(item)\leftarrow parking1$   
 eff<sub>2</sub>:  $pos(item)\leftarrow parking2$   
 eff<sub>3</sub>:  $pos(item)\leftarrow transit1$

- Three possible outcomes

- Put item in *parking1* or *parking2* if one of them has space or
  - in *transit1* if there is no parking space





# Plans Policies

- Need something more general than a sequence of actions

- After park, what do we do next?

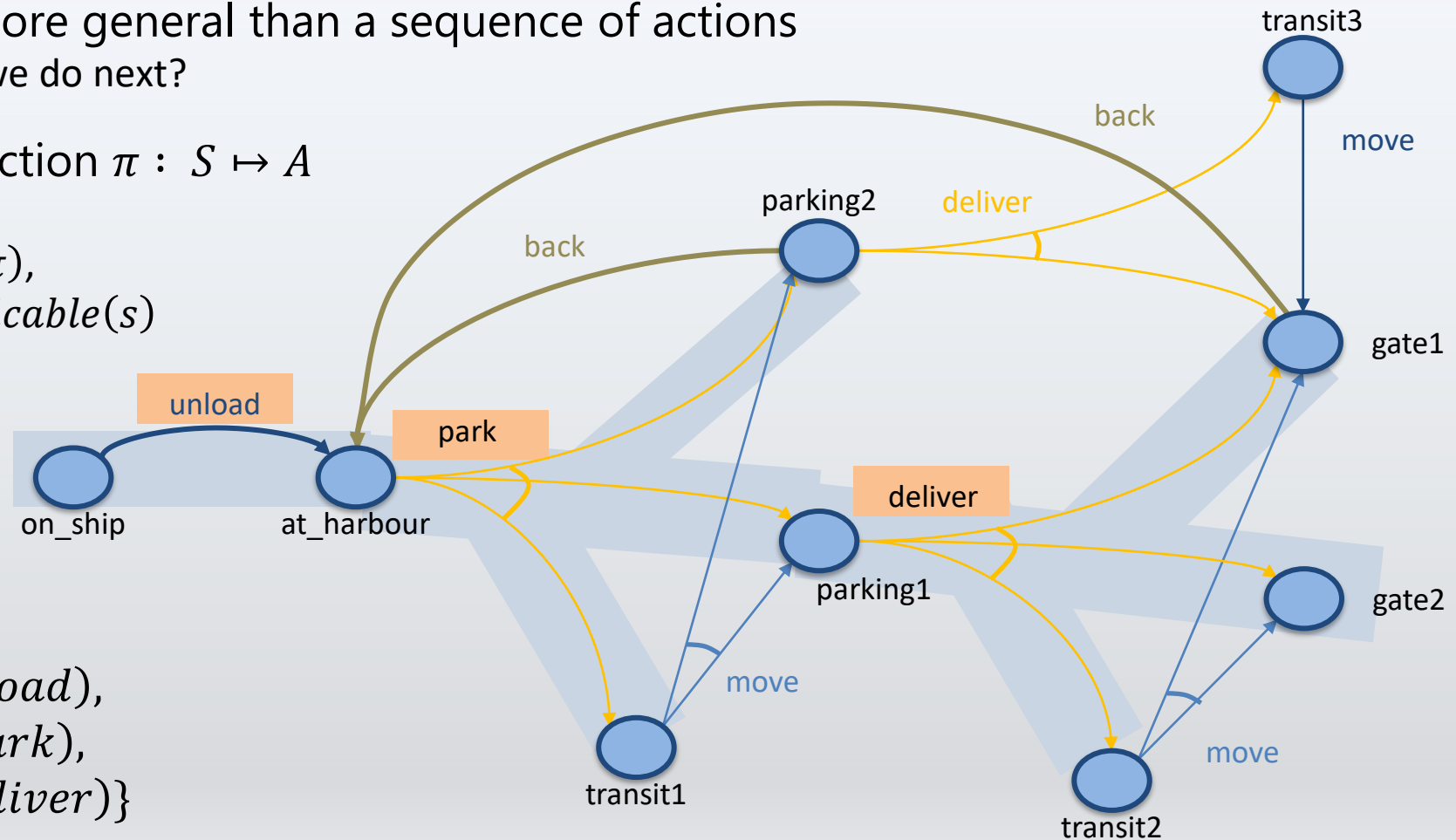
- **Policy:** a *partial* function  $\pi : S \mapsto A$

- i.e.,  $Dom(\pi) \subseteq S$

- For every  $s \in Dom(\pi)$ ,  
require  $\pi(s) \in Applicable(s)$

- Meaning:

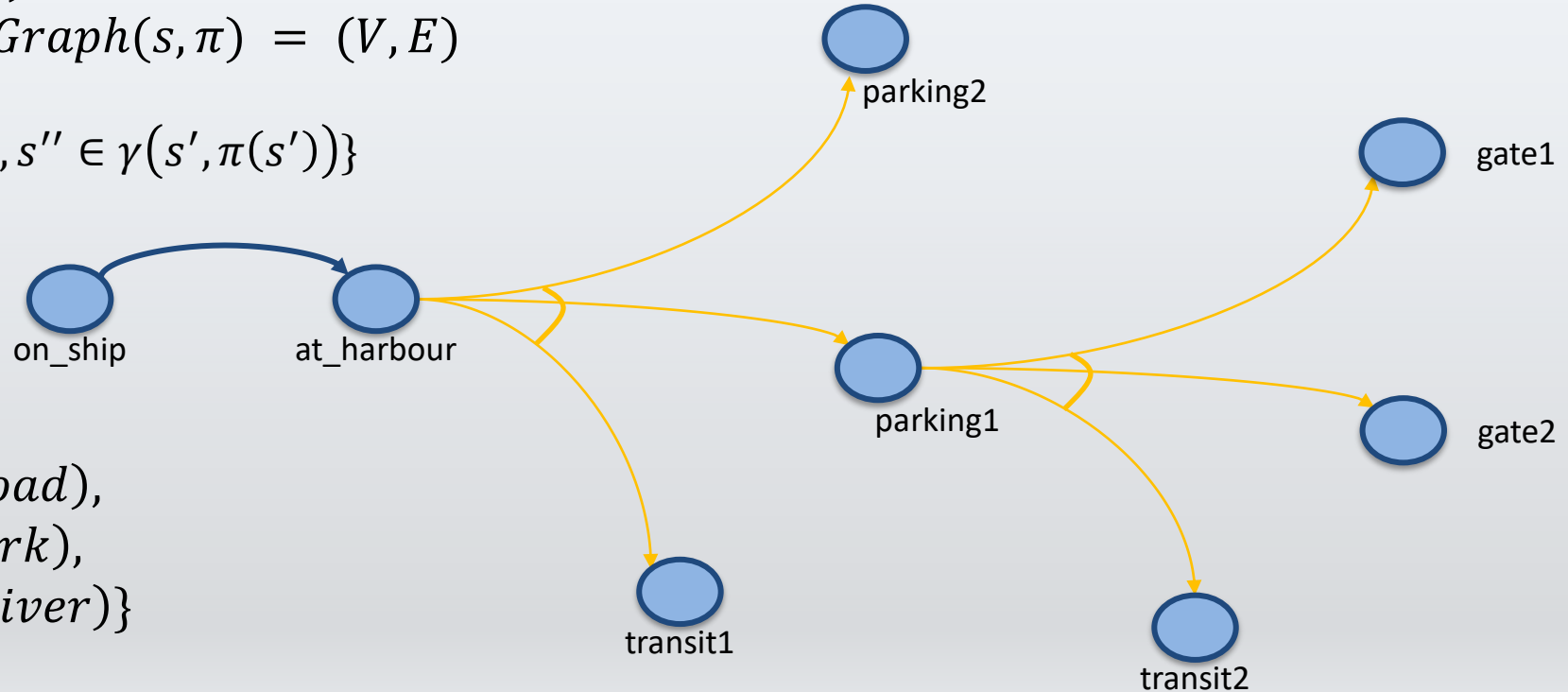
- Perform  $\pi(s)$   
whenever we  
are in state  $s$



- $\pi_1 = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver)\}$

# Definitions Over Policies

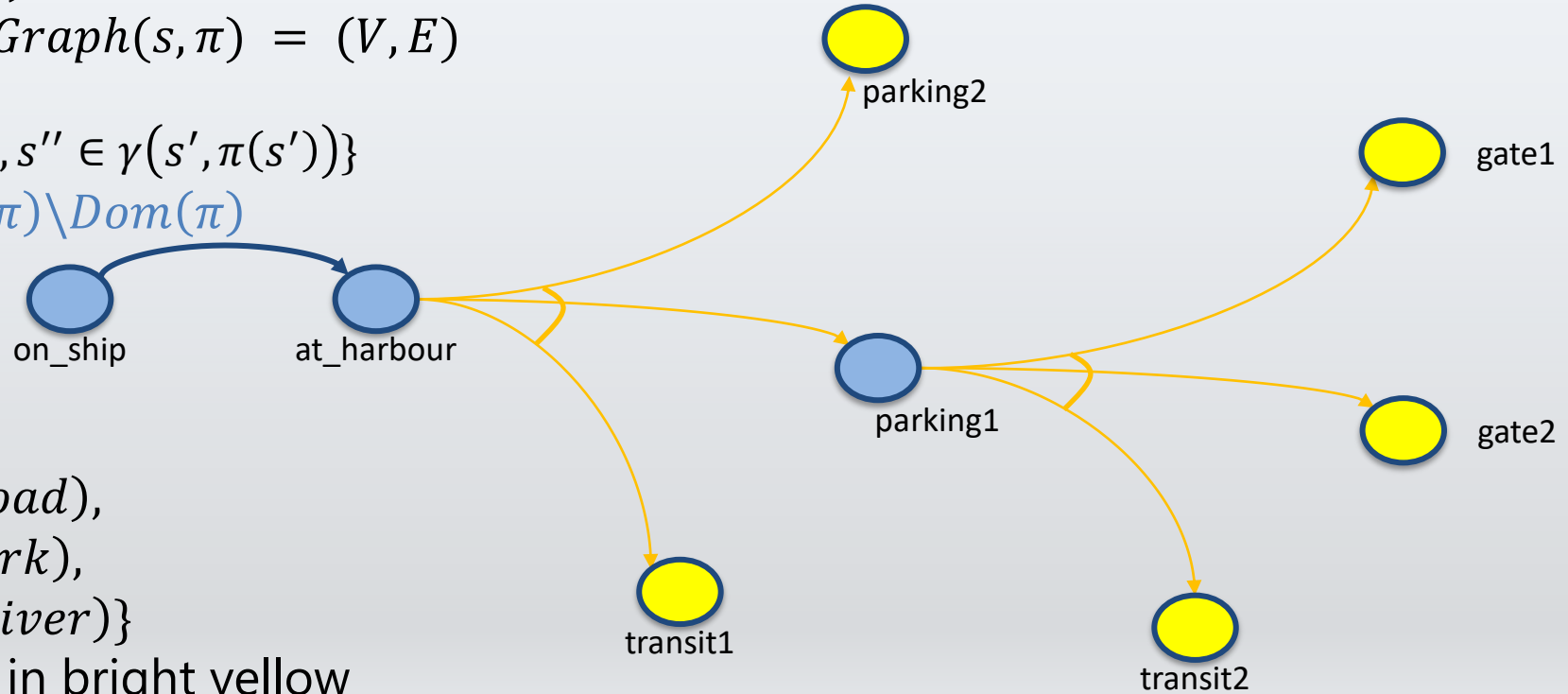
- **Transitive closure**  $\hat{\gamma}(s, \pi) = \{\text{all states reachable from } s \text{ using } \pi\}$ 
  - $\hat{\gamma}(s, \pi) = S_0 \cup S_1 \cup S_2 \cup \dots$ 
    - $S_0 = \{s\}$
    - $S_{i+1} = \cup\{\gamma(s, \pi(s)) \mid s \in S_i\}, i \geq 0$
- **Reachability graph**  $Graph(s, \pi) = (V, E)$ 
  - $V = \hat{\gamma}(s, \pi)$
  - $E = \{(s', s'') \mid s' \in V, s'' \in \gamma(s', \pi(s'))\}$



- $\pi_1 = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver)\}$
- $Graph(on\_ship, \pi_1)$

# Definitions Over Policies

- **Transitive closure**  $\hat{\gamma}(s, \pi) = \{\text{all states reachable from } s \text{ using } \pi\}$ 
  - $\hat{\gamma}(s, \pi) = S_0 \cup S_1 \cup S_2 \cup \dots$ 
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- **Reachability graph**  $Graph(s, \pi) = (V, E)$ 
  - $V = \hat{\gamma}(s, \pi)$
  - $E = \{(s', s'') \mid s' \in V, s'' \in \gamma(s', \pi(s'))\}$
- **leaves**( $s, \pi$ ) =  $\hat{\gamma}(s, \pi) \setminus Dom(\pi)$ 
  - May be empty



- $\pi_1 = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver)\}$
- **leaves**( $on\_ship, \pi_1$ ) in bright yellow

# Performing a Policy

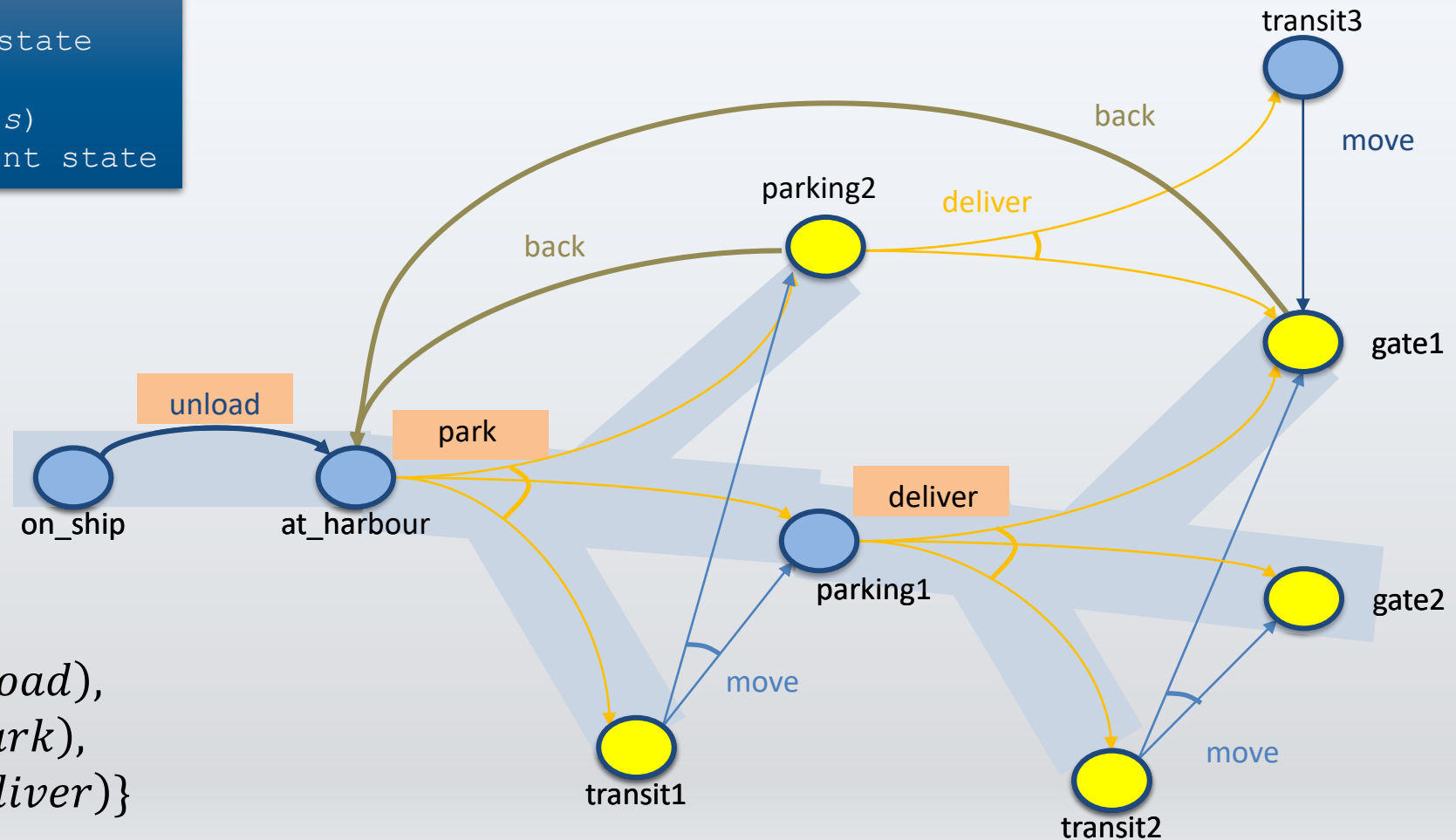
```
PerformPolicy( $\pi$ )
```

```
   $s \leftarrow$  observe current state
```

```
  while  $s \in \text{Dom}(\pi)$  do
```

```
    perform action  $\pi(s)$ 
```

```
     $s \leftarrow$  observe current state
```



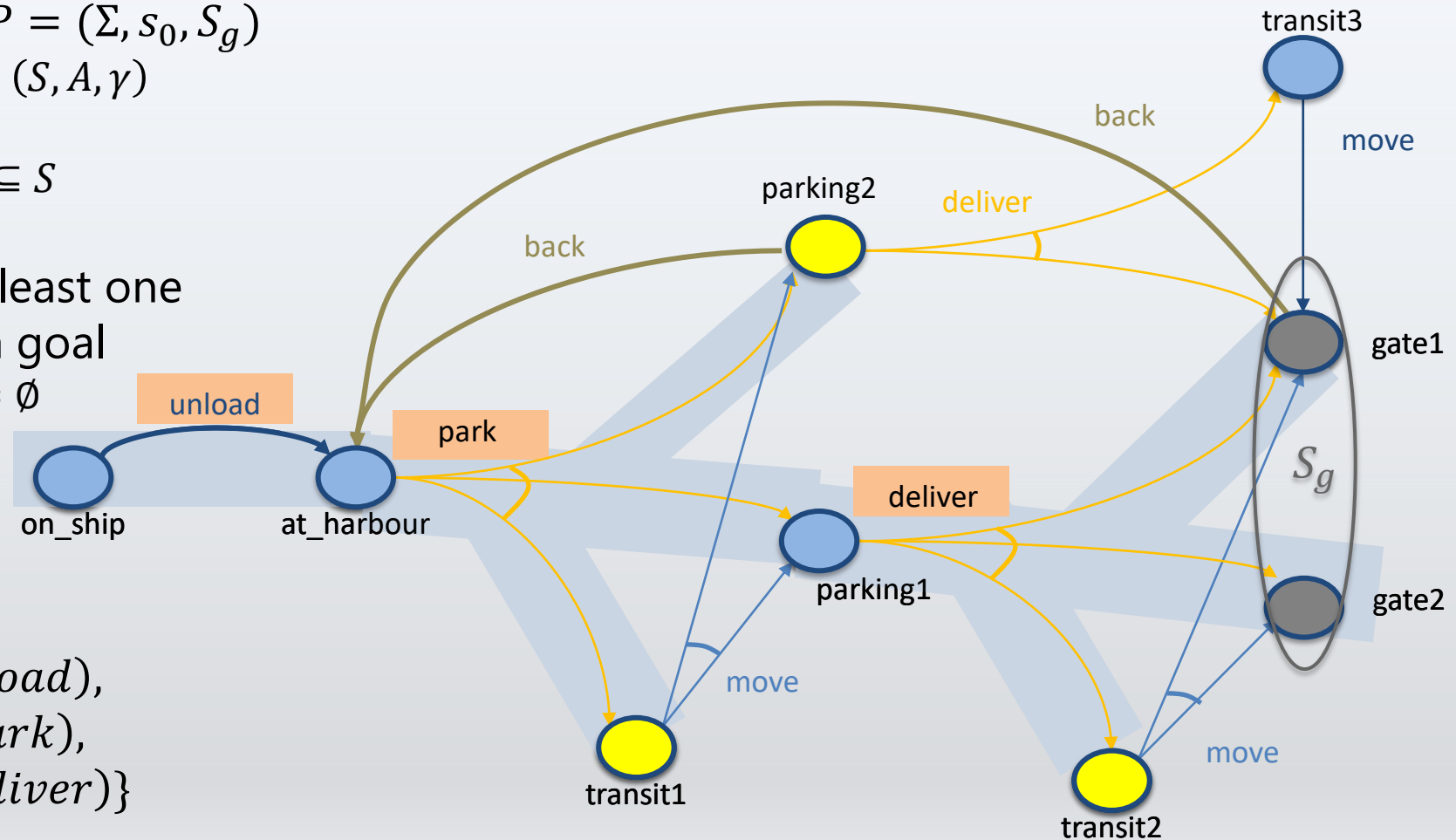
- $\pi_1 = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver)\}$

# Planning Problems and Solutions

- Planning problem  $P = (\Sigma, s_0, S_g)$ 
  - Planning domain  $\Sigma = (S, A, \gamma)$
  - Initial state  $s_0 \in S$
  - Set of goal states  $S_g \subseteq S$   
(shown in grey)
- $\pi$  is a **solution** if at least one execution ends at a goal
  - $leaves(s_0, \pi) \cap S_g \neq \emptyset$

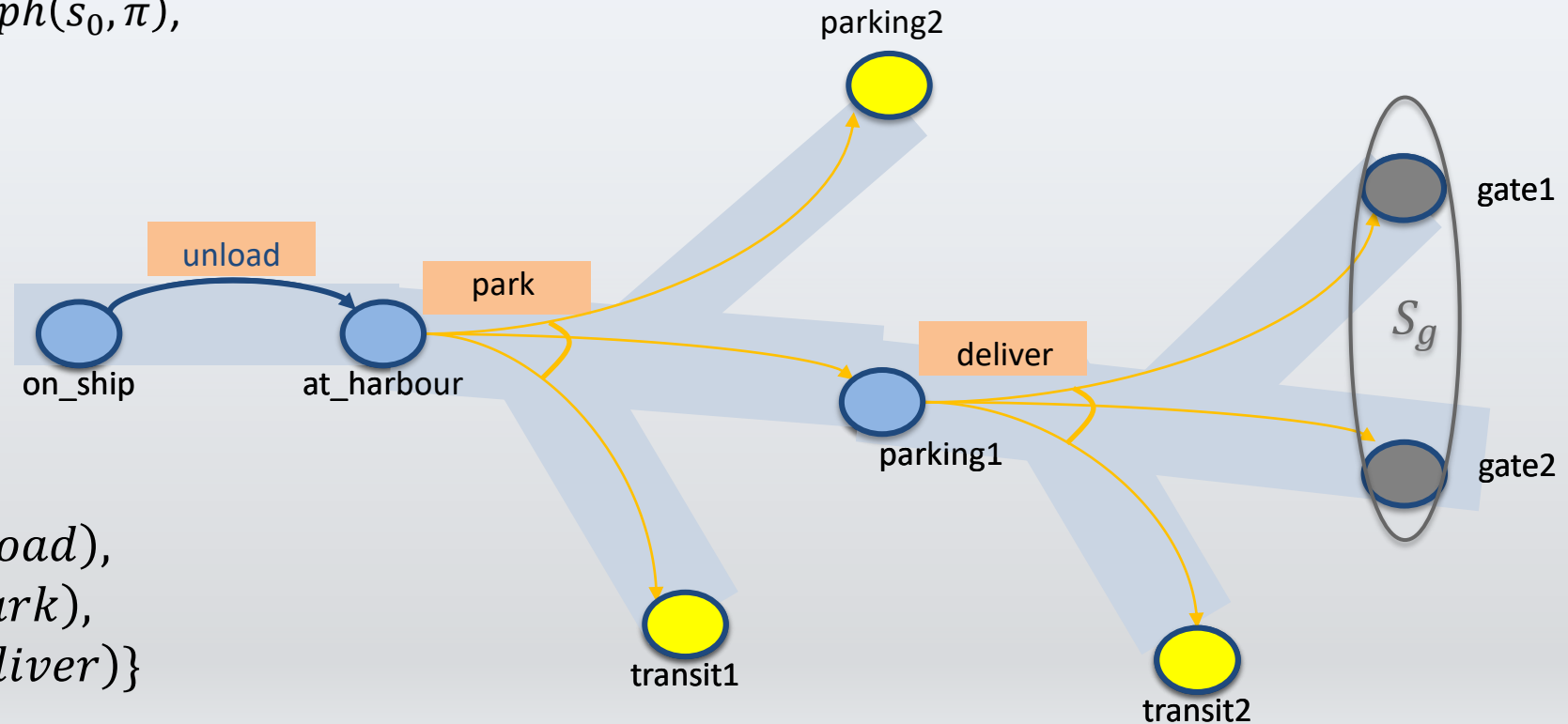
Is  $\pi_1$  a solution?

- $\pi_1 = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver)\}$



# Safe Solutions

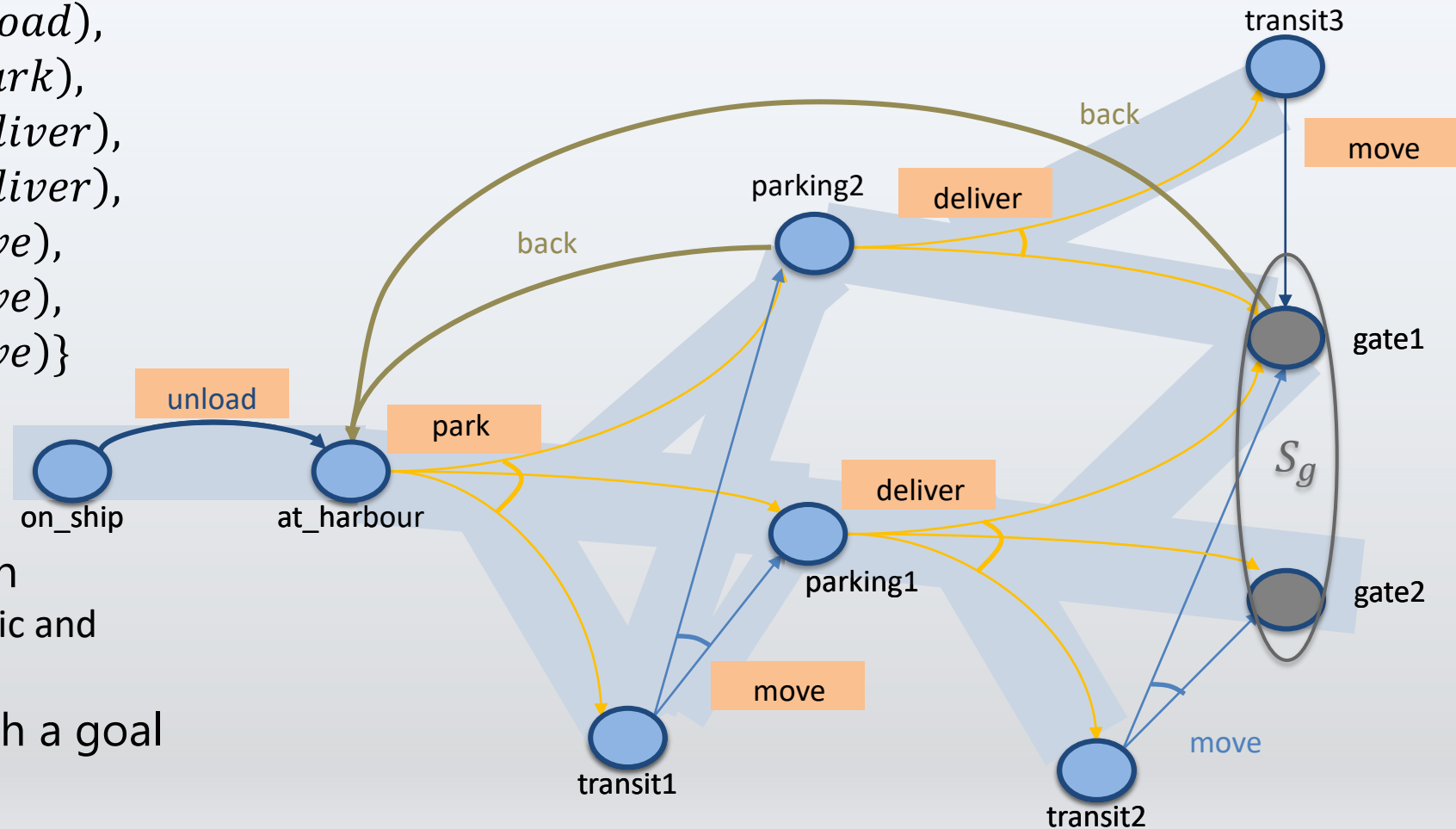
- A solution  $\pi$  is **safe** if
  - $\forall s \in \hat{\gamma}(s_0, \pi),$
  - $leaves(s, \pi) \cap S_g \neq \emptyset$
- at every node of  $Graph(s_0, \pi),$  the goal is *reachable*
- Otherwise, *unsafe*



- $\pi_1 = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver)\}$

# Safe Solutions

- $\pi_2 = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(parking2, deliver),$   
 $(transit1, move),$   
 $(transit2, move),$   
 $(transit3, move)\}$



- Acyclic** safe solution
  - $Graph(s_0, \pi)$  is acyclic and
  - $leaves(s_0, \pi) \subseteq S_g$
- Guaranteed to reach a goal

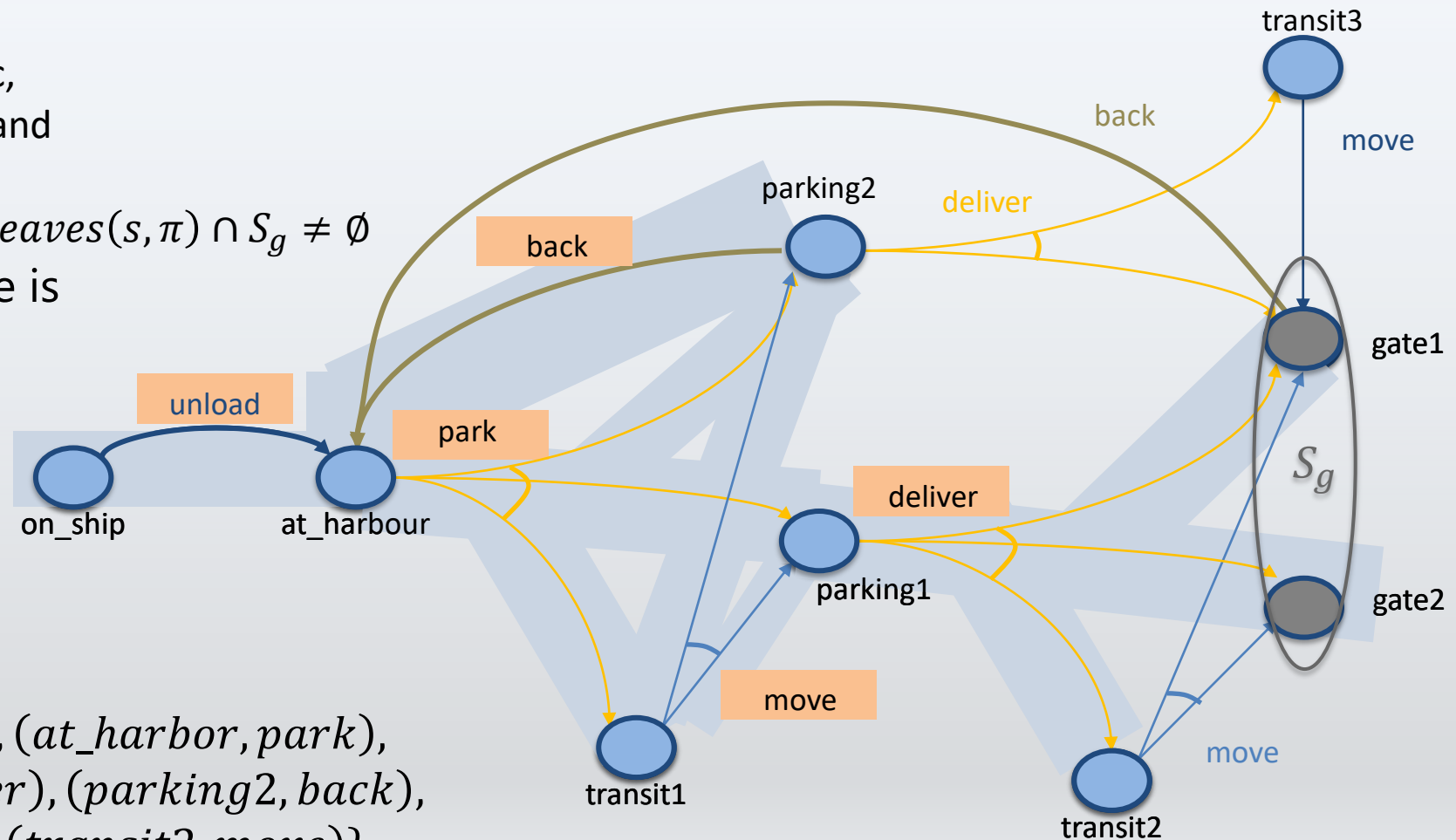
# Safe Solutions

- **Cyclic** safe solution
  - $Graph(s_0, \pi)$  is cyclic,
  - $leaves(s_0, \pi) \subseteq S_g$ , and
  - $\forall s \in \hat{\gamma}(s_0, \pi),$

$$leaves(s, \pi) \cap S_g \neq \emptyset$$

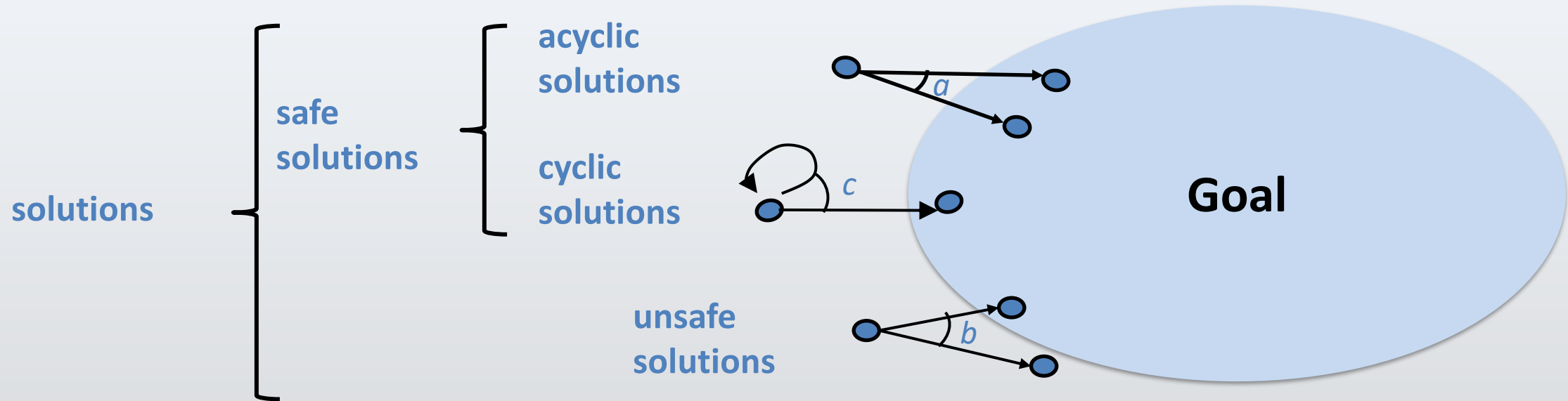
- At every state, there is an execution path that ends at a goal
- Will never get caught in a dead end

- $\pi_3 =$   
 $\{(on\_ship, unload), (at\_harbor, park),$   
 $(parking1, deliver), (parking2, back),$   
 $(transit1, move), (transit2, move)\}$





# Kinds of Solutions





# Intermediate Summary

- Planning Problems
  - Planning domains
  - Plans as policies
  - Planning problems and solutions
    - Types of solutions: safe, unsafe, acyclic, cyclic

# Finding (Unsafe) Solutions

## Find-Solution( $\Sigma, s_0, S_g$ )

```
s ← s0
π ← ∅
Visited ← {s0}
loop
  if s ∈ Sg then
    return π
  A' ← Applicable(s)
  if A' = ∅ then
    return failure
  nondeterministically choose a ∈ A'
  nondeterministically choose s' ∈ γ(s, a)
  if s' ∈ Visited then
    return failure
  π(s) ← a
  Visited ← Visited ∪ {s'}
  s ← s'
```

## Forward-search( $\Sigma, s_0, g$ )

```
s ← s0
π ← ⟨⟩
loop
  if s satisfies g then
    return π
  A' ← {a ∈ A | a is applicable in s}
  if A' = ∅ then
    return failure
  nondeterministically choose a ∈ A'
  s ← γ(s, a)
  π ← π.a
```

For comparison: Forward-search  
with deterministic models

Decide which state to plan for

Cycle-checking

# Example

Find-Solution ( $\Sigma, s_0, S_g$ )

$s \leftarrow s_0$

$\pi \leftarrow \emptyset$

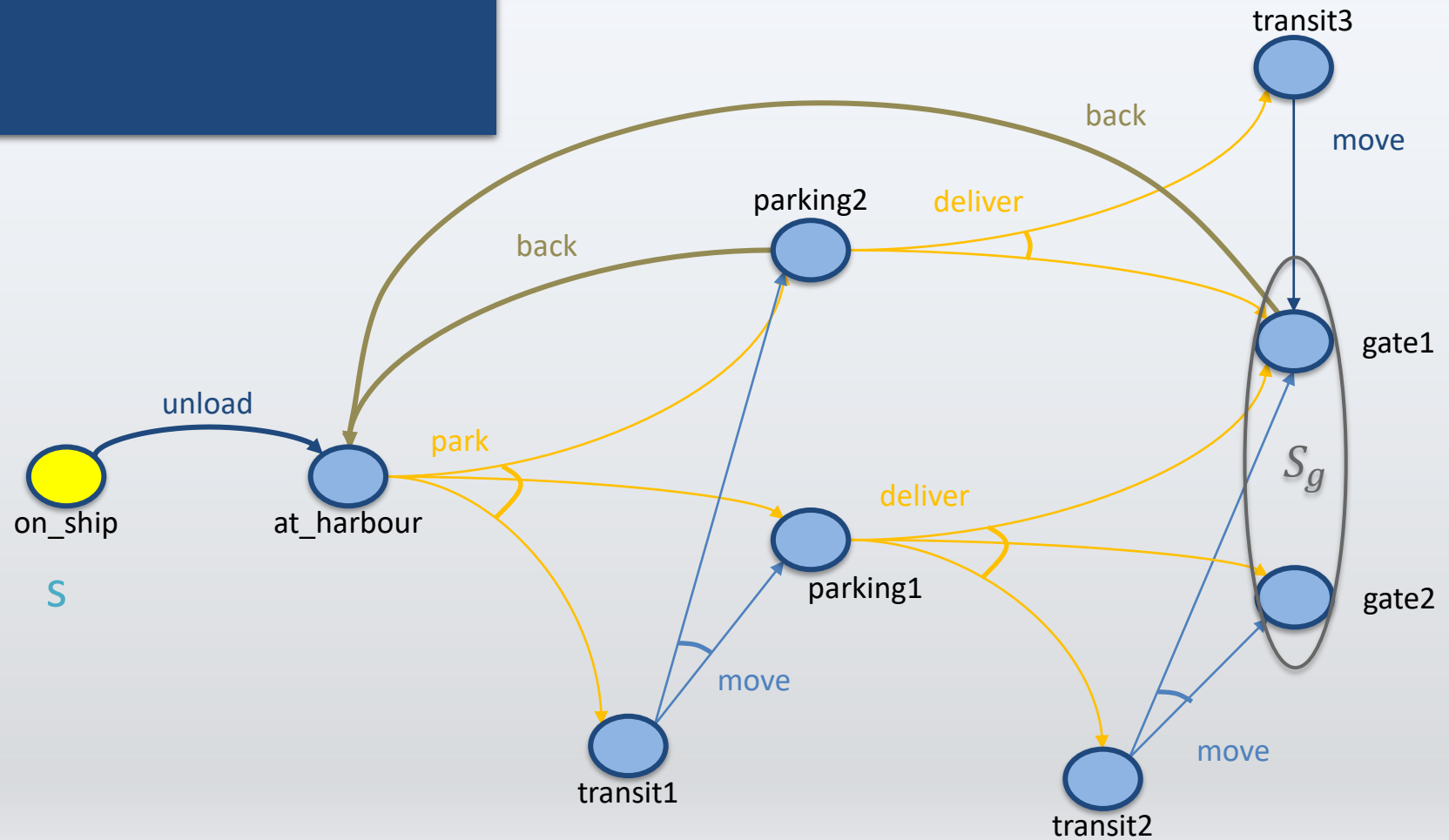
$Visited \leftarrow \{s_0\}$

...

$s = \text{on\_ship}$

$\pi = \{\}$

$Visited = \{\text{on\_ship}\}$



# Example

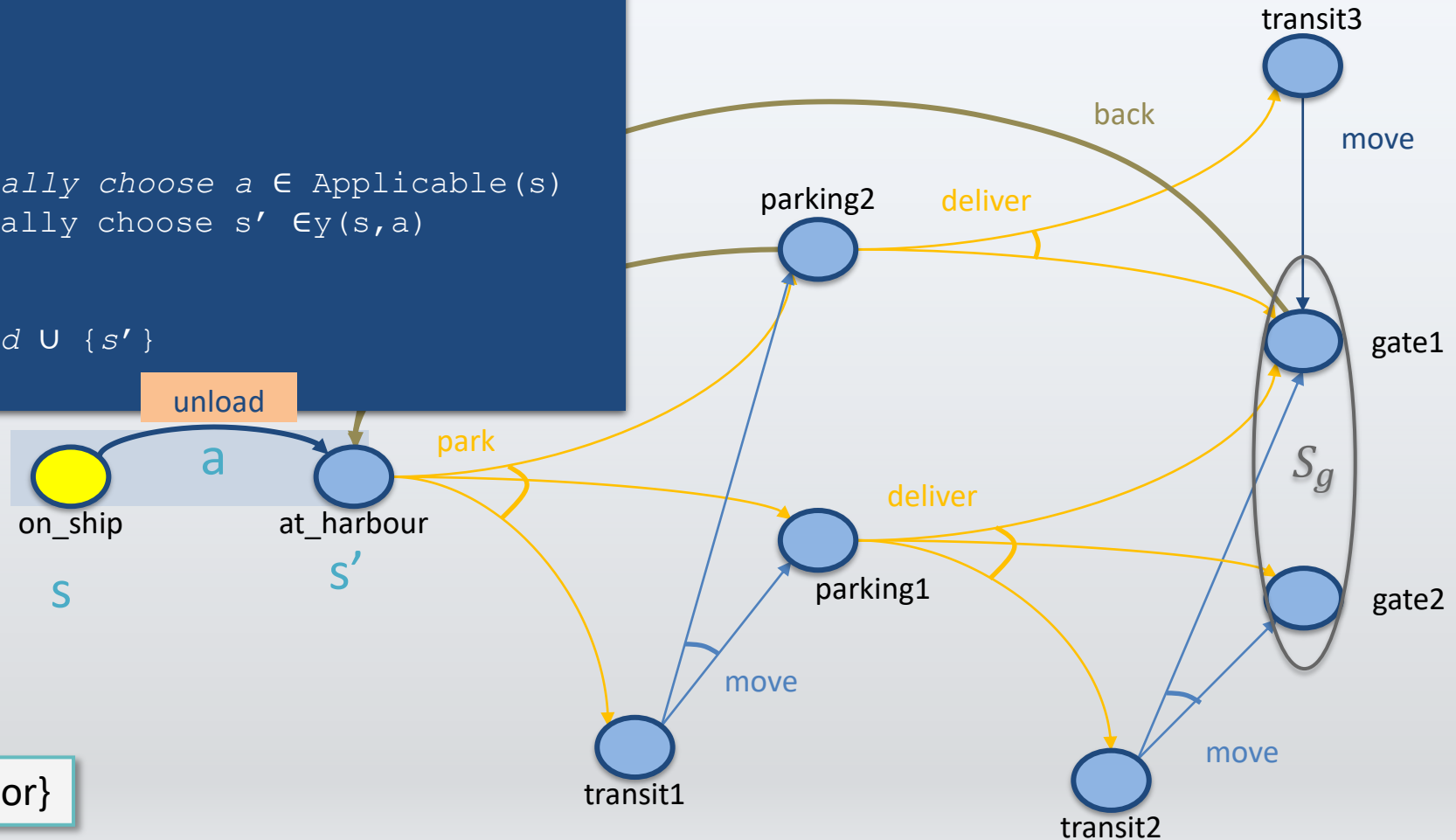
```
Find-Solution( $\Sigma, s_0, S_g$ )
```

```
...
loop
  if  $s \in S_g$  then
    return  $\pi$ 
  ...
  nondeterministically choose  $a \in \text{Applicable}(s)$ 
  nondeterministically choose  $s' \in \gamma(s, a)$ 
  ...
   $\pi(s) \leftarrow a$ 
   $\text{Visited} \leftarrow \text{Visited} \cup \{s'\}$ 
   $s \leftarrow s'$ 
```

$s = \text{on\_ship}, a = \text{unload}$   
 $\gamma(s, a) = \{\text{at\_harbor}\}$   
 $s' = \text{at\_harbor}$

$\pi = \{(\text{on\_ship}, \text{unload})\}$

$\text{Visited} = \{\text{on\_ship}, \text{at\_harbor}\}$



# Example

```
Find-Solution( $\Sigma, s_0, S_g$ )
```

```
...
```

```
loop
```

```
  if  $s \in S_g$  then
```

```
    return  $\pi$ 
```

```
  ...
```

```
  nondeterministically choose  $a \in \text{Applicable}(s)$ 
```

```
  nondeterministically choose  $s' \in \gamma(s, a)$ 
```

```
  ...
```

```
   $\pi(s) \leftarrow a$ 
```

```
   $Visited \leftarrow Visited \cup \{s'\}$ 
```

```
   $s \leftarrow s'$ 
```

```
 $s = \text{at\_harbor}, a = \text{park}$   

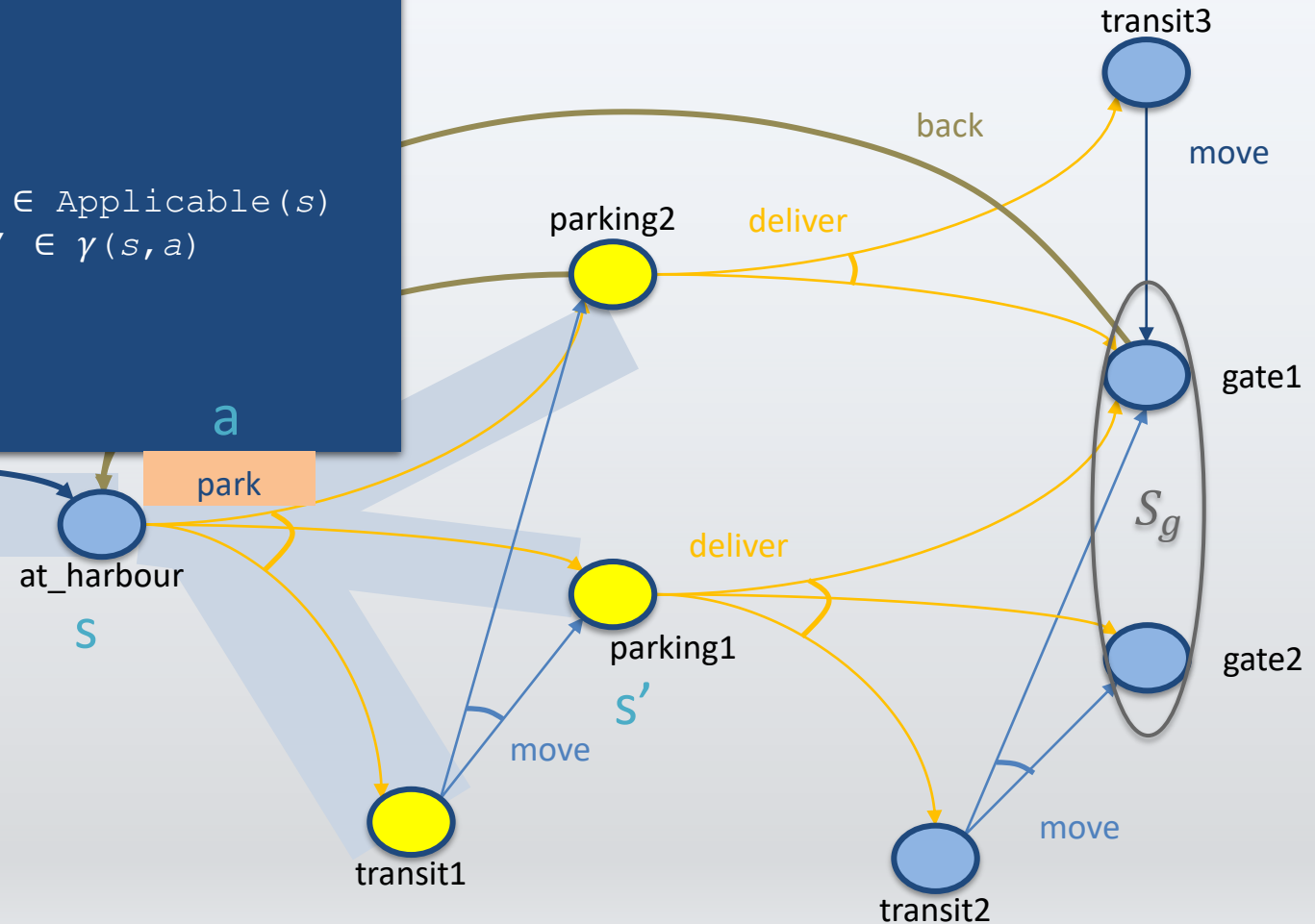
 $\gamma(s, a) = \{\text{parking1}, \text{parking2}, \text{transit1}\}$   

 $s' = \text{parking1}$ 
```

```
 $\pi = \{(\text{on\_ship}, \text{unload}),$   

 $(\text{at\_harbor}, \text{park})\}$ 
```

```
 $Visited = \{\text{on\_ship}, \text{at\_harbor}, \text{parking1}\}$ 
```



# Example

```
Find-Solution( $\Sigma, s_0, S_g$ )
```

```
...
```

```
loop
```

```
  if  $s \in S_g$  then
```

```
    return  $\pi$ 
```

```
  ...
```

```
  nondeterministically choose  $a \in \text{Applicable}(s)$ 
```

```
  nondeterministically choose  $s' \in \gamma(s, a)$ 
```

```
  ...
```

```
   $\pi(s) \leftarrow a$ 
```

```
   $Visited \leftarrow Visited \cup \{s'\}$ 
```

```
   $s \leftarrow s'$ 
```

```
 $s = \text{parking1}, a = \text{deliver}$   

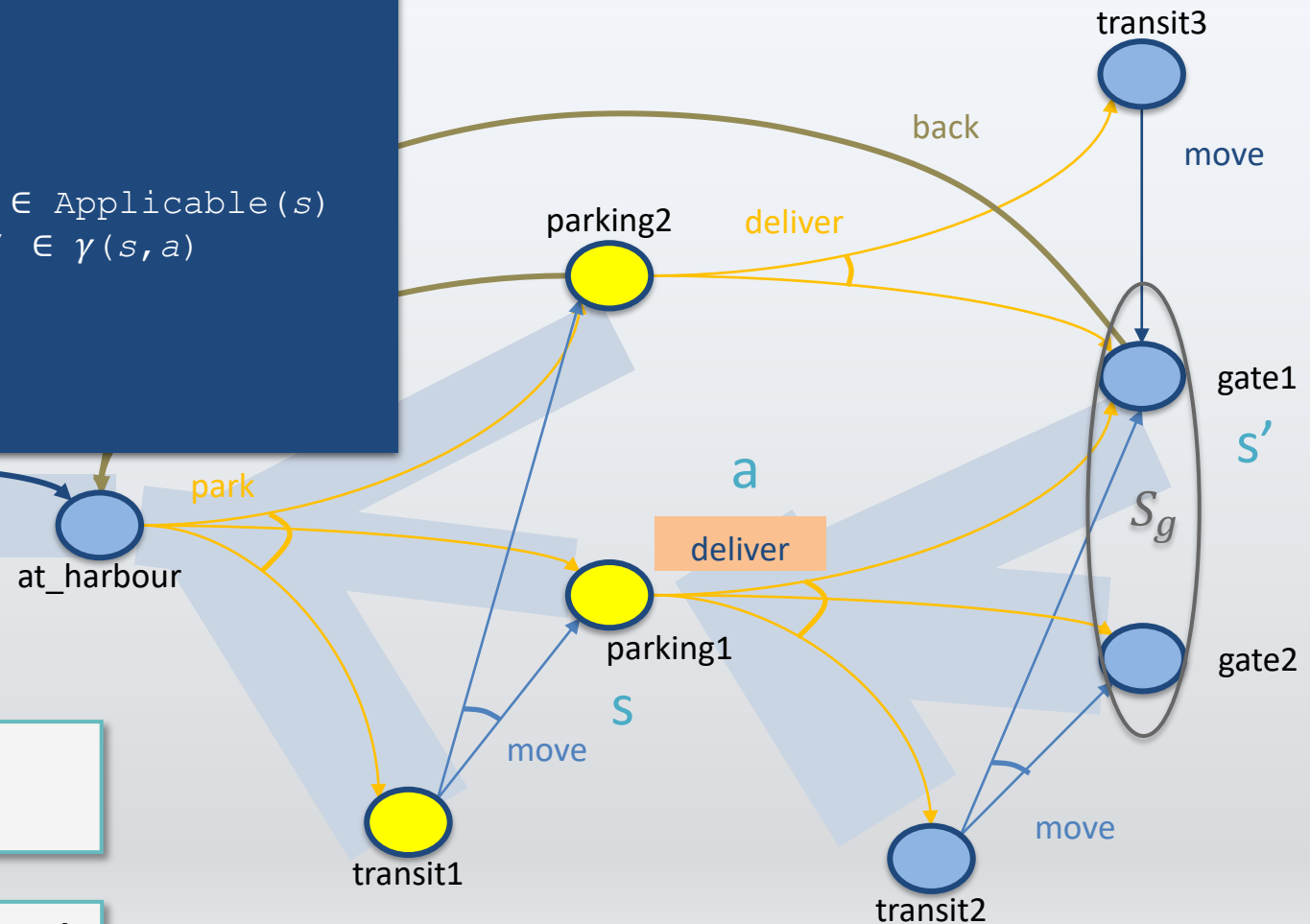
 $\gamma(s, a) = \{\text{gate1}, \text{gate2}, \text{transit2}\}$   

 $s' = \text{gate1}$ 
```

```
 $\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   

 $(\text{parking1}, \text{deliver})\}$ 
```

```
 $Visited = \{\text{on\_ship}, \text{at\_harbor}, \text{parking1}, \text{gate1}\}$ 
```



# Example

```
Find-Solution( $\Sigma, s_0, S_g$ )
```

```
...
```

```
loop
```

```
  if  $s \in S_g$  then
```

```
    return  $\pi$ 
```

```
  ...
```

```
  nondeterministically choose  $a \in \text{Applicable}(s)$ 
```

```
  nondeterministically choose  $s' \in \gamma(s, a)$ 
```

```
  ...
```

```
   $\pi(s) \leftarrow a$ 
```

```
   $Visited \leftarrow Visited \cup \{s'\}$ 
```

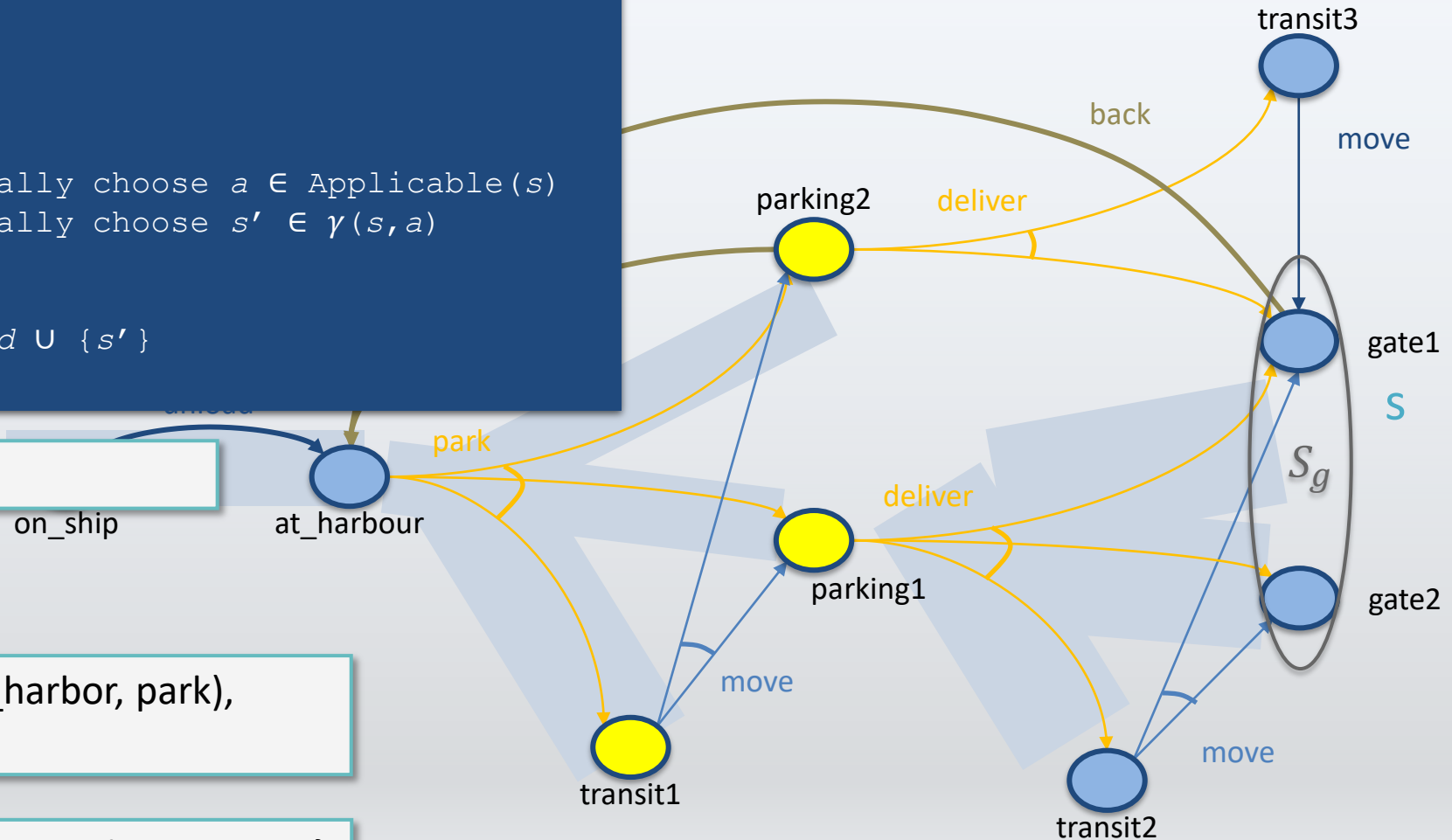
```
   $s \leftarrow s'$ 
```

$s = \text{gate1}$

Gate1 is a goal,  
so return  $\pi$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver})\}$

$Visited = \{\text{on\_ship}, \text{at\_harbor}, \text{parking1}, \text{gate1}\}$





# Finding Acyclic Safe Solutions

```
Find-Acyclic-Solution ( $\Sigma, s_0, S_g$ )
```

```
 $\pi \leftarrow \emptyset$ 
```

```
 $Frontier \leftarrow \{s_0\}$ 
```

```
for every  $s \in Frontier \setminus S_g$  do
```

```
   $Frontier \leftarrow Frontier \setminus \{s\}$ 
```

```
  if  $Applicable(s) = \emptyset$  then
```

```
    return failure
```

```
  nondeterministically choose  $a \in Applicable(s)$ 
```

```
   $\pi \leftarrow \pi \cup (s, a)$ 
```

```
   $Frontier \leftarrow Frontier \cup (\gamma(s, a) \setminus Dom(\pi))$ 
```

```
  if  $has-loops(\pi, s, Frontier)$  then
```

```
    return failure
```

```
return  $\pi$ 
```

Keep track of unexpanded states, like in A\*

Add all outcomes that  $\pi$  does not already handle

Cycle-checking

- Check for cycles
  - For each  $s' \in (\gamma(s, a) \cap Dom(\pi))$ 
    - Is  $s' \in \hat{\gamma}(s', \pi)$ ?
  - Formally,  $has-loops(\pi, s, Frontier)$  iff
    - $\exists s' \in (\gamma(s, a) \cap Dom(\pi)) : s' \in \hat{\gamma}(s', \pi)$
  - I.e., a state  $s'$  is reachable from itself

# Example

Find-Acyclic-Solution ( $\Sigma, s_0, S_g$ )

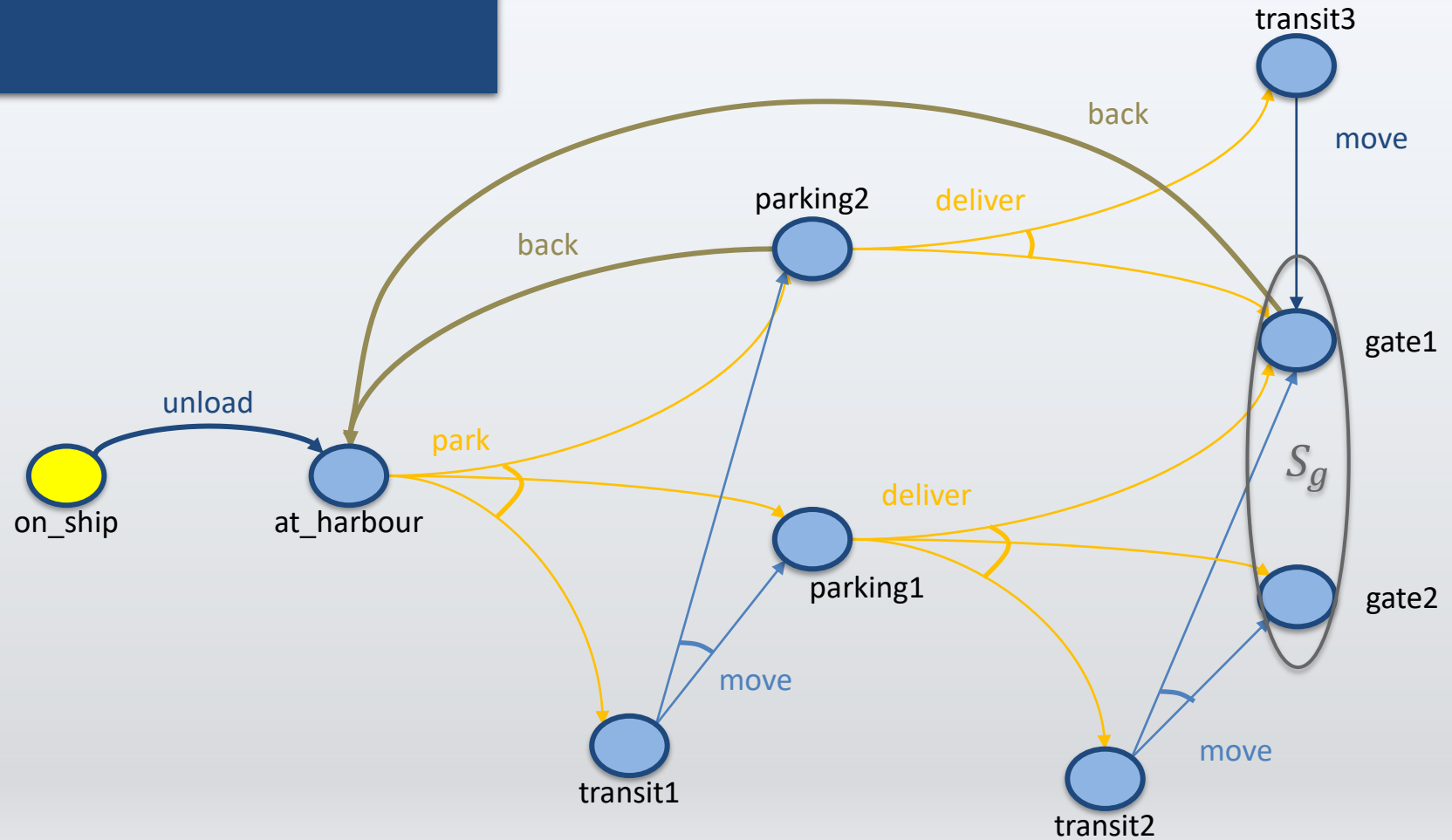
$\pi \leftarrow \emptyset$

Frontier  $\leftarrow \{s_0\}$

...

Frontier  $\setminus S_g = \{\text{on\_ship}\}$

$\pi = \{\}$



# Example

**Find-Acyclic-Solution** ( $\Sigma, s_0, S_g$ )

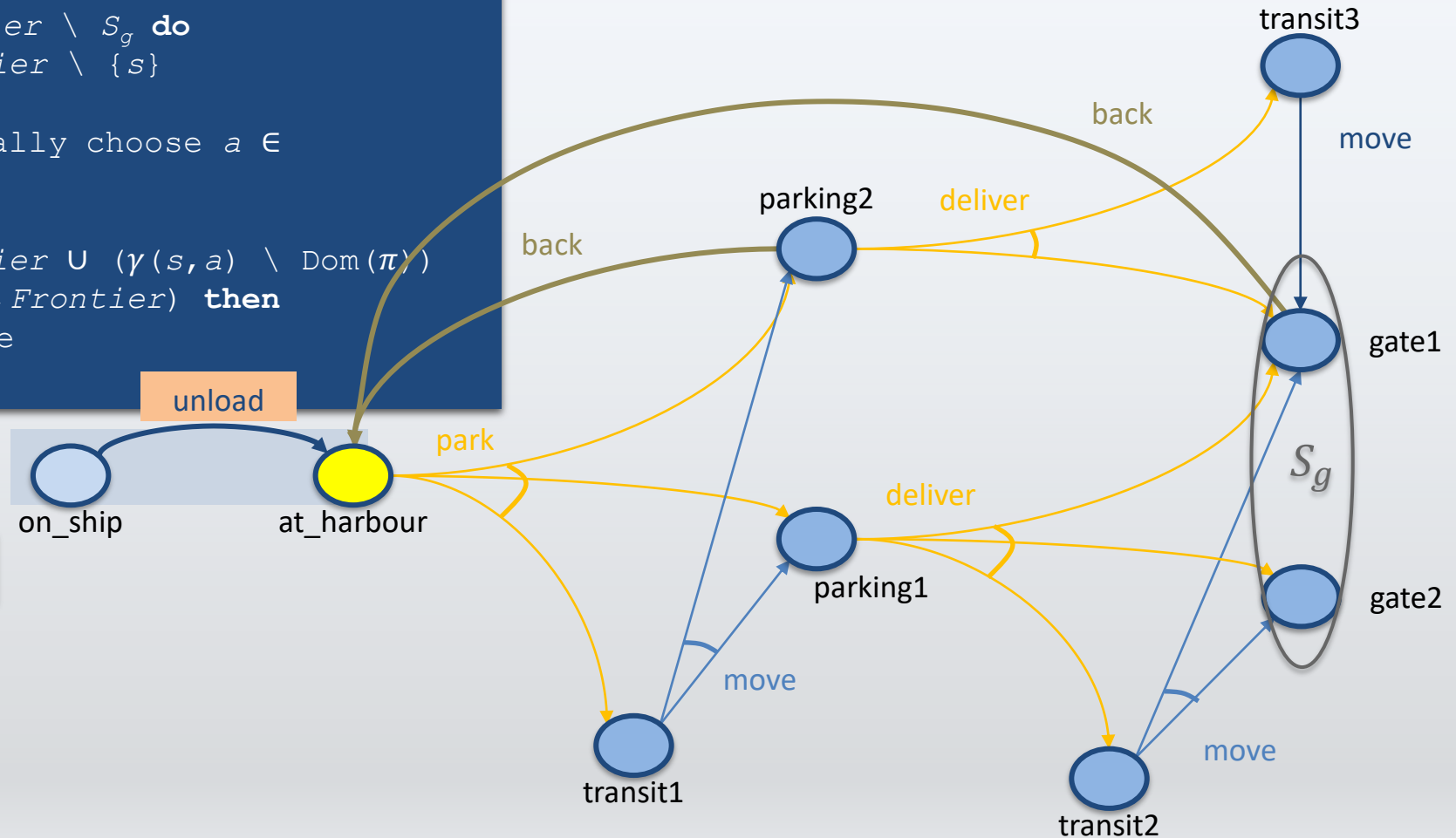
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{on\_ship}$

$\text{Frontier} \setminus S_g = \{\text{at\_harbor}\}$

$\pi = \{(\text{on\_ship}, \text{unload})\}$



# Example

Find-Acyclic-Solution ( $\Sigma, s_0, S_g$ )

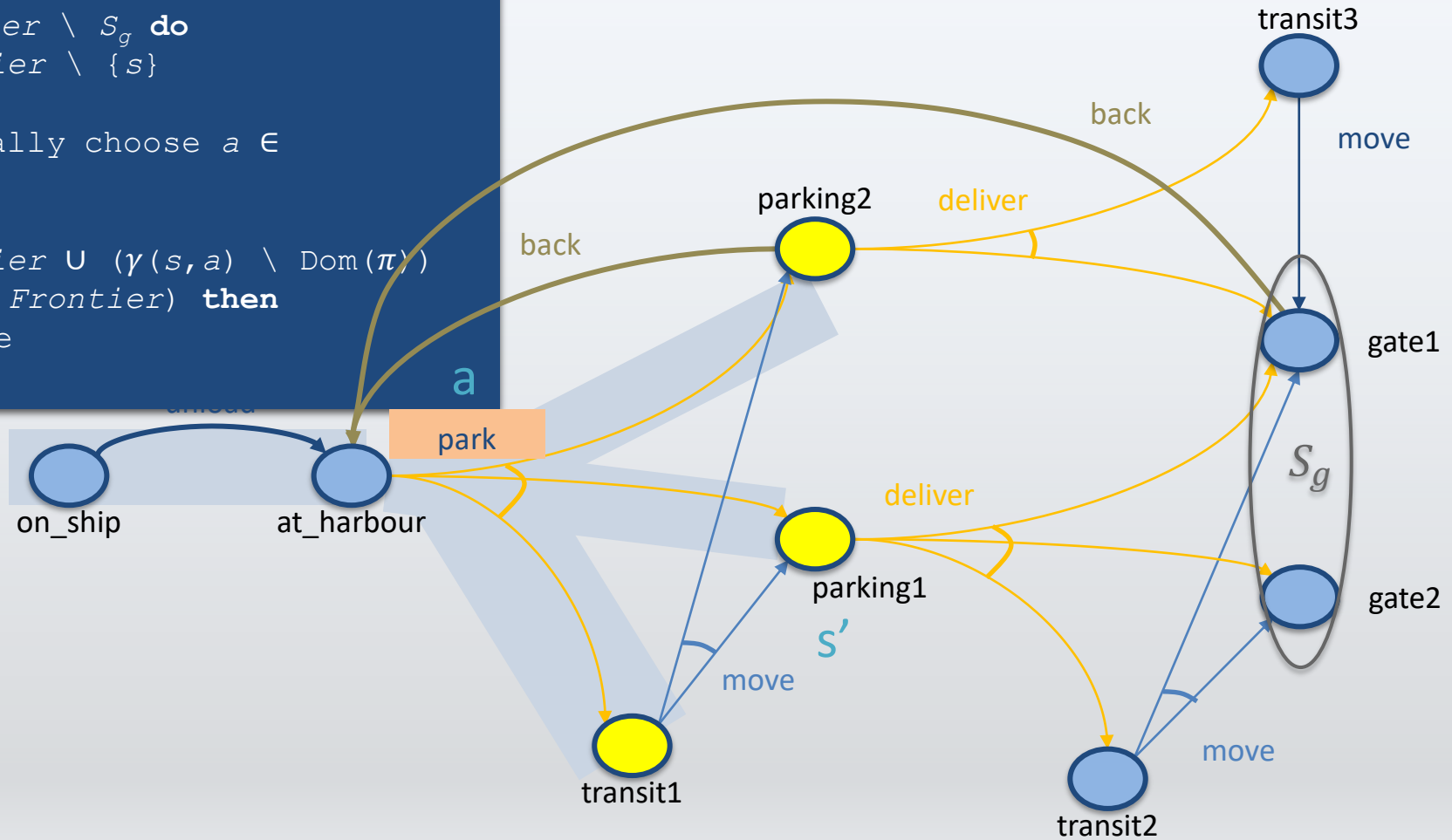
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{at\_harbor}$

$\text{Frontier} \setminus S_g = \{\text{parking1}, \text{parking2}, \text{transit1}\}$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park})\}$



# Example

Find-Acyclic-Solution ( $\Sigma, s_0, S_g$ )

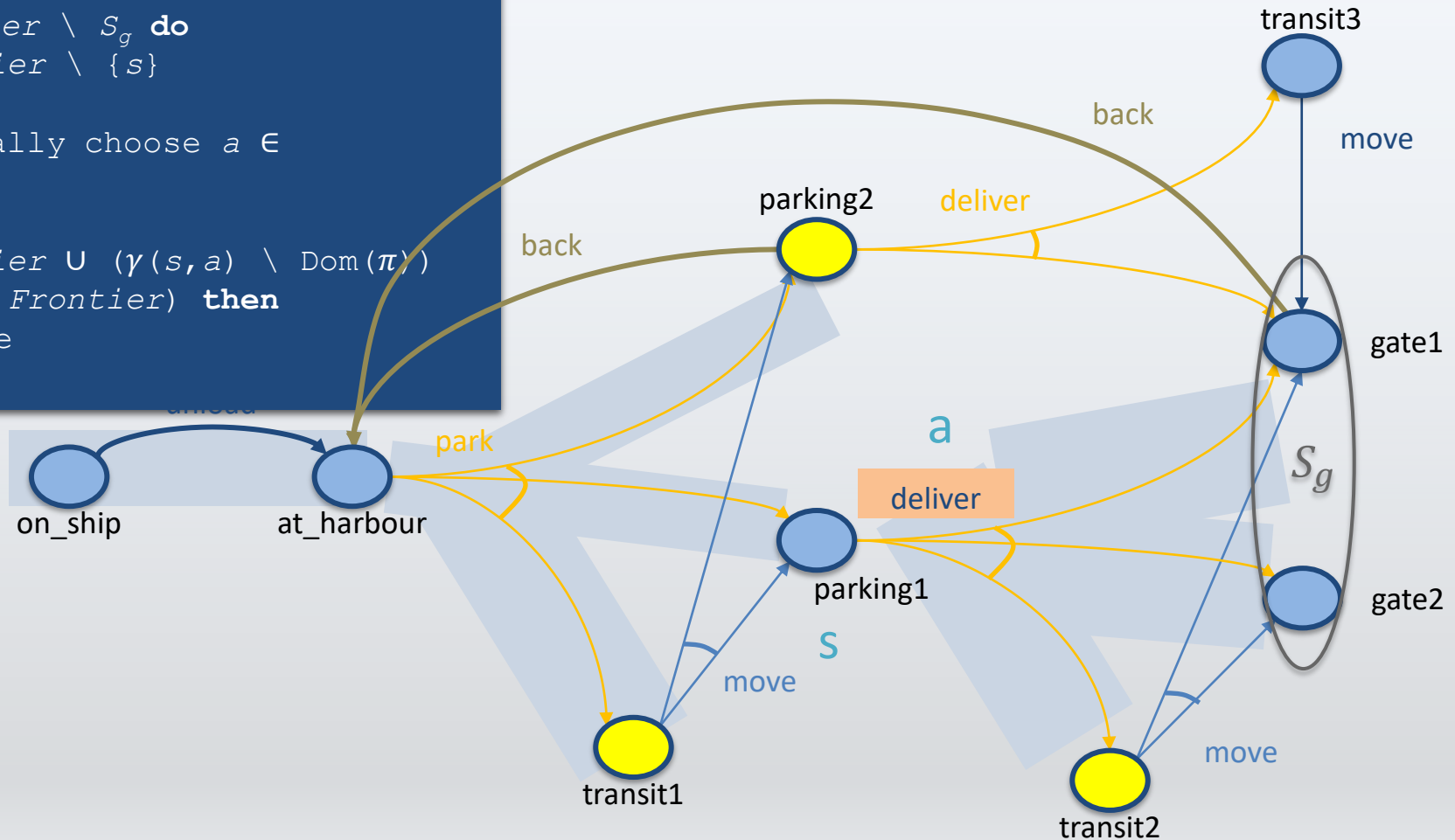
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{parking1}$

$\text{Frontier} \setminus S_g = \{\text{parking2}, \text{transit1}, \text{transit2}\}$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}), (\text{parking1}, \text{deliver})\}$



# Example

**Find-Acyclic-Solution** ( $\Sigma, s_0, S_g$ )

```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

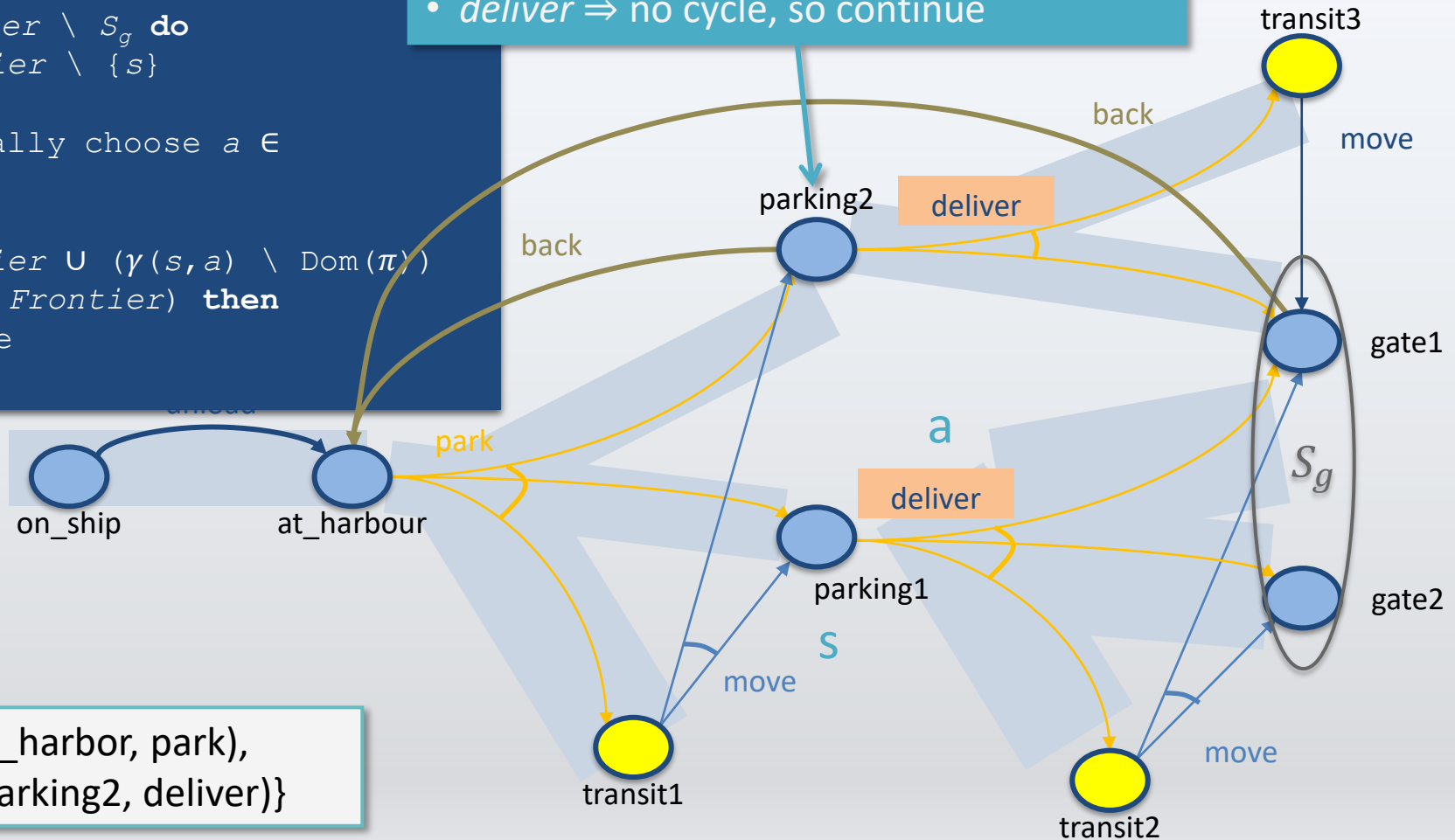
nondeterministically choose *back* or *deliver*

- *back*  $\Rightarrow$  cycle, so return *failure*
- *deliver*  $\Rightarrow$  no cycle, so continue

$s = \text{parking2}$

$\text{Frontier} \setminus S_g = \{\text{transit1}, \text{transit2}, \text{transit3}\}$

$\pi = \{ (\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}), (\text{parking1}, \text{deliver}), (\text{parking2}, \text{deliver}) \}$





# Example

**Find-Acyclic-Solution** ( $\Sigma, s_0, S_g$ )

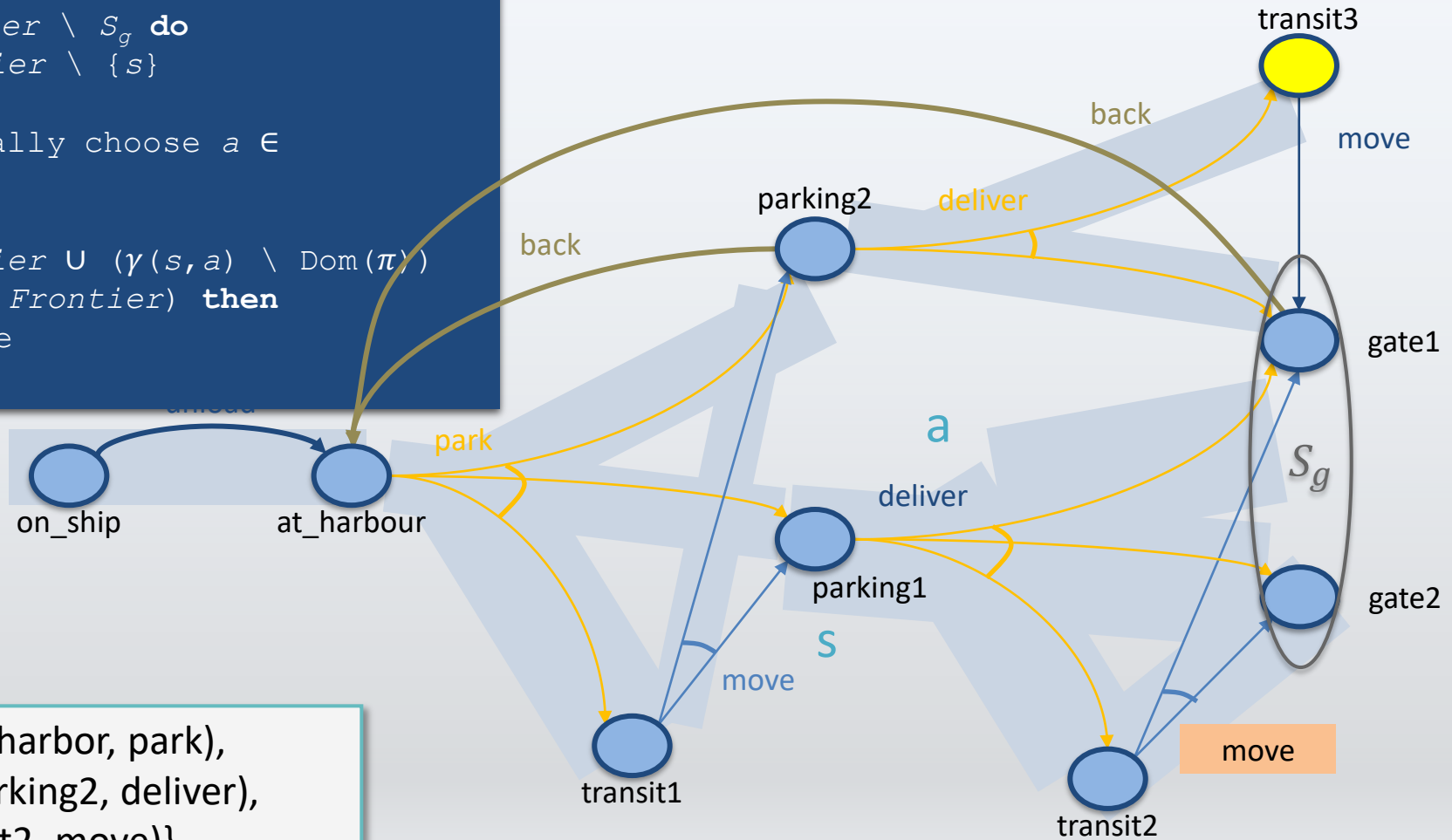
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{transit2}$

$\text{Frontier} \setminus S_g = \{\text{transit3}\}$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver}), (\text{parking2}, \text{deliver}),$   
 $(\text{transit1}, \text{move}), (\text{transit2}, \text{move})\}$





# Example

**Find-Acyclic-Solution** ( $\Sigma, s_0, S_g$ )

```

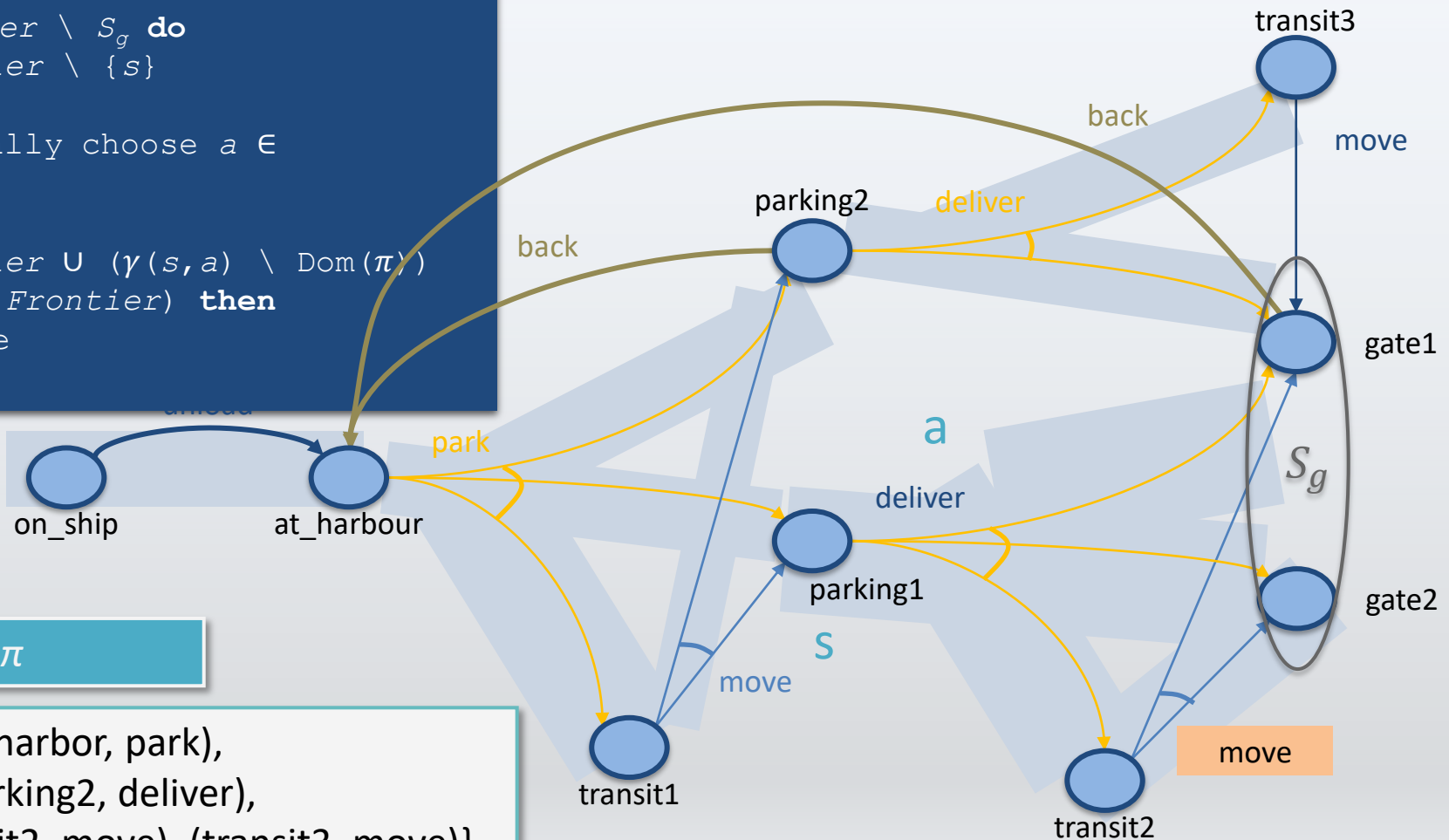
...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{transit3}$

$\text{Frontier} \setminus S_g = \emptyset$

Found a solution, so return  $\pi$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver}), (\text{parking2}, \text{deliver}),$   
 $(\text{transit1}, \text{move}), (\text{transit2}, \text{move}), (\text{transit3}, \text{move})\}$



# Finding Safe Solutions

```
Find-Safe-Solution ( $\Sigma, s_0, S_g$ )  
   $\pi \leftarrow \emptyset$   
   $Frontier \leftarrow \{s_0\}$   
  for every  $s \in Frontier \setminus S_g$  do  
     $Frontier \leftarrow Frontier \setminus \{s\}$   
    if  $Applicable(s) = \emptyset$  then  
      return failure  
    nondeterministically choose  $a \in Applicable(s)$   
     $\pi \leftarrow \pi \cup (s, a)$   
     $Frontier \leftarrow Frontier \cup (\gamma(s, a) \setminus Dom(\pi))$   
    if  $has\text{-unsafe-loops}(\pi, s, Frontier)$  then  
      return failure  
  return  $\pi$ 
```

Different cycle-checking

- Same as `Find-Acyclic-Solution` except for cycle-checking
- `has-unsafe-loops` instead of `has-loops`
- Check if  $\pi$  contains any cycles that cannot be escaped:
  - For each  $s' \in (\gamma(s, a) \cap Dom(\pi))$ 
    - Is  $\hat{\gamma}(s', \pi) \cap Frontier = \emptyset$ ?
  - Formally,  $has\text{-unsafe-loops}(\pi, s, Frontier)$  iff
    - $\exists s' \in (\gamma(s, a) \cap Dom(\pi)) : \hat{\gamma}(s', \pi) \cap Frontier = \emptyset$

# Example

Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

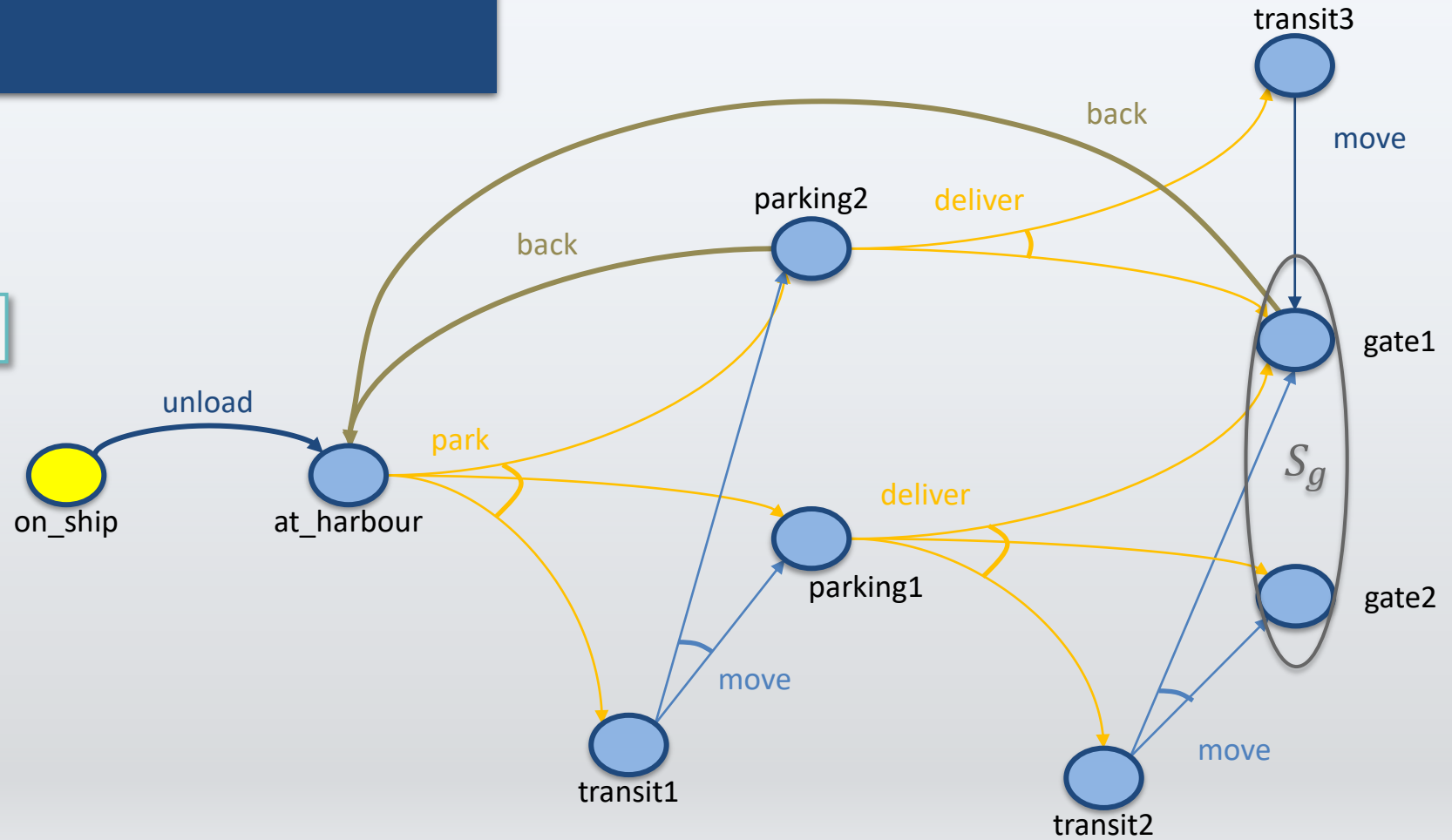
$\pi \leftarrow \emptyset$

Frontier  $\leftarrow \{s_0\}$

...

Frontier  $\setminus S_g = \{\text{on\_ship}\}$

$\pi = \{\}$



# Example

```
Find-Safe-Solution( $\Sigma, s_0, S_g$ )
```

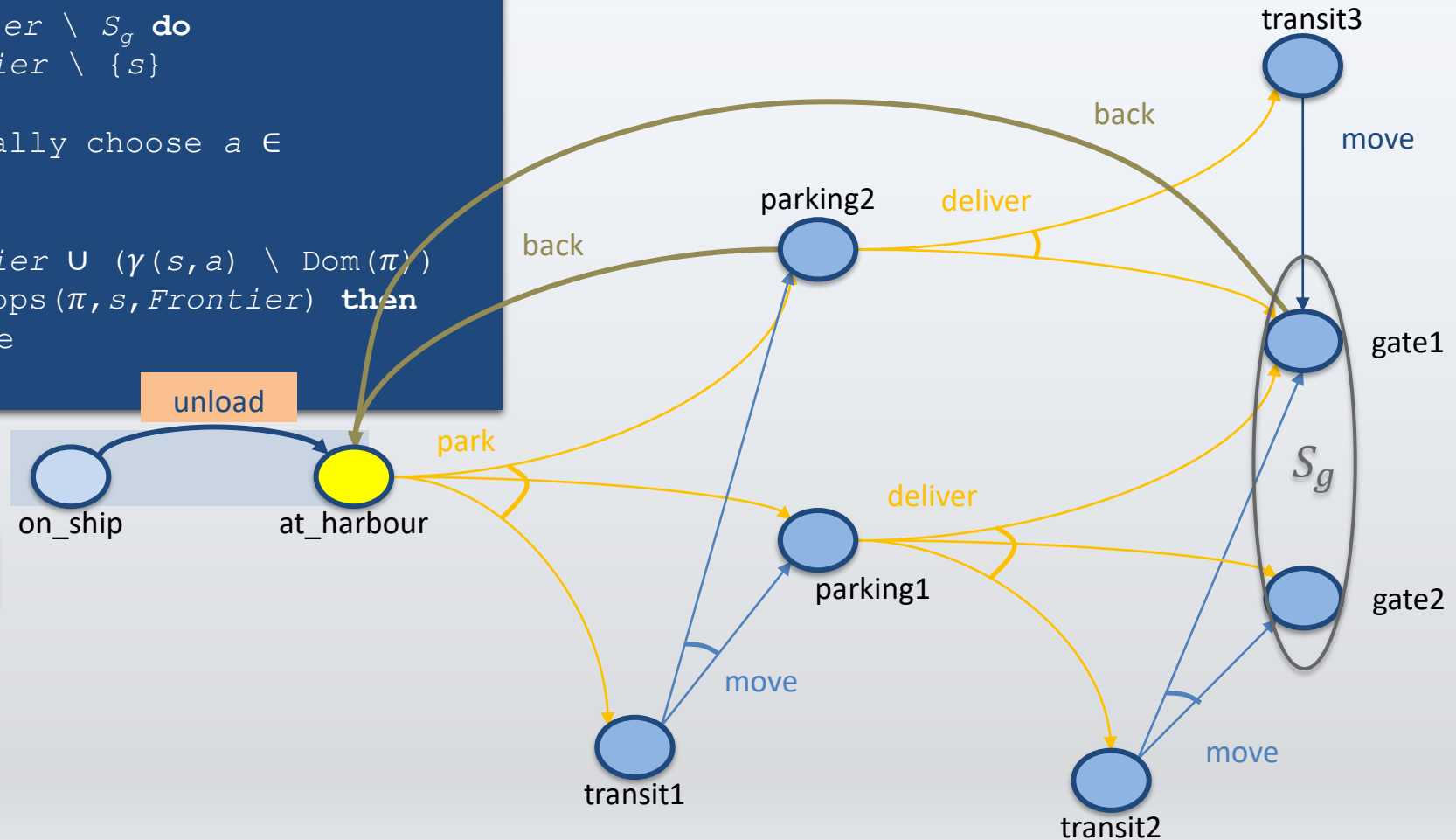
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
    Frontier  $\leftarrow$  Frontier  $\setminus$  { $s$ }
    ...
    nondeterministically choose  $a \in$ 
    Applicable( $s$ )
     $\pi \leftarrow \pi \cup (s, a)$ 
    Frontier  $\leftarrow$  Frontier  $\cup$  ( $\gamma(s, a) \setminus \text{Dom}(\pi)$ )
    if has-unsafe-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{on\_ship}$

$\text{Frontier} \setminus S_g = \{\text{at\_harbor}\}$

$\pi = \{(\text{on\_ship}, \text{unload})\}$



# Example

Find-Acyclic-Solution ( $\Sigma, s_0, S_g$ )

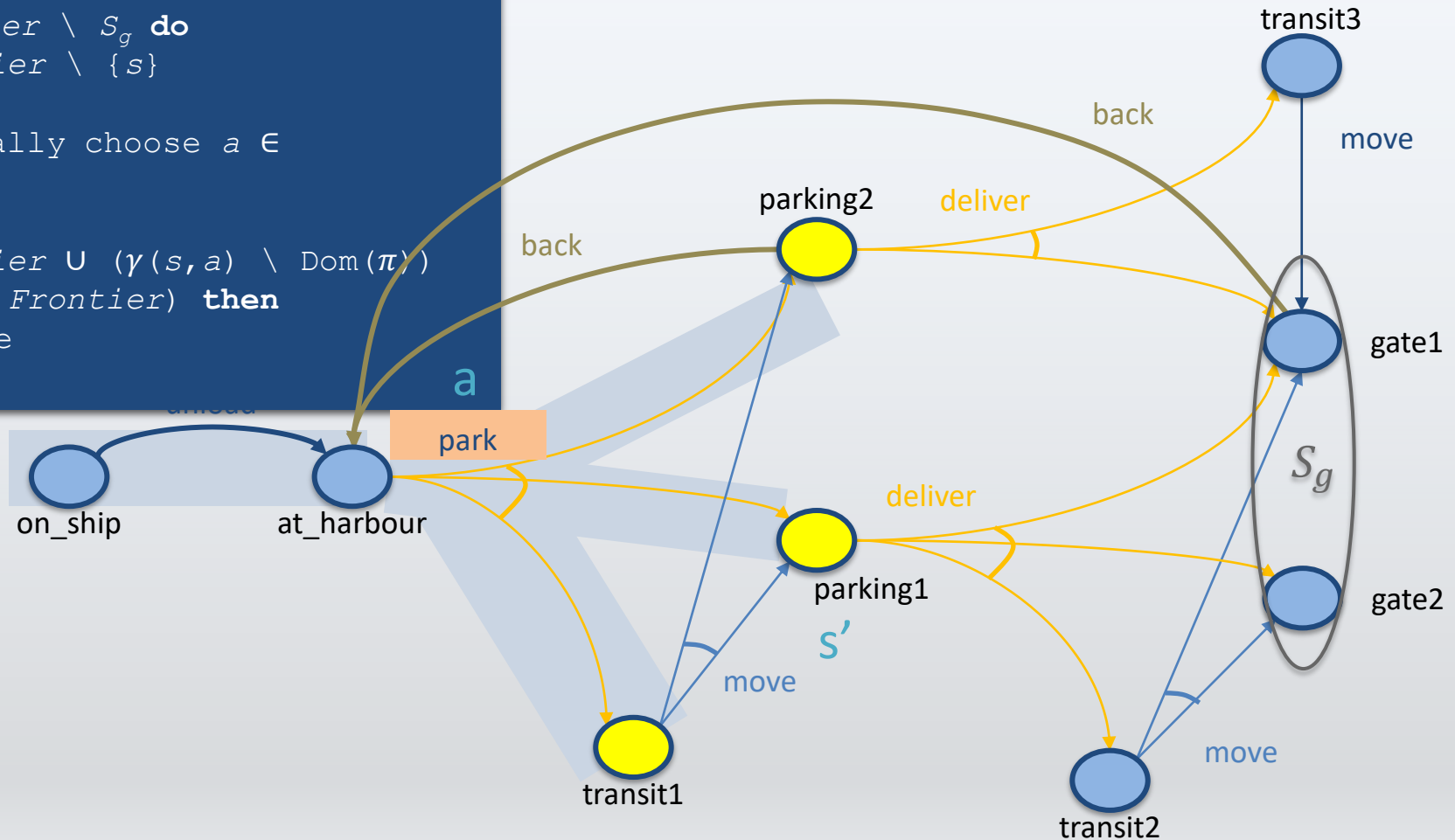
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
  Frontier  $\leftarrow$  Frontier  $\setminus$  { $s$ }
  ...
  nondeterministically choose  $a \in$ 
  Applicable( $s$ )
   $\pi \leftarrow \pi \cup (s, a)$ 
  Frontier  $\leftarrow$  Frontier  $\cup$  ( $\gamma(s, a) \setminus \text{Dom}(\pi)$ )
  if has-loops( $\pi, s, \text{Frontier}$ ) then
    return failure
return  $\pi$ 
    
```

$s = \text{at\_harbor}$

$\text{Frontier} \setminus S_g = \{\text{parking1}, \text{parking2}, \text{transit1}\}$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park})\}$





# Example

**Find-Safe-Solution** ( $\Sigma, s_0, S_g$ )

```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
  Frontier  $\leftarrow$  Frontier  $\setminus$  { $s$ }
  ...
  nondeterministically choose  $a \in$ 
  Applicable( $s$ )
   $\pi \leftarrow \pi \cup (s, a)$ 
  Frontier  $\leftarrow$  Frontier  $\cup$  ( $\gamma(s, a) \setminus \text{Dom}(\pi)$ )
  if has-unsafe-loops( $\pi, s, \text{Frontier}$ ) then
    return failure
return  $\pi$ 
    
```

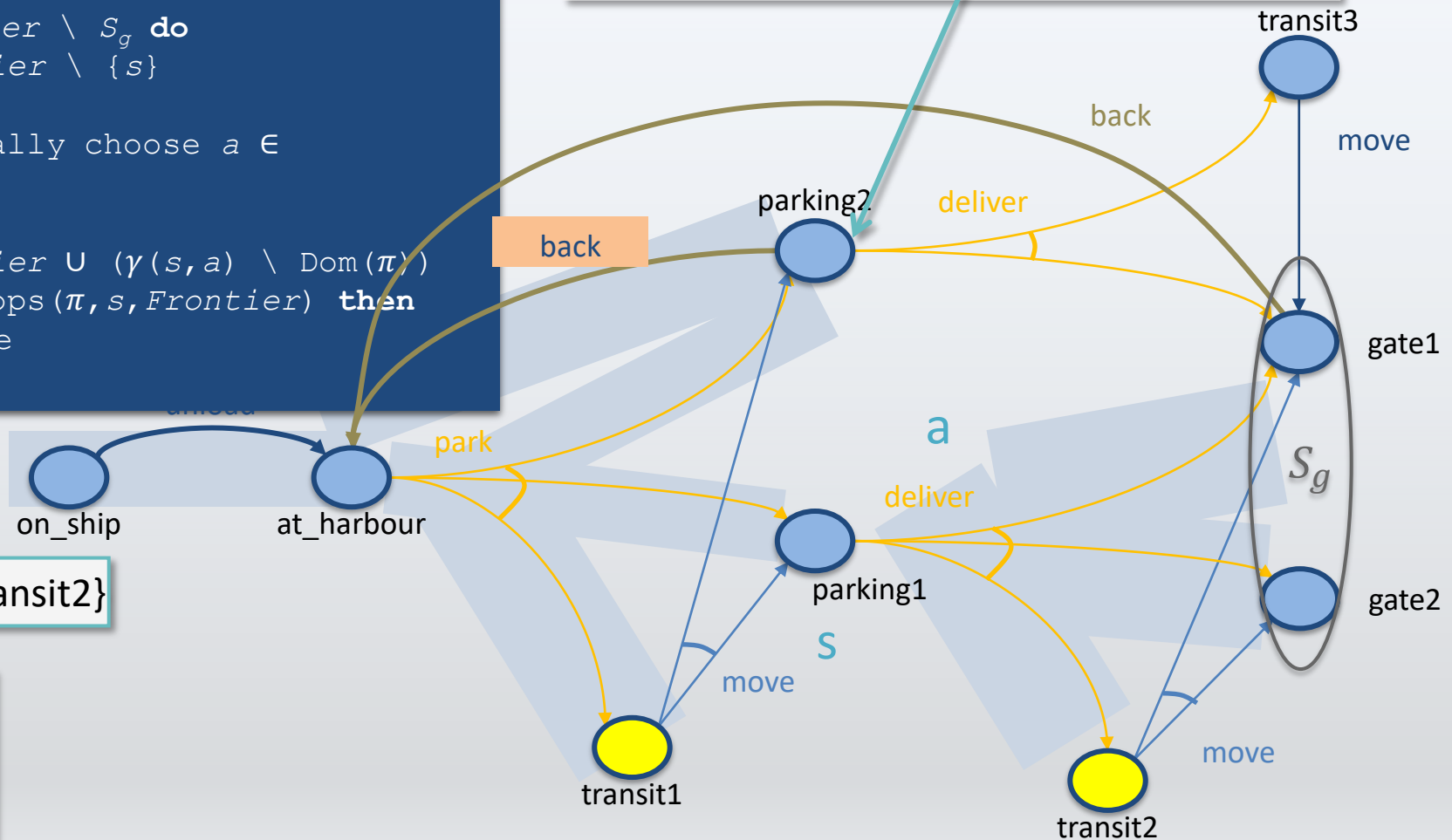
$s = \text{parking2}$

$\text{Frontier} \setminus S_g = \{\text{transit1}, \text{transit2}\}$

$\pi = \{(\text{on\_ship}, \text{unload}),$   
 $(\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver}),$   
 $(\text{parking2}, \text{back})\}$

nondeterministically choose *back* or *deliver*

- back* is okay: escapable cycle



# Example

**Find-Safe-Solution** ( $\Sigma, s_0, S_g$ )

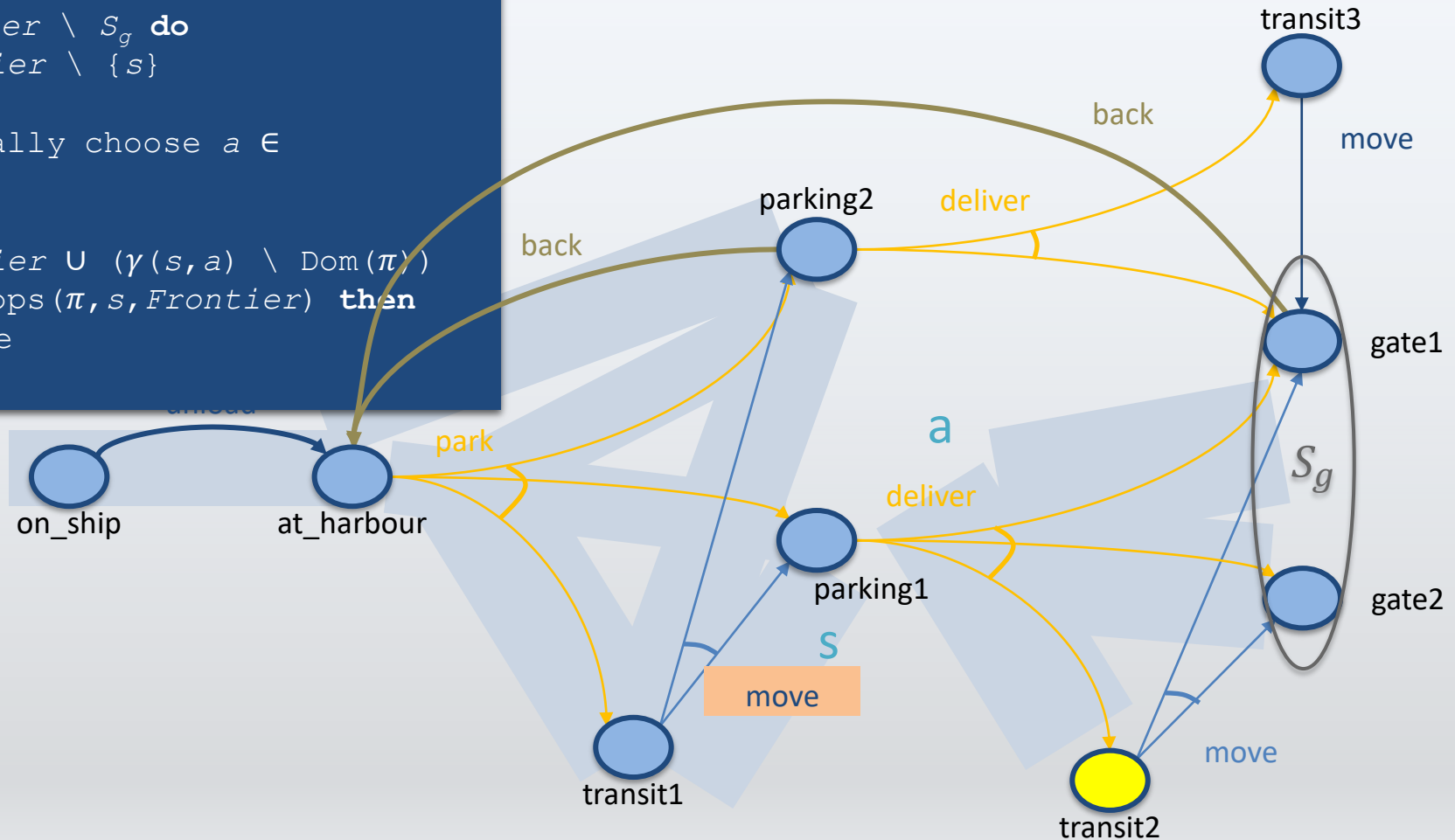
```

...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-unsafe-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{transit1}$

$\text{Frontier} \setminus S_g = \{\text{transit2}\}$

$\pi = \{(\text{on\_ship}, \text{unload}),$   
 $(\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver}),$   
 $(\text{parking2}, \text{back}),$   
 $(\text{transit1}, \text{move})\}$





# Example

**Find-Safe-Solution** ( $\Sigma, s_0, S_g$ )

```

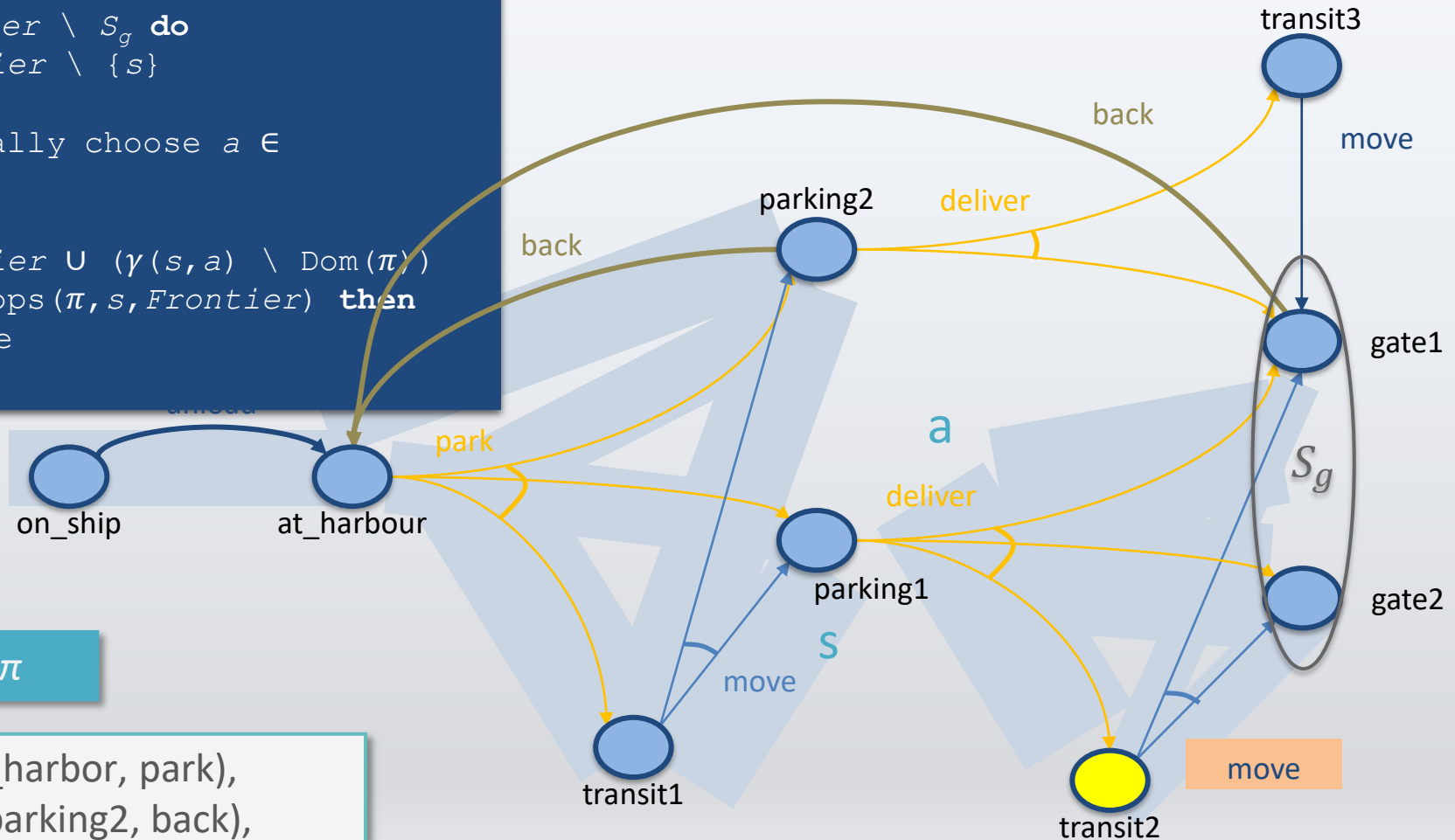
...
for every  $s \in \text{Frontier} \setminus S_g$  do
     $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$ 
    ...
    nondeterministically choose  $a \in \text{Applicable}(s)$ 
     $\pi \leftarrow \pi \cup (s, a)$ 
     $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$ 
    if has-unsafe-loops( $\pi, s, \text{Frontier}$ ) then
        return failure
return  $\pi$ 
    
```

$s = \text{transit2}$

$\text{Frontier} \setminus S_g = \emptyset$

Found a solution, so return  $\pi$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (parking2, back), (transit1, move), (transit2, move)\}$





# Intermediate Summary

- And/Or Graph Search
  - Analogue to forward search in deterministic models
  - Algorithms for each type of solution
    - Unsafe
    - Cyclic safe
    - Acyclic safe

# Guided-Find-Safe-Solution

- Motivation:
  - Much easier to find solutions if they don't have to be safe
  - Find-Safe-Solution needs plans for all possible outcomes of actions
  - Find-Solution only needs a plan for one of them
- Idea:
  - loop
    - Find a solution  $\pi$
    - Look at each leaf node of  $\pi$ 
      - If the leaf node is not a goal, find a solution and incorporate it into  $\pi$

# Guided-Find-Safe-Solution

**Guided-Find-Safe-Solution** ( $\Sigma, s_0, S_g$ )

**if**  $s_0 \in S_g$  **then**

**return**  $\emptyset$

**if**  $\text{Applicable}(s_0) = \emptyset$  **then**

**return** failure

$\pi \leftarrow \emptyset$

**loop**

$Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$

**if**  $Q = \emptyset$  **then**

$\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$

**return**  $\pi$

    arbitrarily select  $s \in Q$

$\pi' \leftarrow \text{Find-Solution}(\Sigma, s, S_g)$

**if**  $\pi' \neq \text{failure}$  **then**

$\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$

**else if**  $s = s_0$  **then**

**return** failure

    <-Not in the book

**else**

**for** every  $s', a$  s.t.  $s \in \gamma(s', a)$  **do**

$\pi \leftarrow \pi \setminus \{(s', a)\}$

            make  $a$  not applicable in  $s'$

$\pi$  is a solution. Return the part that is reachable from  $s_0$ .

Choose any leaf  $s$  that is not a goal. Find a solution  $\pi'$  for  $s$ .

For each  $(s, a)$  in  $\pi'$ , add to  $\pi$  unless  $\pi$  already has an action at  $s$ .

$s$  is unsolvable. For each  $(s', a)$  that can produce  $s$ , modify  $\pi$  and  $\Sigma$  so we will never use  $a$  at  $s'$

# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```



park

back

parking2

deliver

back

transit3

move

foo

gate1

$S_g$

gate2

deliver

parking1

move

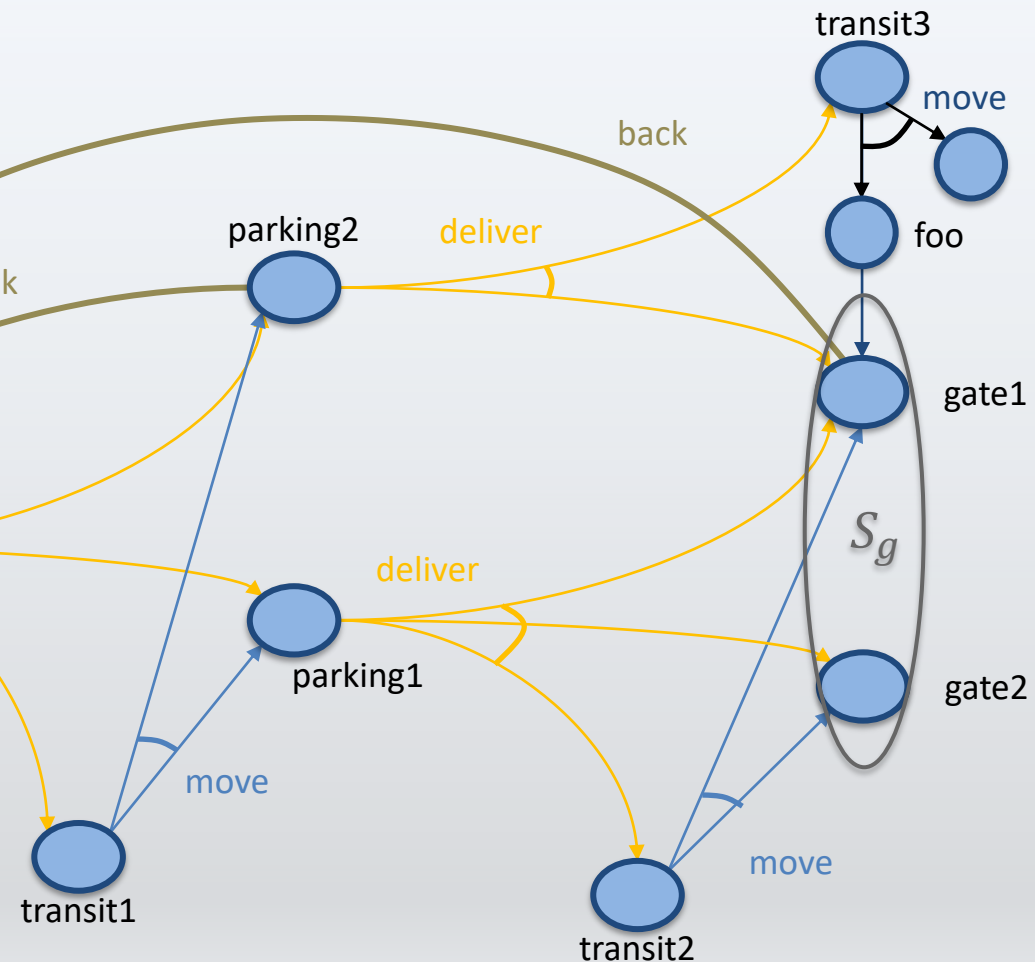
transit1

transit2

move

$s_0 = \text{on\_ship}$

$\pi = \{\}$

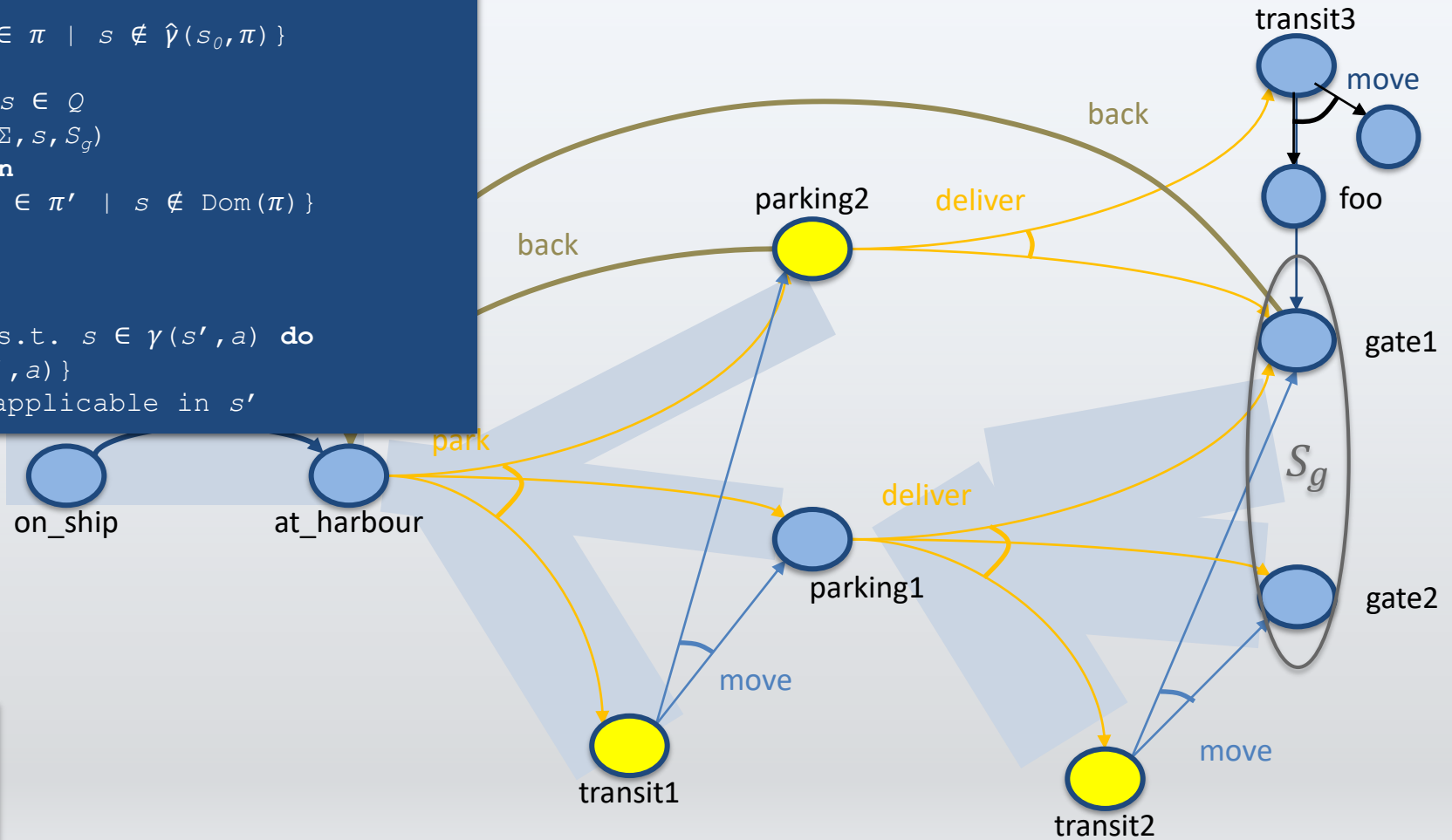


# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```



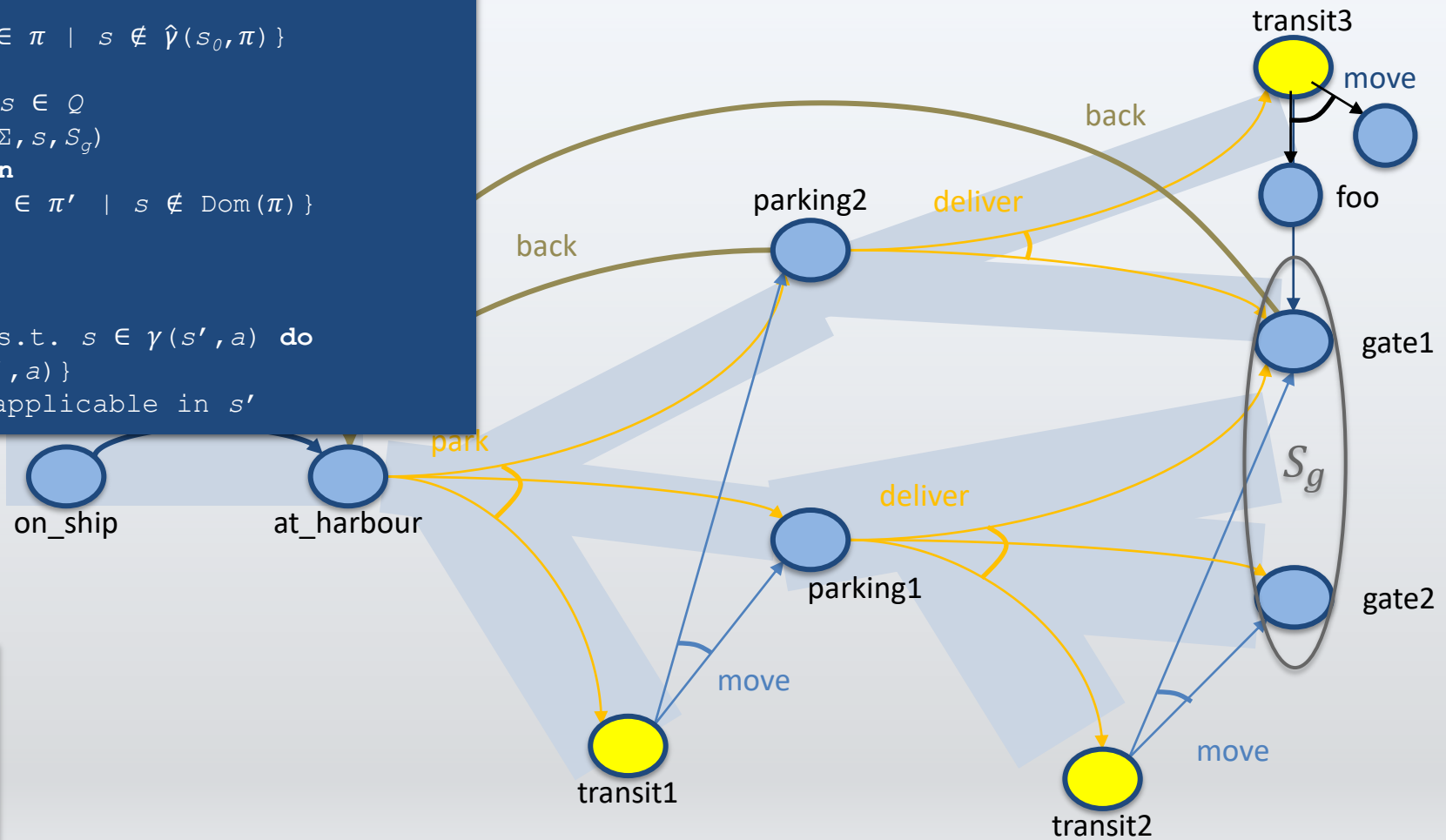
$\pi = \{(\text{on\_ship}, \text{unload}),$   
 $(\text{at\_harbour}, \text{park}),$   
 $(\text{parking1}, \text{deliver})\}$

# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```



$\pi = \{(on\_ship, unload),$   
 $(at\_harbour, park),$   
 $(parking1, deliver),$   
 $(parking2, deliver)\}$

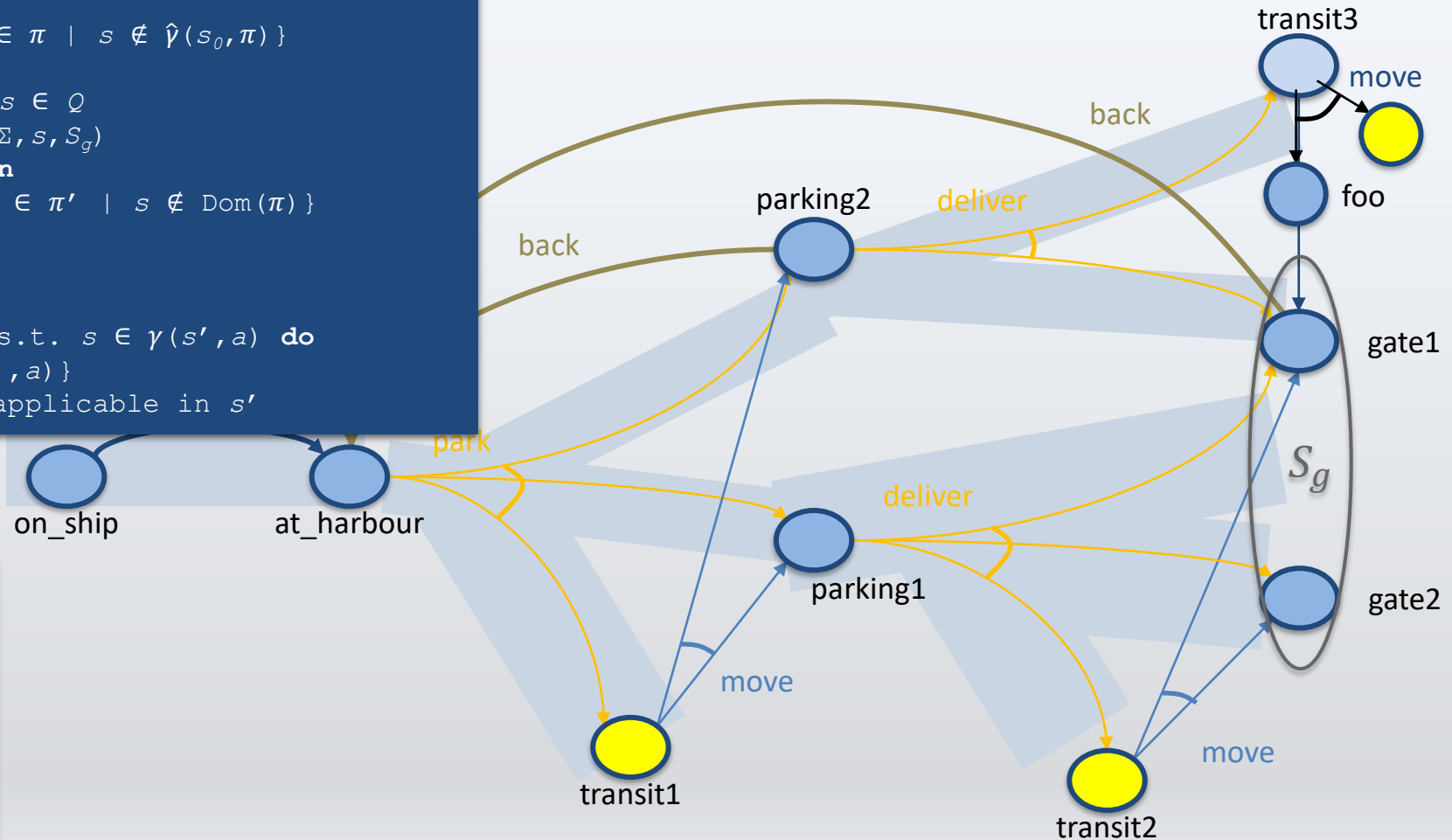
# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(parking2, deliver),$   
 $(transit3, move),$   
 $(foo, move)\}$





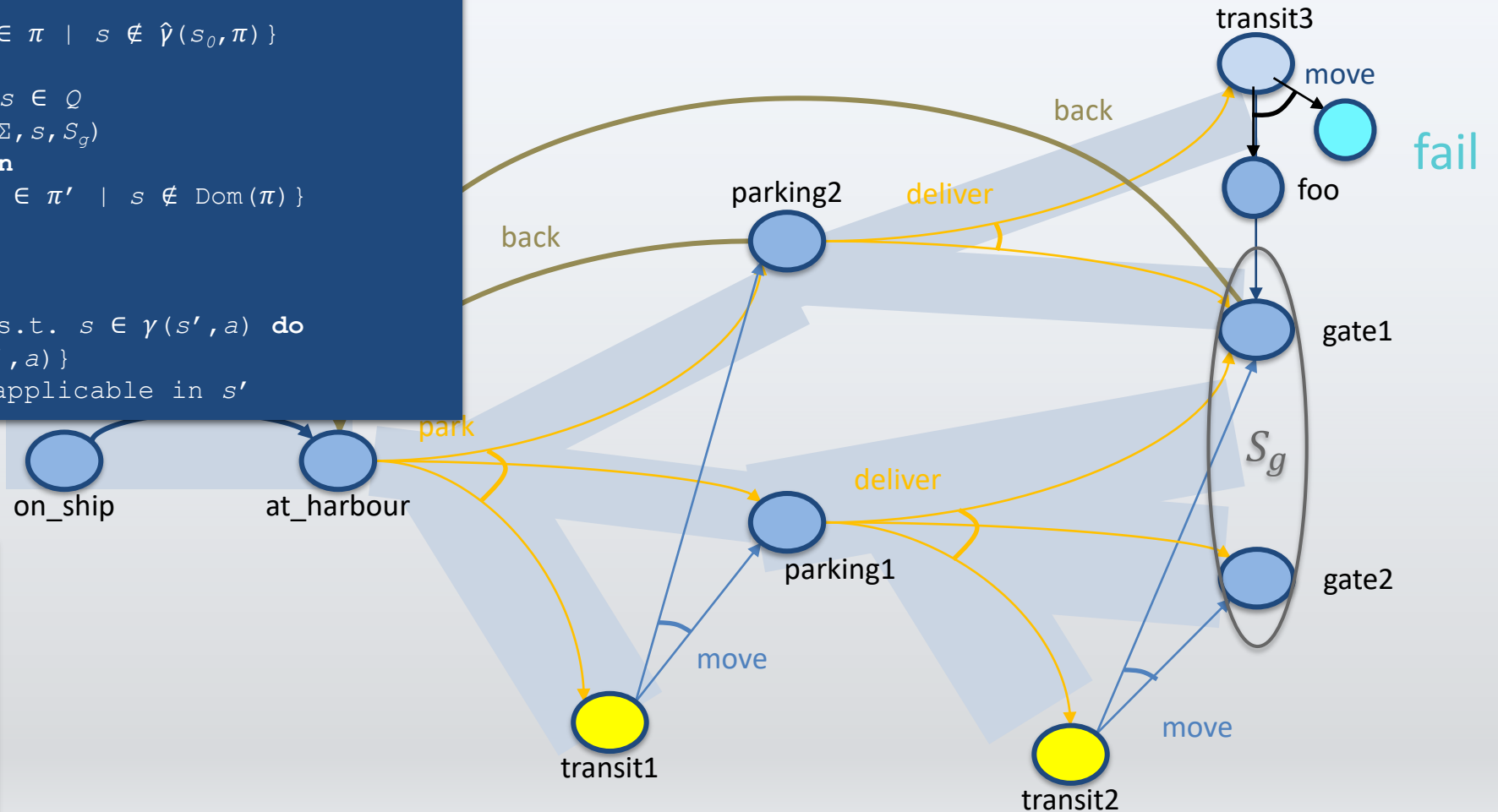
# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(parking2, deliver),$   
 $(transit3, move),$   
 $(foo, move)\}$

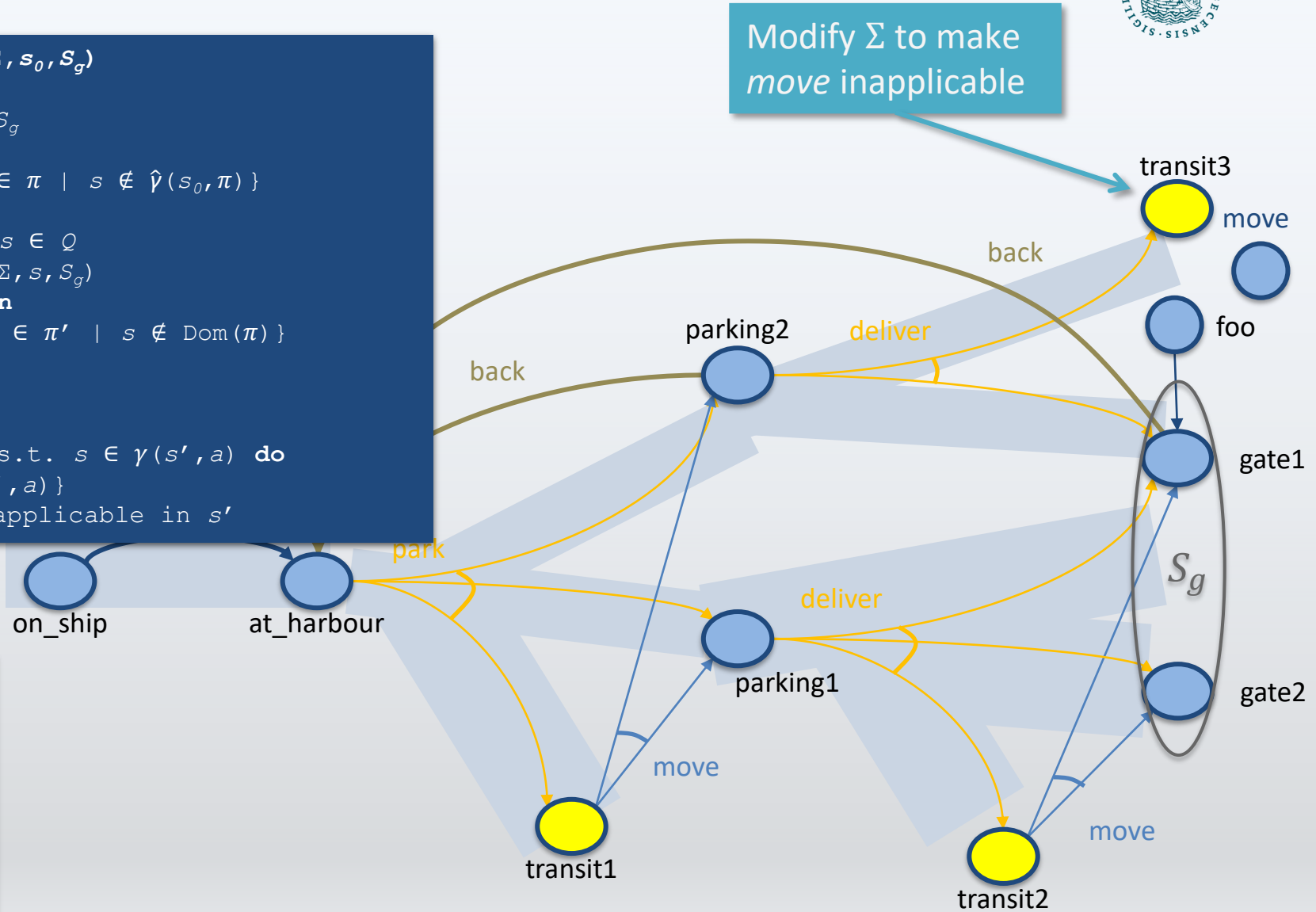


# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```
... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(parking2, deliver),$   
 ~~$(transit3, move),$~~   
 $(foo, move)\}$



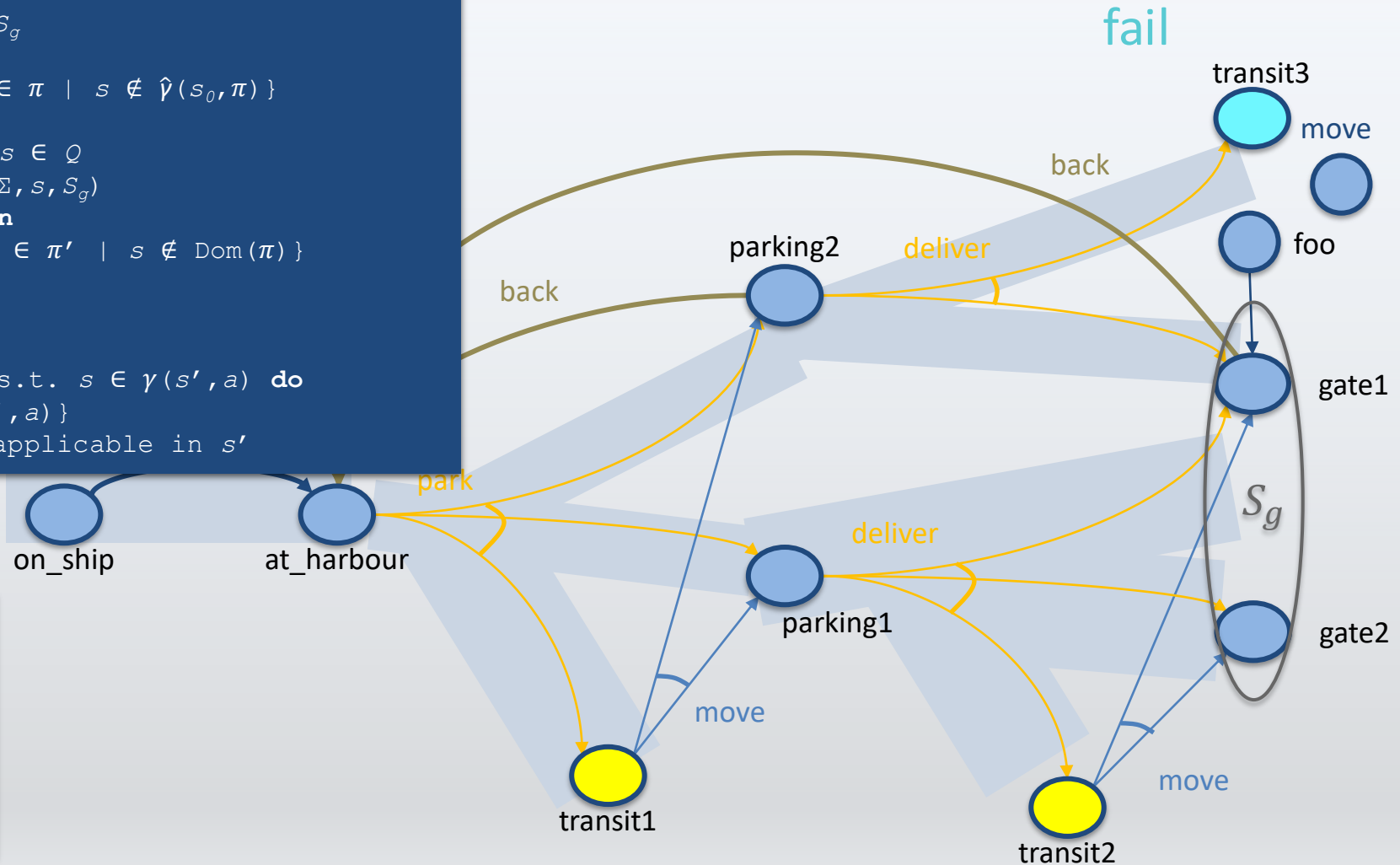
# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(parking2, deliver),$   
 $(foo, move)\}$



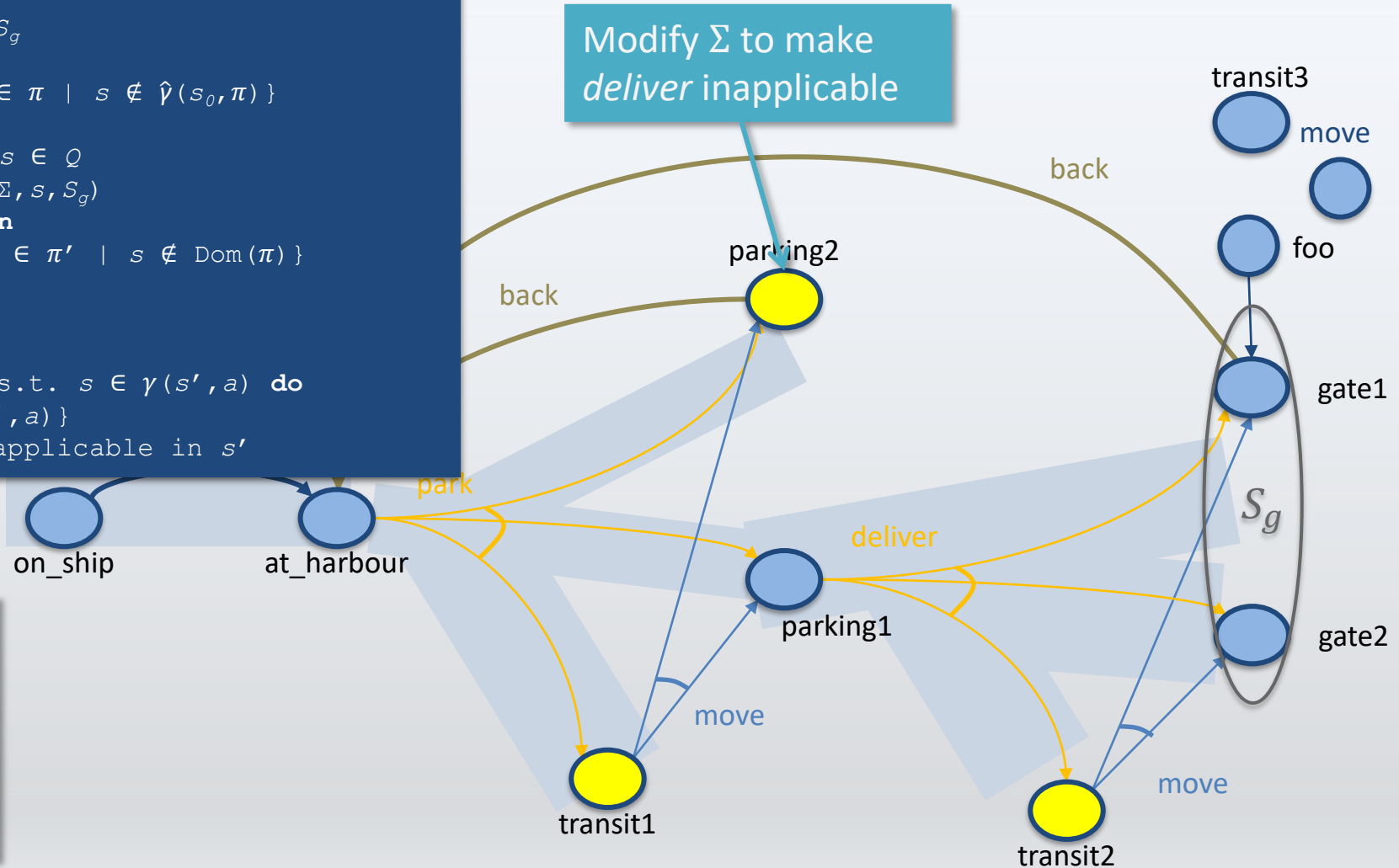
# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 ~~$(parking2, deliver),$~~   
 $(foo, move)\}$



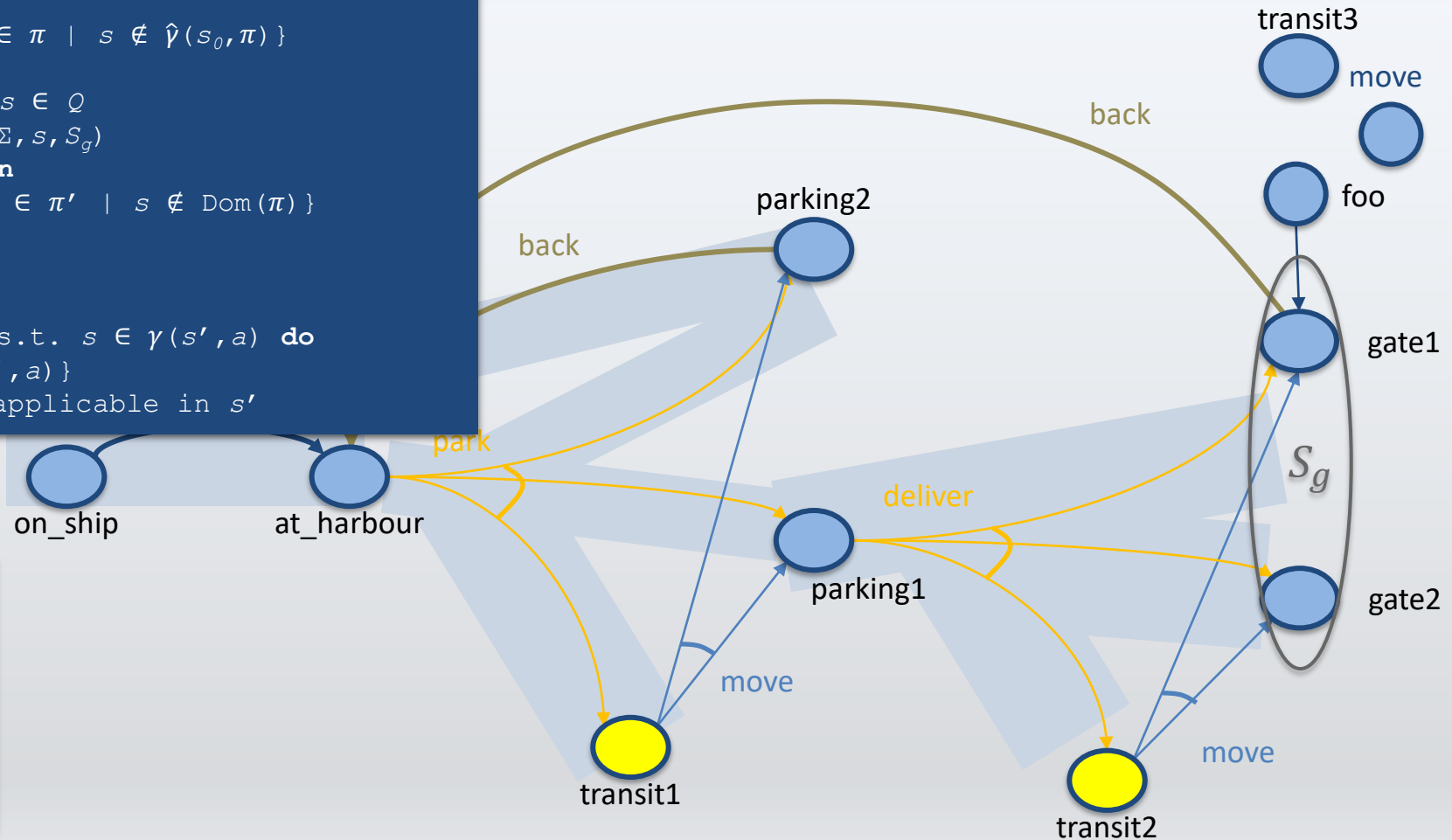
# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(foo, move),$   
 $(parking2, back)\}$



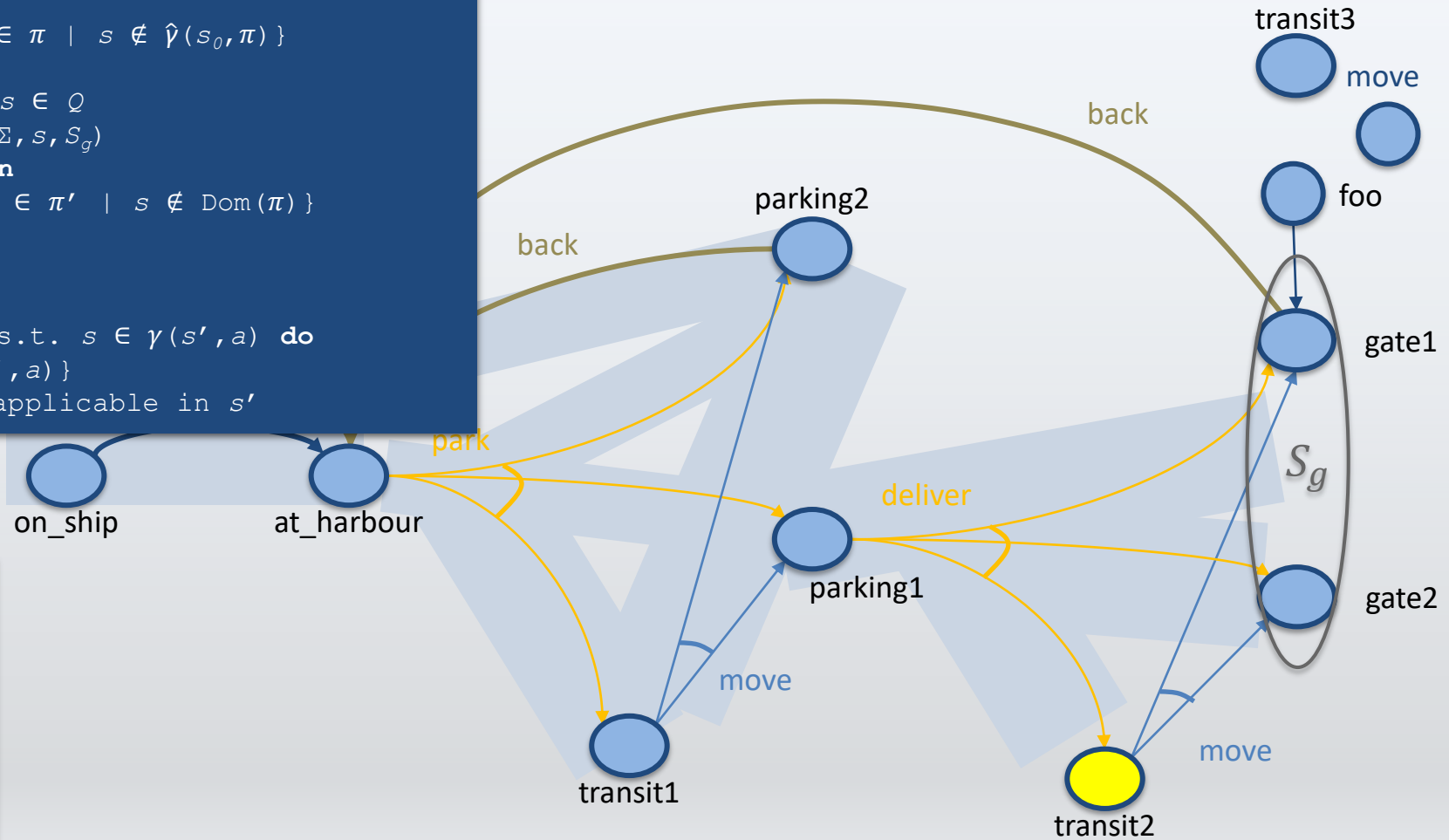
# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```

$\pi = \{(on\_ship, unload),$   
 $(at\_harbor, park),$   
 $(parking1, deliver),$   
 $(foo, move),$   
 $(parking2, back),$   
 $(transit1, move)\}$

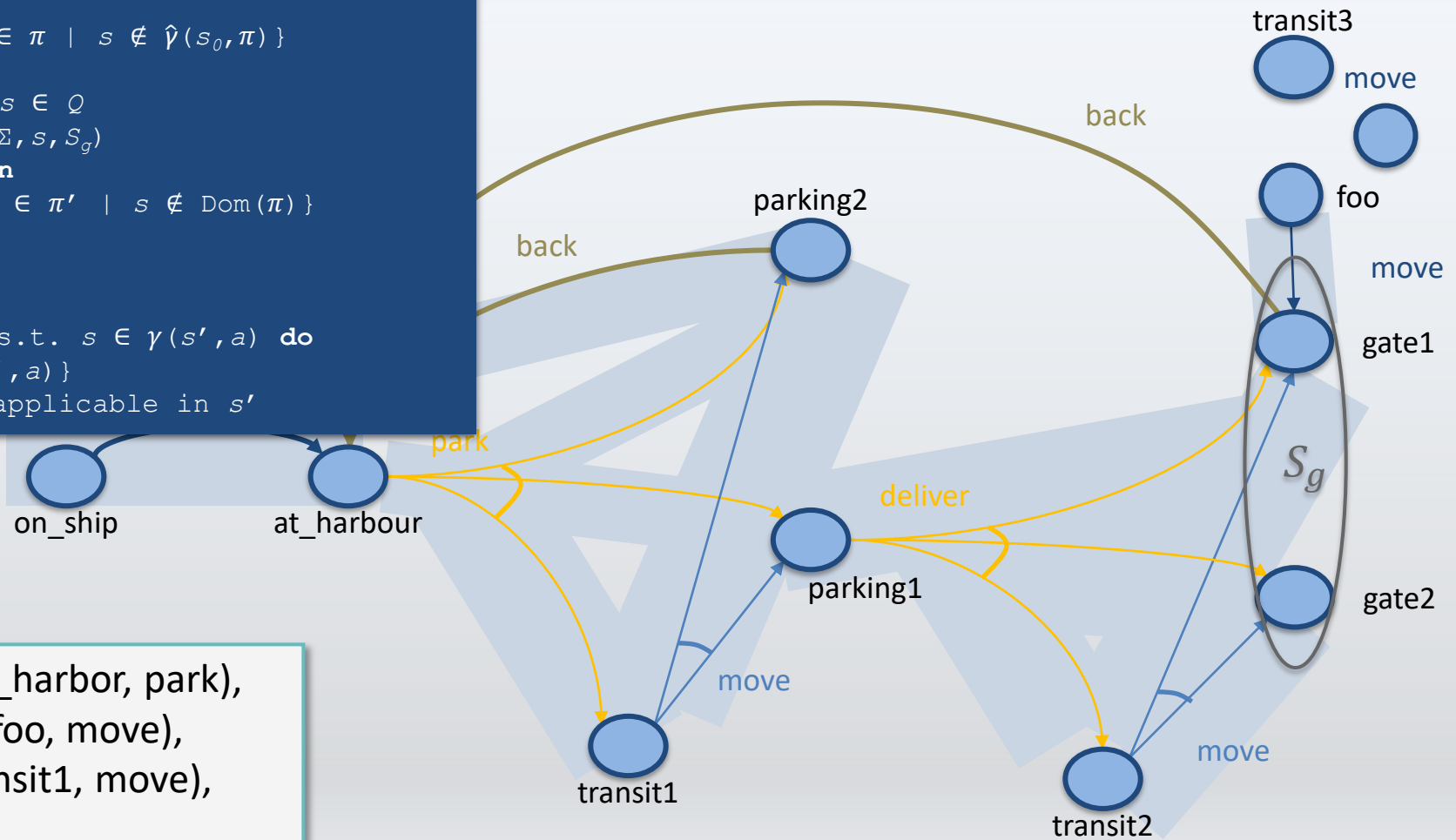


# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```



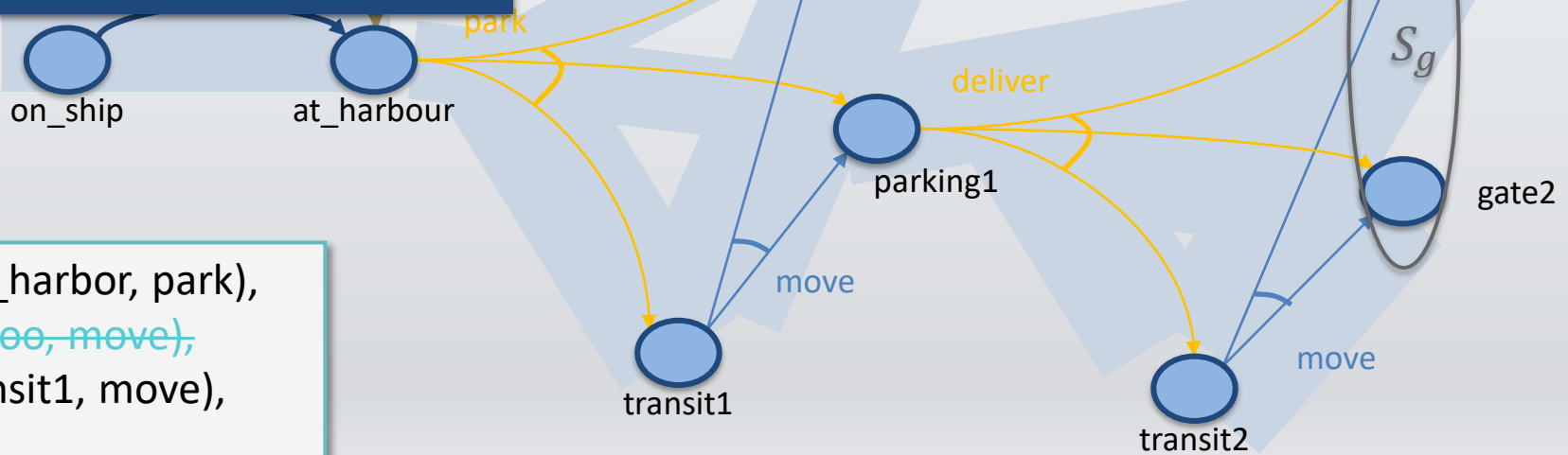
$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbour}, \text{park}),$   
 $(\text{parking1}, \text{deliver}), (\text{foo}, \text{move}),$   
 $(\text{parking2}, \text{back}), (\text{transit1}, \text{move}),$   
 $(\text{transit2}, \text{move})\}$

# Example

Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )

```

... loop
  Q ← leaves(s0, π) \ Sg
  if Q = ∅ then
    π ← π \ {(s, a) ∈ π | s ∉ γ̂(s0, π)}
    return π
  select arbitrarily s ∈ Q
  π' ← Find-Solution(Σ, s, Sg)
  if π' ≠ failure then
    π ← π ∪ {(s, a) ∈ π' | s ∉ Dom(π)}
  else if s = s0 then
    return failure
  else
    for every s', a s.t. s ∈ γ(s', a) do
      π ← π \ {(s', a)}
      make a not applicable in s'
    
```



$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (\del foo, move), (parking2, back), (transit1, move), (transit2, move)\}$



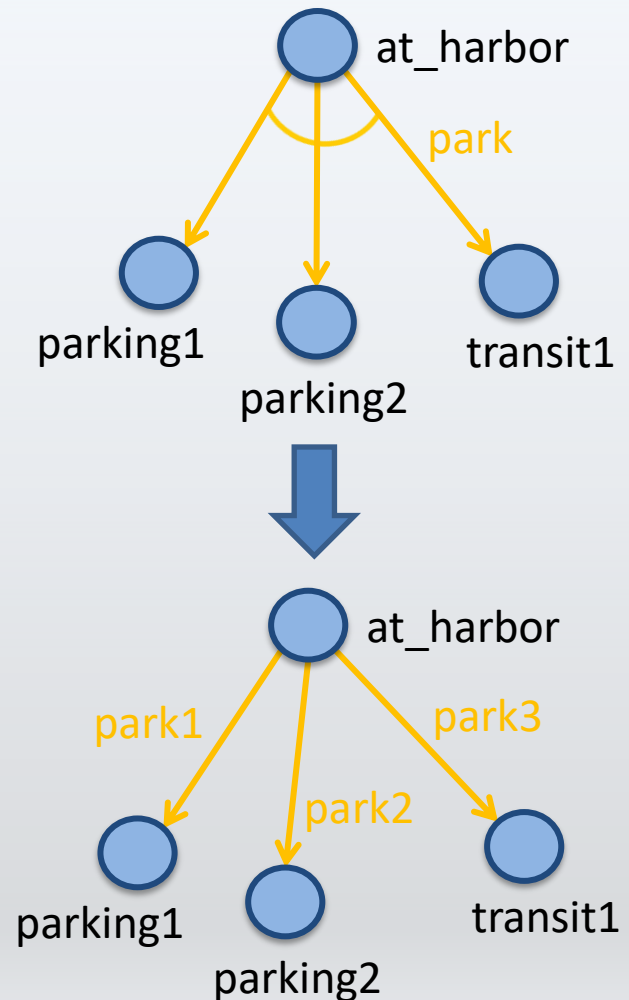
# Determinisation

```
Guided-Find-Safe-Solution ( $\Sigma, s_0, S_g$ )  
  if  $s_0 \in S_g$  then  
    return  $\emptyset$   
  if  $\text{Applicable}(s_0) = \emptyset$  then  
    return failure  
   $\pi \leftarrow \emptyset$   
  loop  
     $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$   
    if  $Q = \emptyset$  then  
       $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$   
      return  $\pi$   
    select arbitrarily  $s \in Q$   
     $\pi' \leftarrow \text{Find-Solution}(\Sigma, s, S_g)$   
    if  $\pi' \neq \text{failure}$  then  
       $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$   
    else if  $s = s_0$  then  
      return failure  
    else  
      for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do  
         $\pi \leftarrow \pi \setminus \{(s', a)\}$   
        make  $a$  not applicable in  $s'$ 
```

- How to implement it?
  - Need implementation of Find-Solution
  - Need it to be very efficient
    - Called many times
- Idea: instead, use a classical planner
  - Any algorithm from Ch. 2
  - Efficient algorithms, search heuristics
- For that, determinise actions

# Determinisation

- Convert the nondeterministic actions into something the classical planner can use
- **Determinise**
  - Suppose  $a_i$  has  $K$  possible outcomes
  - $K$  deterministic actions  $a_i^k, k \in \{1, \dots, K\}$ , one for each outcome
  - Given nondeterministic domain  $\Sigma = (S, A, \gamma)$ , determinised domain  $\Sigma_d = (S, A_d, \gamma_d)$ 
    - $A_d = \bigcup_{a_i \in A, a_i} \text{deterministic}\{a_i\} \cup \bigcup_{a_i \in A, a_i} \text{nondeterministic} \bigcup_{k=1}^K \{a_i^k\}$
    - $\gamma_d$  defined as  $\gamma$  with determinised inputs  $s, a_i^k$  yielding a state with effects according to  $k$
- Classical planner returns a plan  $p = \langle a_1, a_2, \dots, a_n \rangle$ 
  - If  $p$  is acyclic, can convert it to a policy



# Determinisation

- Nondeterministic planning problem  $P = (\Sigma, s_0, S_g)$
- Determinisation  $P_d = (\Sigma_d, s_0, S_g)$ 
  - As on previous slide
  - Classical planner returns a solution for  $P_d$ 
    - A plan  $p = \langle a_1, a_2, \dots, a_n \rangle$
  - If  $p$  is acyclic, can convert it to an (unsafe) solution for  $P$ 
    - $\{(s_0, \mathbf{a}_1), (s_1, \mathbf{a}_2), \dots, (s_{n-1}, \mathbf{a}_n)\}$ 
      - where
      - each  $\mathbf{a}_i$  is the nondeterministic action whose determinisation includes  $a_i$ 
        - Function `det2nondet` returns exactly this
      - each  $s_i \in \gamma_d(s_{i-1}, a_i)$

```
Plan2policy(p= $\langle a_1, \dots, a_n \rangle$ , s)
   $\pi \leftarrow \emptyset$ 
  for i from 1 to n do
     $\pi \leftarrow \pi \cup \{s, \text{det2nondet}(a_i)\}$ 
     $s \leftarrow \gamma_d(s, a_i)$ 
  return  $\pi$ 
```

# Determinisation

**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```
if  $s_0 \in S_g$  then
  return  $\emptyset$ 
if  $\text{Applicable}(s_0) = \emptyset$  then
  return failure
 $\pi \leftarrow \emptyset$ 
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
     $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$ 
    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
     $\pi \leftarrow \text{Plan2policy}(p', s)$ 
     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if  $s = s_0$  then
    return failure
  else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make the actions in the
      determinisation not
      applicable in  $s'$ 
```

Same as Guided-Find-Safe-Solution.

Any classical planner that does not return cyclic plans.

Convert  $p'$  to a policy. Add each  $(s, a)$  to  $\pi$  unless  $\pi$  already has an action for  $s$ .

$s$  is unsolvable. For each  $(s', a)$  that can produce  $s$ , modify  $\pi$  and  $\Sigma_d$  such that we will never use  $a$  at  $s'$ .

# Determinisation

**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

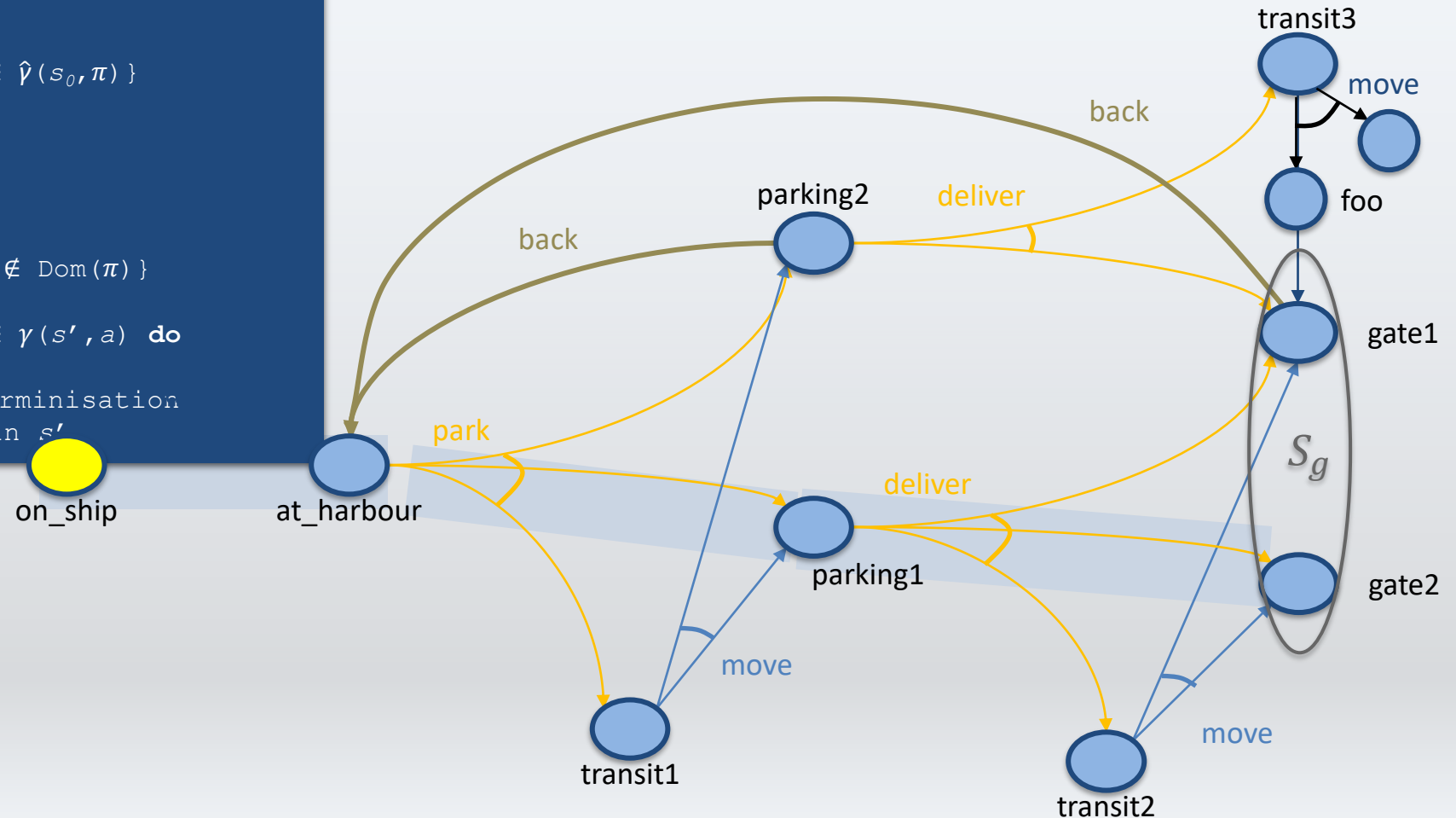
...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
     $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$ 
    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
     $\pi \leftarrow \text{Plan2policy}(p', s)$ 
     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

on\_ship

at\_harbour

$p' = \langle \text{unload}, \text{park}_2, \text{deliver}_2 \rangle$

$\pi = \{\}$



# Determinisation

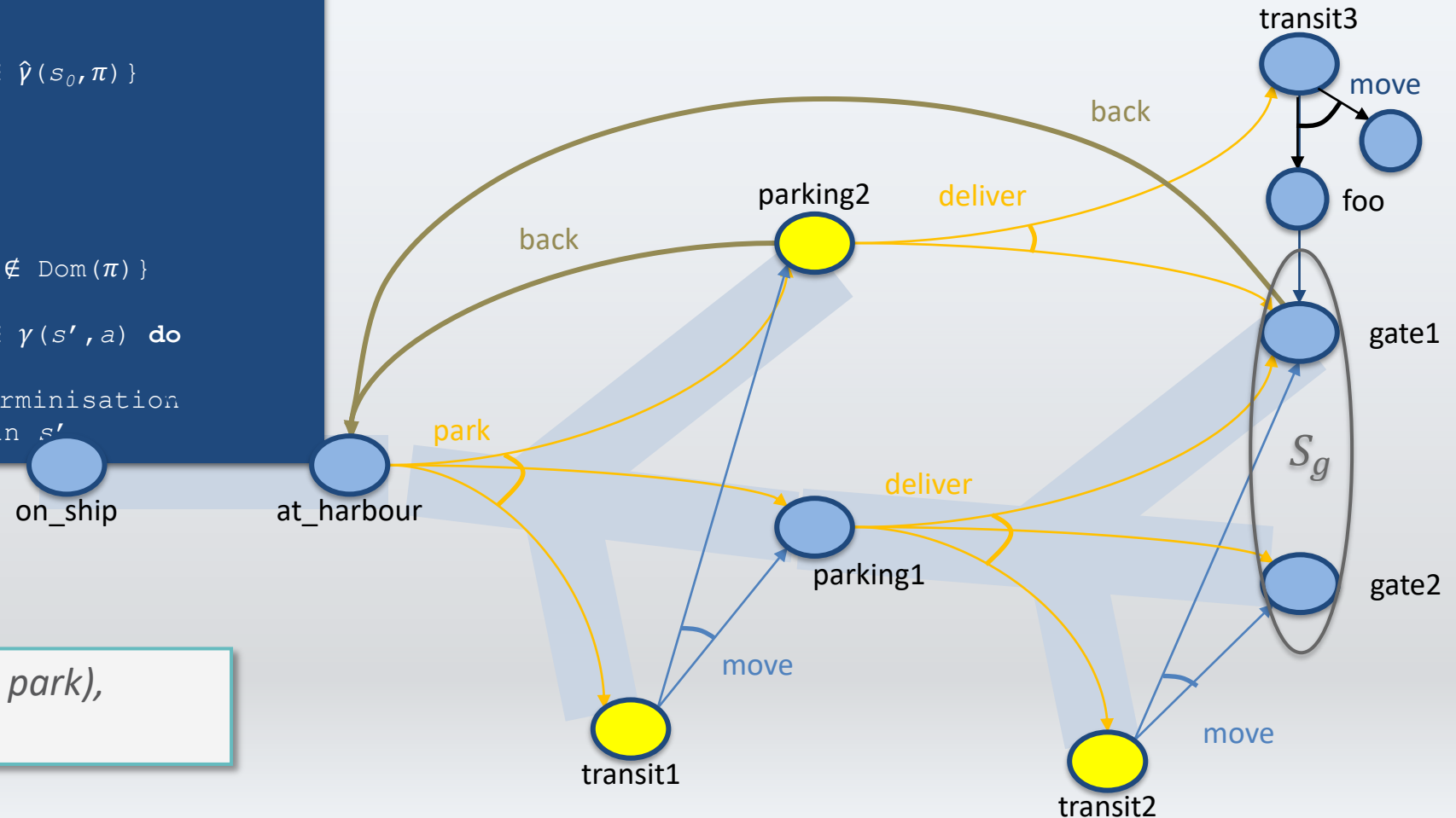
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
     $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$ 
    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
     $\pi \leftarrow \text{Plan2policy}(p', s)$ 
     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \langle \text{unload}, \text{park}_2, \text{deliver}_2 \rangle$

$\pi = \{(on\_ship, \text{unload}), (at\_harbor, \text{park}), (parking1, \text{deliver})\}$



# Determinisation

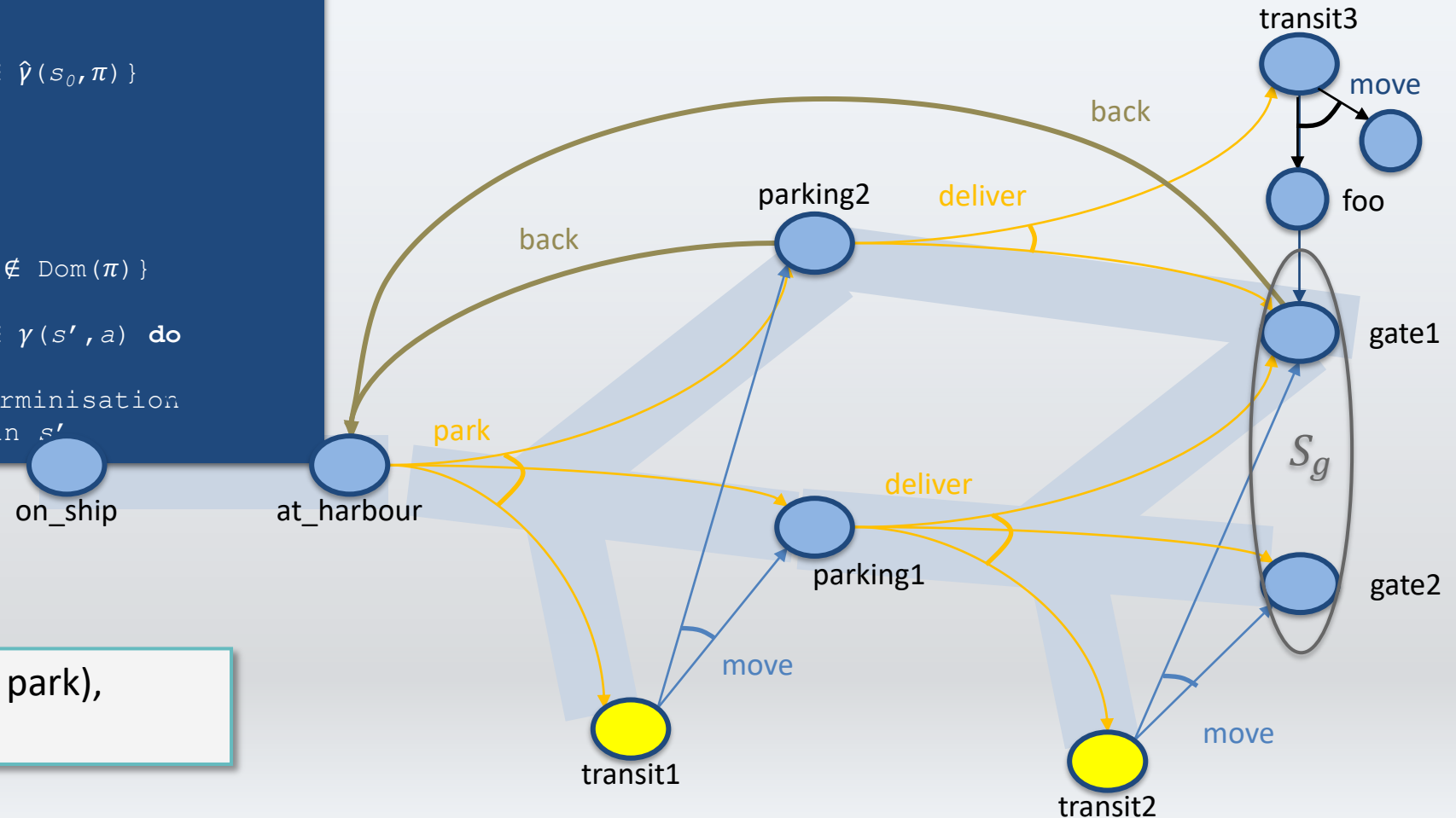
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
     $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$ 
    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
     $\pi \leftarrow \text{Plan2policy}(p', s)$ 
     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \langle \text{deliver}_2 \rangle$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver)\}$



# Determinisation

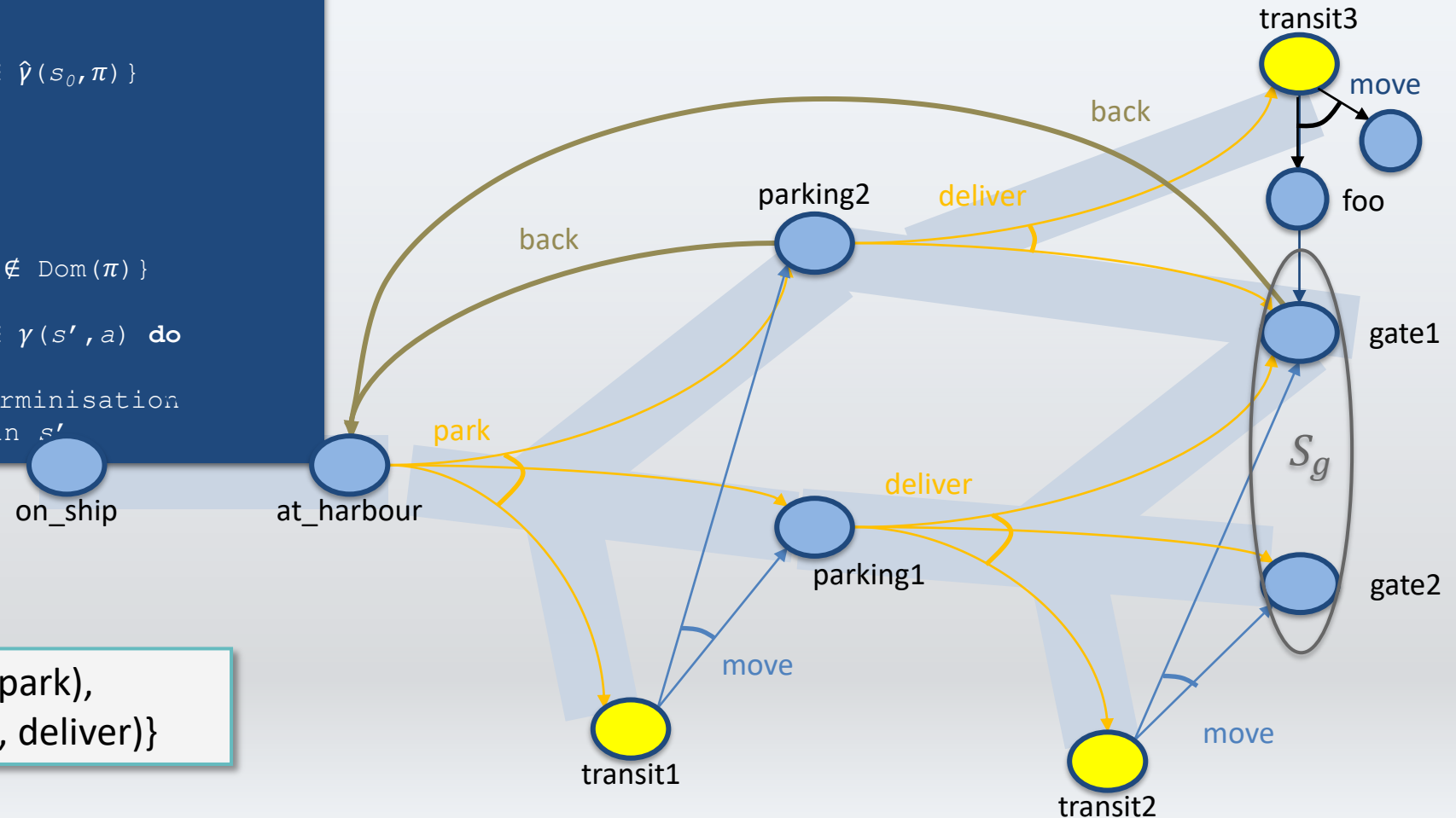
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

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 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
     $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$ 
    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
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     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \langle \text{deliver}_2 \rangle$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver}), (\text{parking2}, \text{deliver})\}$





# Determinisation

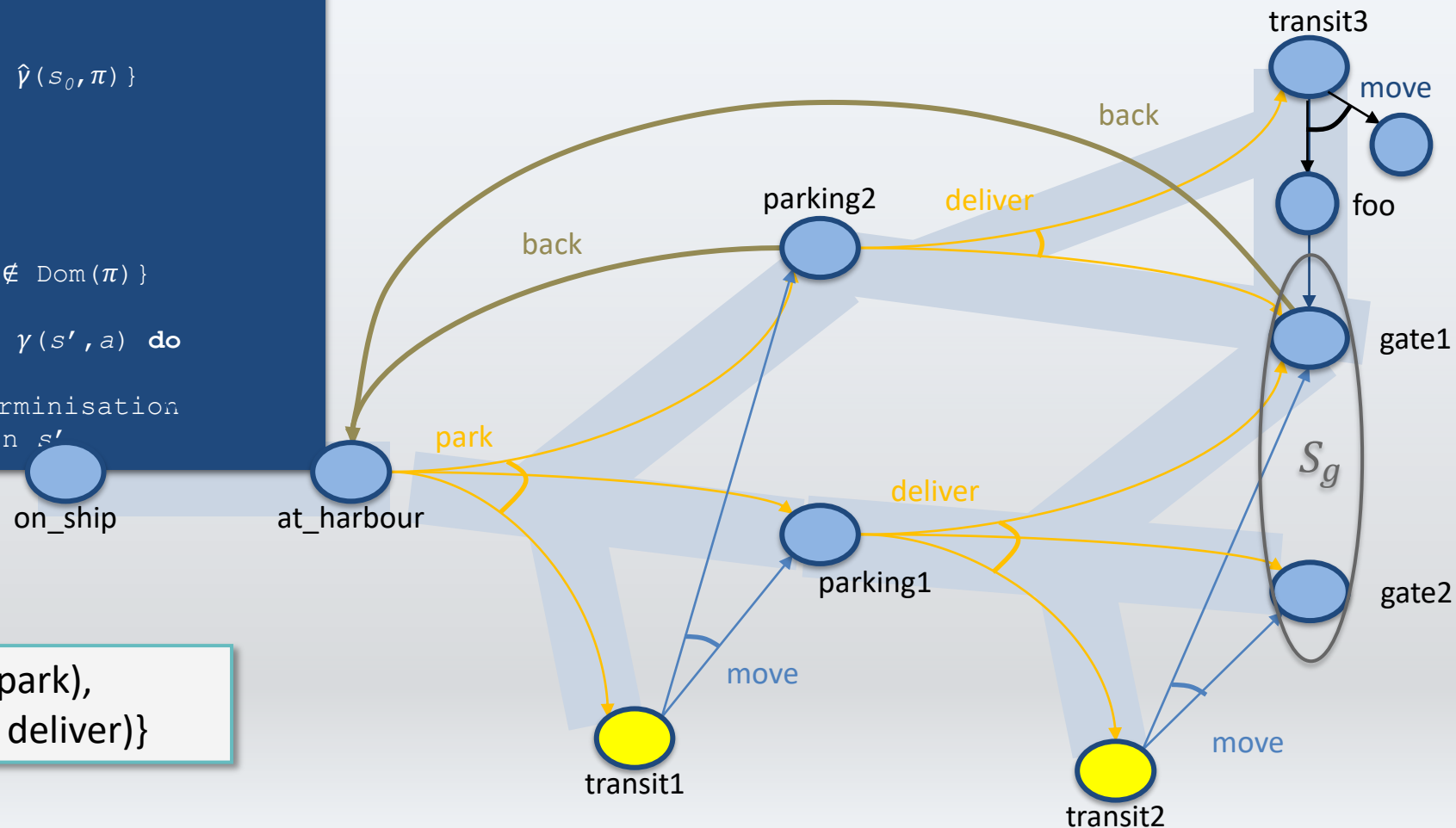
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
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    return  $\pi$ 
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  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \langle \text{move}_2, \text{move} \rangle$

$\pi = \{(on\_ship, unload), (at\_harbor, park),$   
 $(parking1, deliver), (parking2, deliver)\}$



# Determinisation

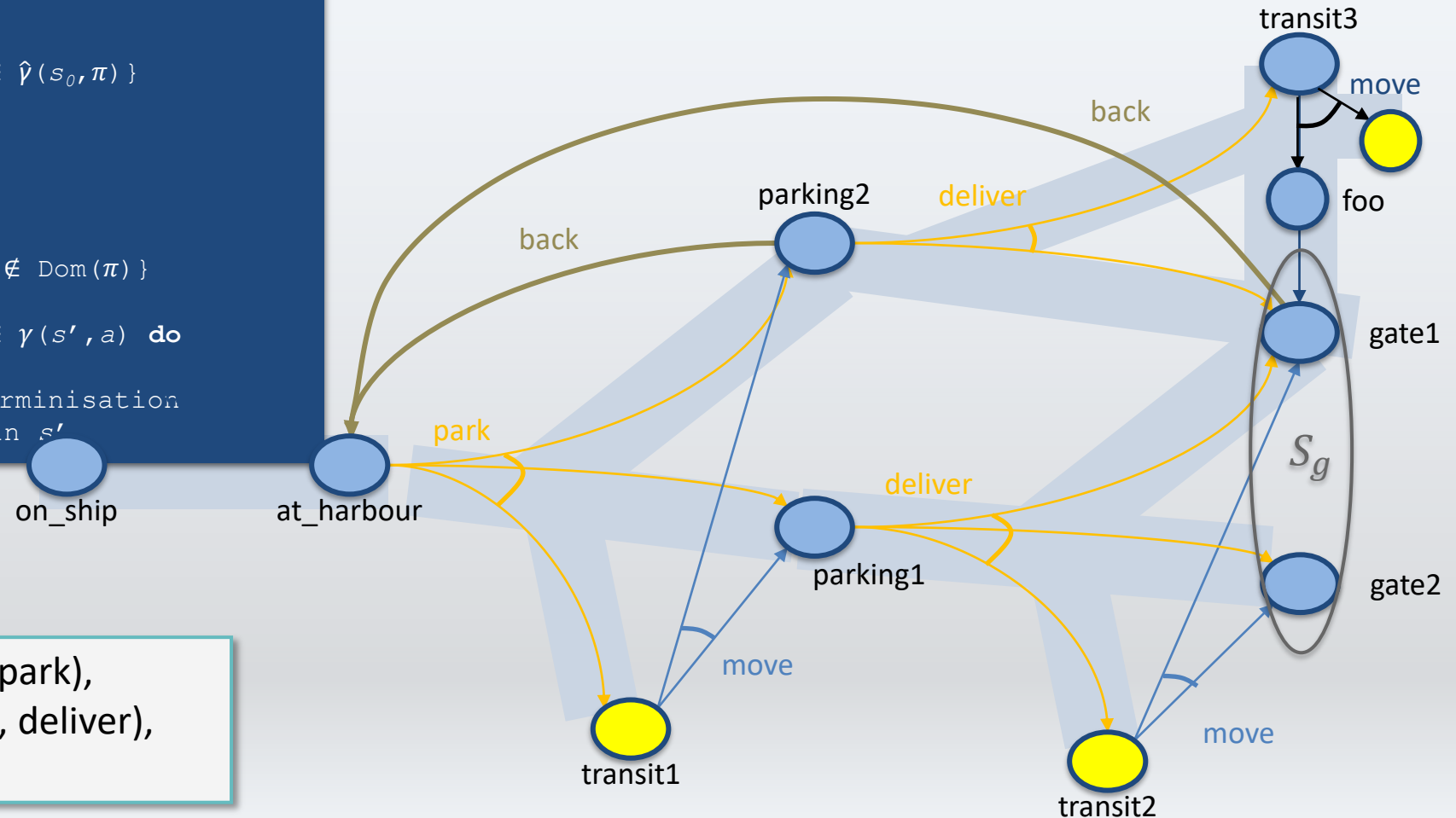
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

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loop
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  if  $Q = \emptyset$  then
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    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
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  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \langle \text{move}_2, \text{move} \rangle$

$\pi = \{(on\_ship, unload), (at\_harbor, park),$   
 $(parking1, deliver), (parking2, deliver),$   
 $(transit3, move), (foo, move)\}$



# Determinisation

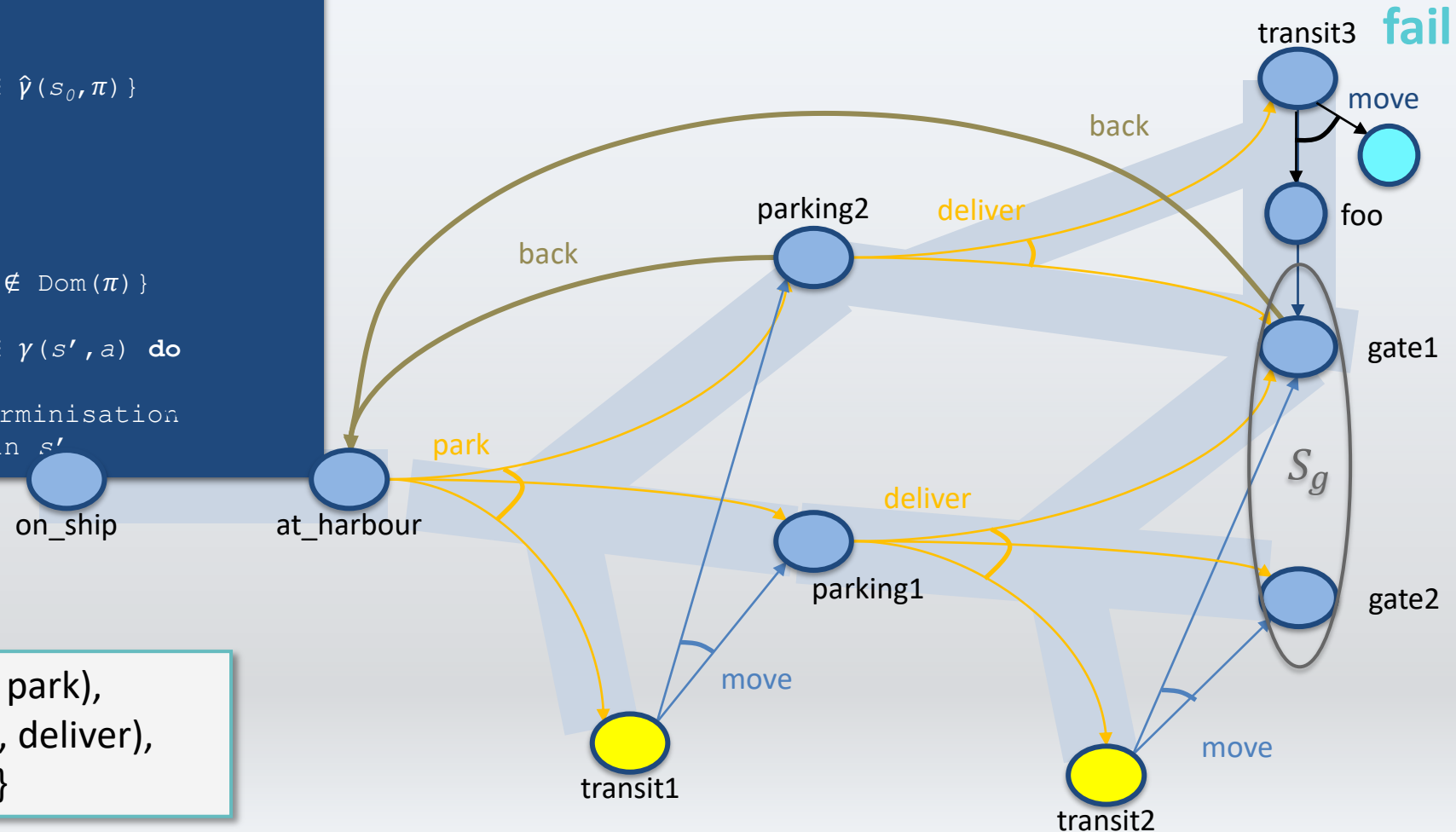
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loop
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    return  $\pi$ 
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  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \text{fail}$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (parking2, deliver), (transit3, move), (foo, move)\}$



# Determinisation

Find-Safe-Solution-by-Determinisation ( $\Sigma, s_0, S_g$ )

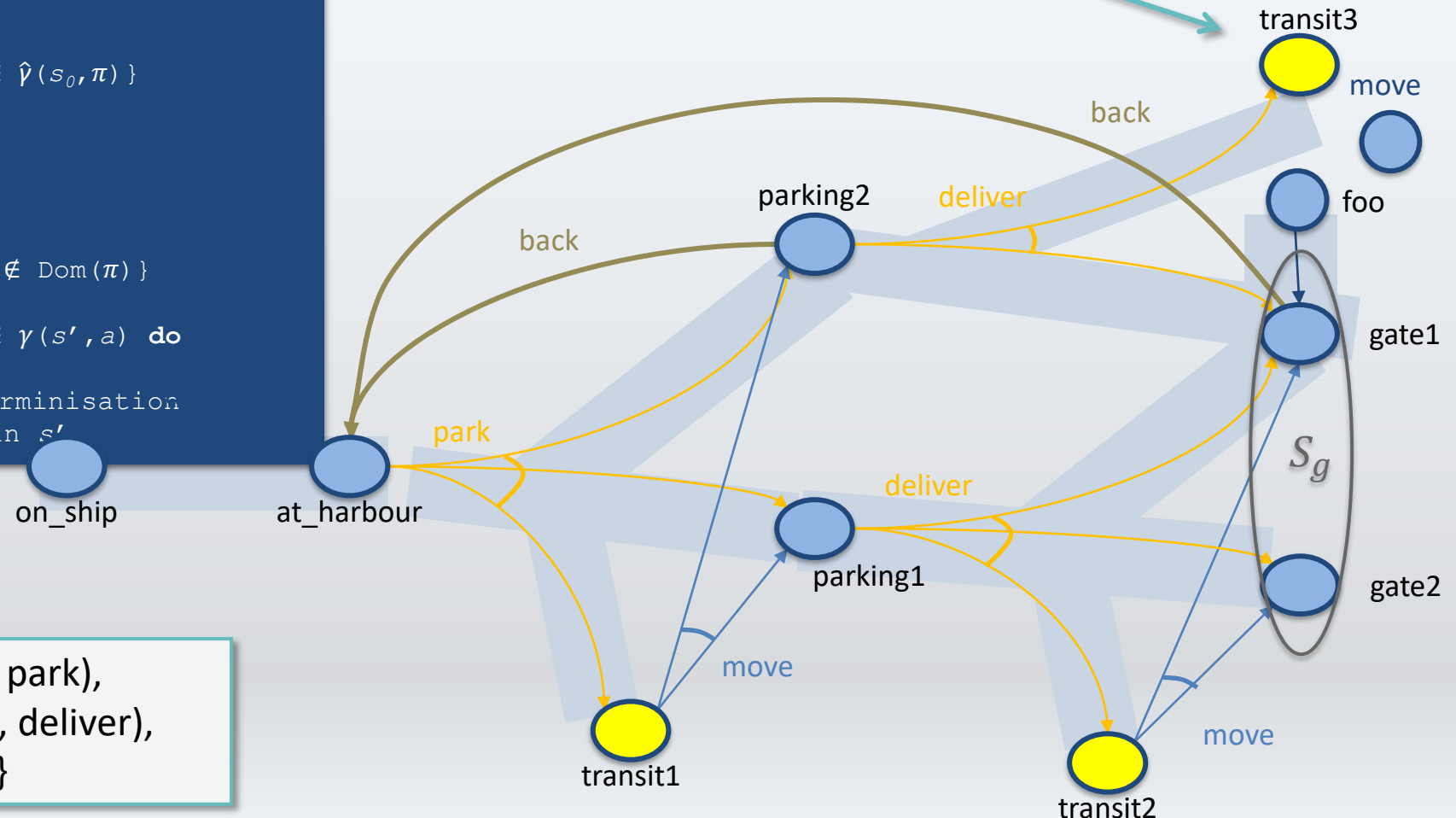
```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
     $\pi \leftarrow \pi \setminus \{(s, a) \in \pi \mid s \notin \hat{\gamma}(s_0, \pi)\}$ 
    return  $\pi$ 
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   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
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     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \text{fail}$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (parking2, deliver), (\text{transit3, move}), (foo, move)\}$

Modify  $\Sigma_d$  to make  
move inapplicable



# Determinisation

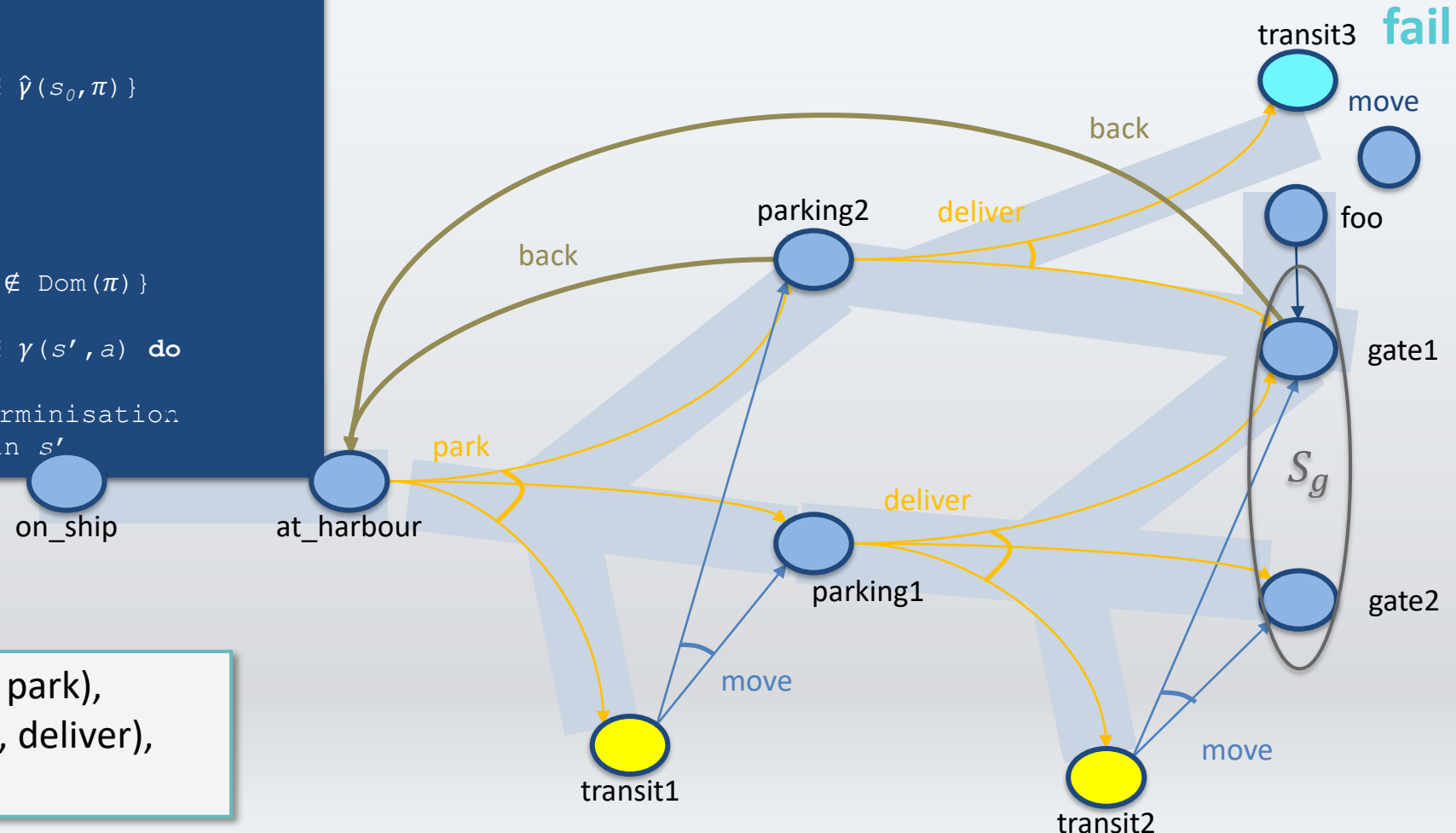
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
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  if  $Q = \emptyset$  then
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  select arbitrarily  $s \in Q$ 
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     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \text{fail}$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (parking2, deliver), foo, move\}$



# Determinisation



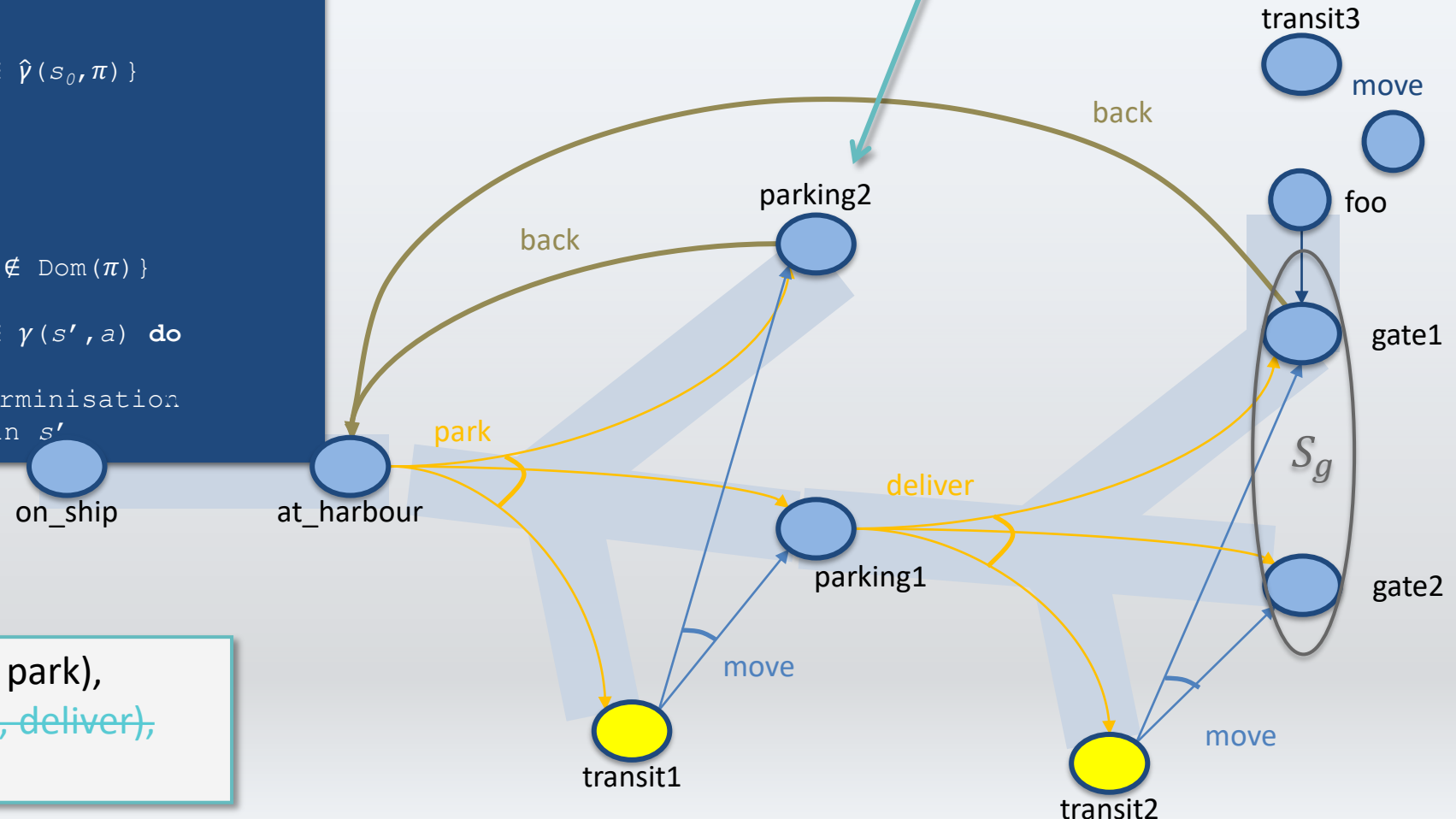
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
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    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
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  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 

```

Modify  $\Sigma_d$  to make *deliver* inapplicable



$p' = \text{fail}$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (\text{parking2, deliver}), (foo, move)\}$

# Determinisation

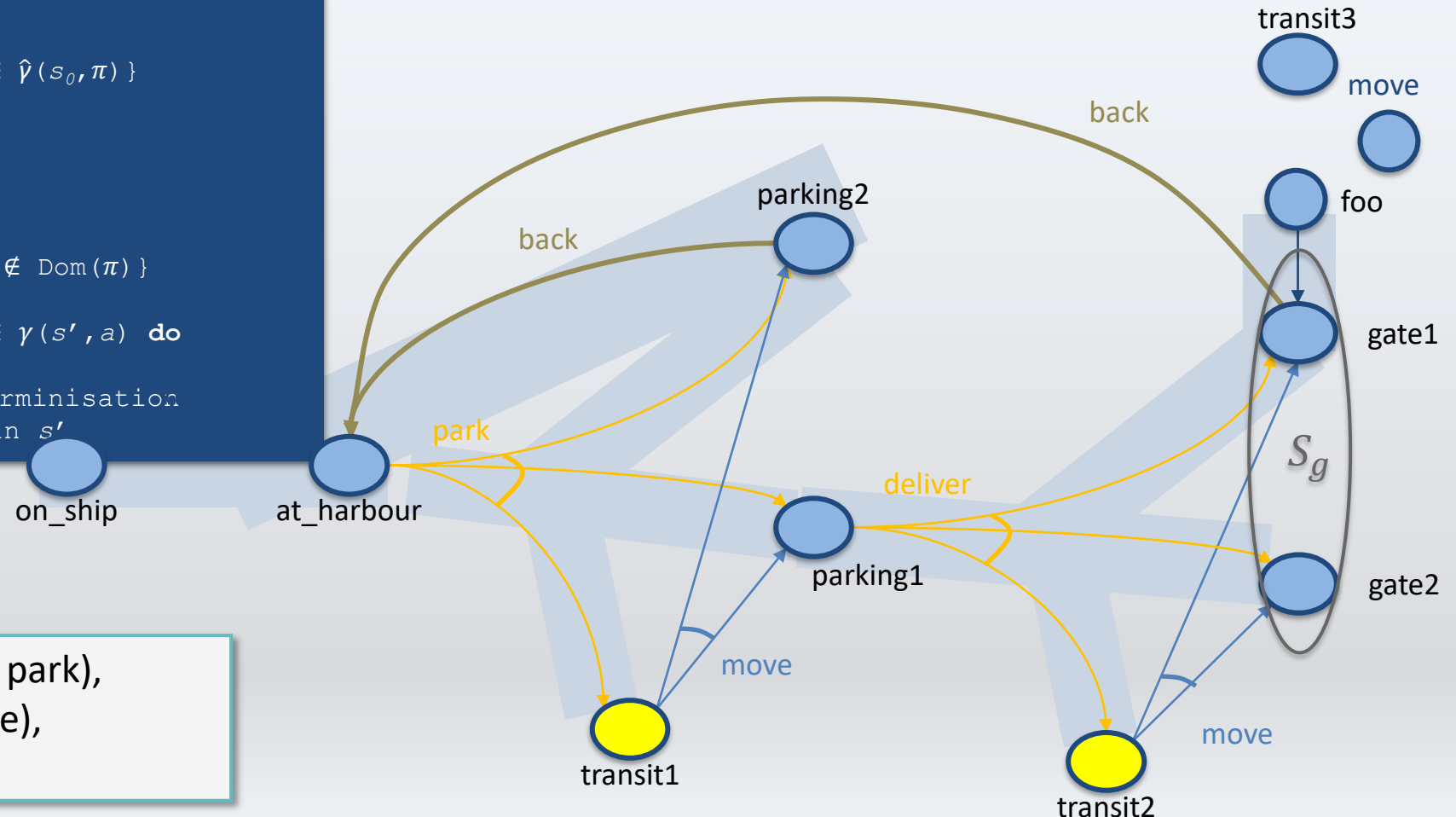
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
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   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
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     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
    
```

$p' = \langle \text{back}, \text{park}_2, \text{deliver}_1 \rangle$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   
 $(\text{parking1}, \text{deliver}), (\text{foo}, \text{move}),$   
 $(\text{parking2}, \text{back})\}$



# Determinisation

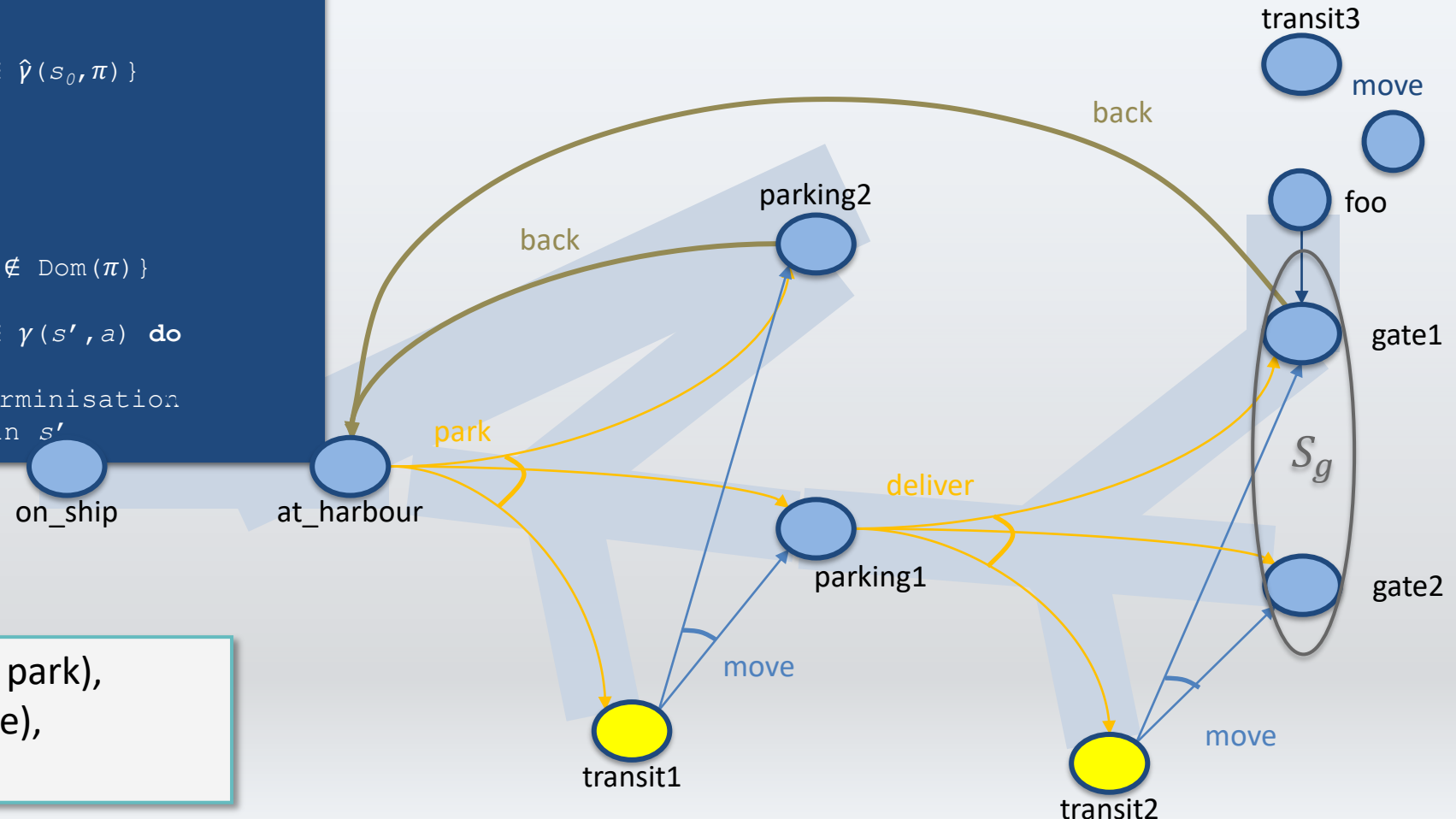


```

Find-Safe-Solution-by-Determinisation ( $\Sigma, s_0, S_g$ )
...
 $\Sigma_d \leftarrow \text{mk-deterministic}(\Sigma)$ 
loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
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   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
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     $\pi \leftarrow \pi \cup \{(s, a) \in \pi' \mid s \notin \text{Dom}(\pi)\}$ 
  else if ... else
    for every  $s', a$  s.t.  $s \in \gamma(s', a)$  do
       $\pi \leftarrow \pi \setminus \{(s', a)\}$ 
      make actions in determinisation
      not applicable in  $s'$ 
  
```

$p' = \langle \text{move}_2, \text{deliver}_1 \rangle$

$\pi = \{(on\_ship, unload), (at\_harbor, park), (parking1, deliver), (foo, move), (parking2, back)\}$





# Determinisation



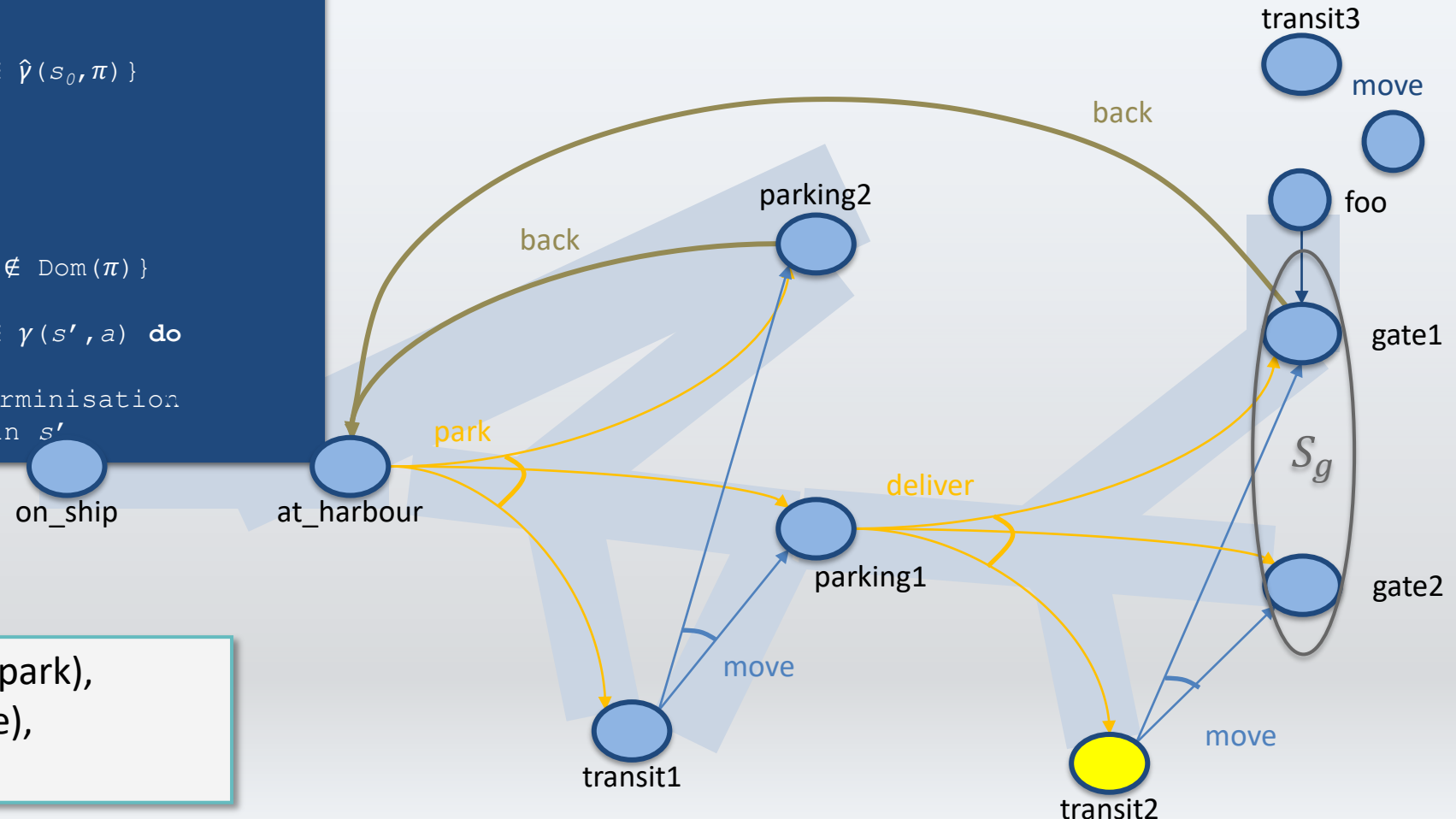
**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

```

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loop
   $Q \leftarrow \text{leaves}(s_0, \pi) \setminus S_g$ 
  if  $Q = \emptyset$  then
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    return  $\pi$ 
  select arbitrarily  $s \in Q$ 
   $p' \leftarrow \text{Forward-search}(\Sigma_d, s, S_g)$ 
  if  $p' \neq \text{fail}$  then
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      make actions in determinisation
      not applicable in  $s'$ 
  
```

$p' = \langle \text{move}_2, \text{deliver}_1 \rangle$

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# Determinisation



**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

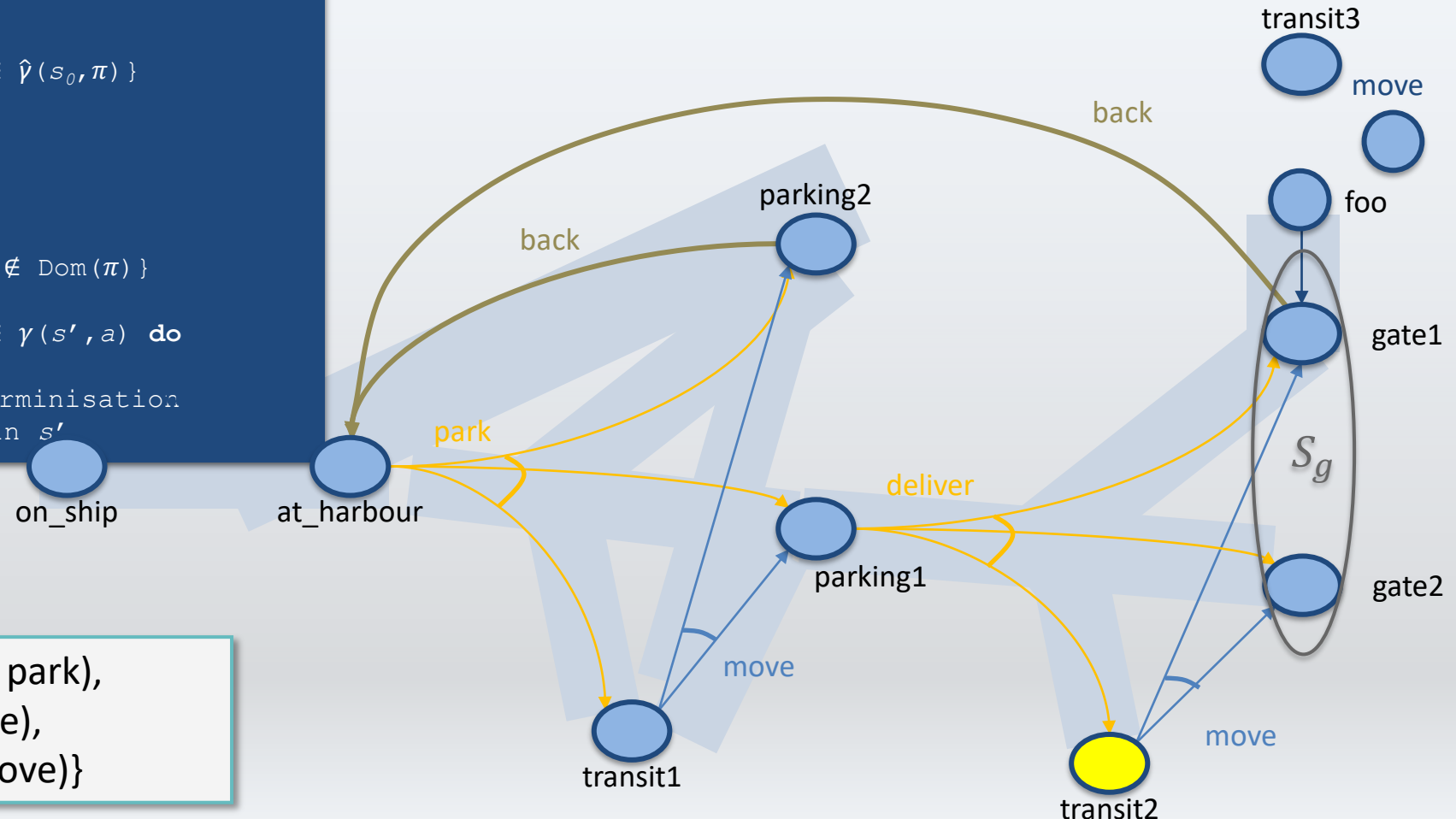
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# Determinisation

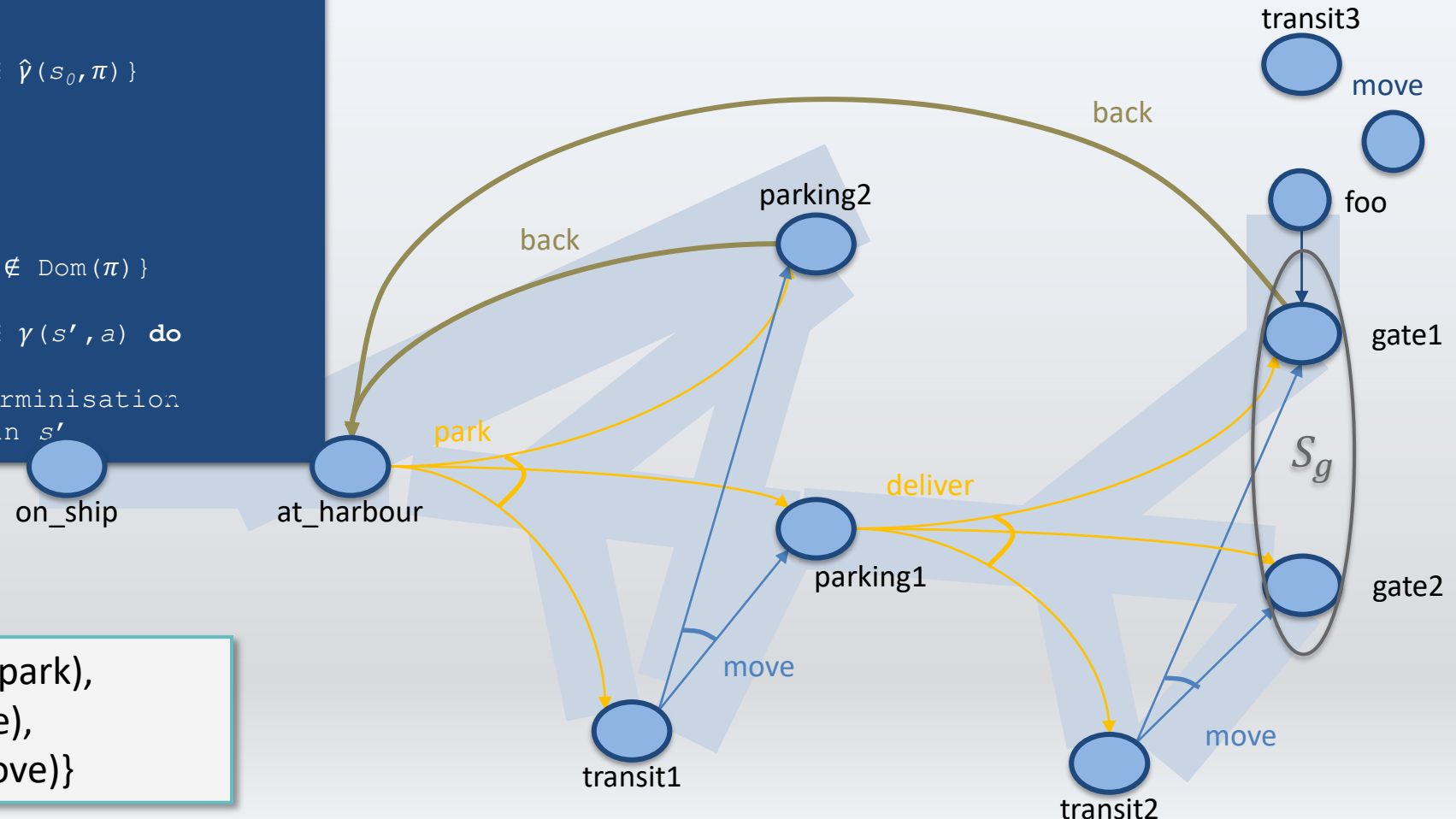
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$p' = \langle \text{move}_2 \rangle$

$\pi = \{(\text{on\_ship}, \text{unload}), (\text{at\_harbor}, \text{park}),$   
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 $(\text{parking2}, \text{back}), (\text{transit1}, \text{move})\}$



# Determinisation



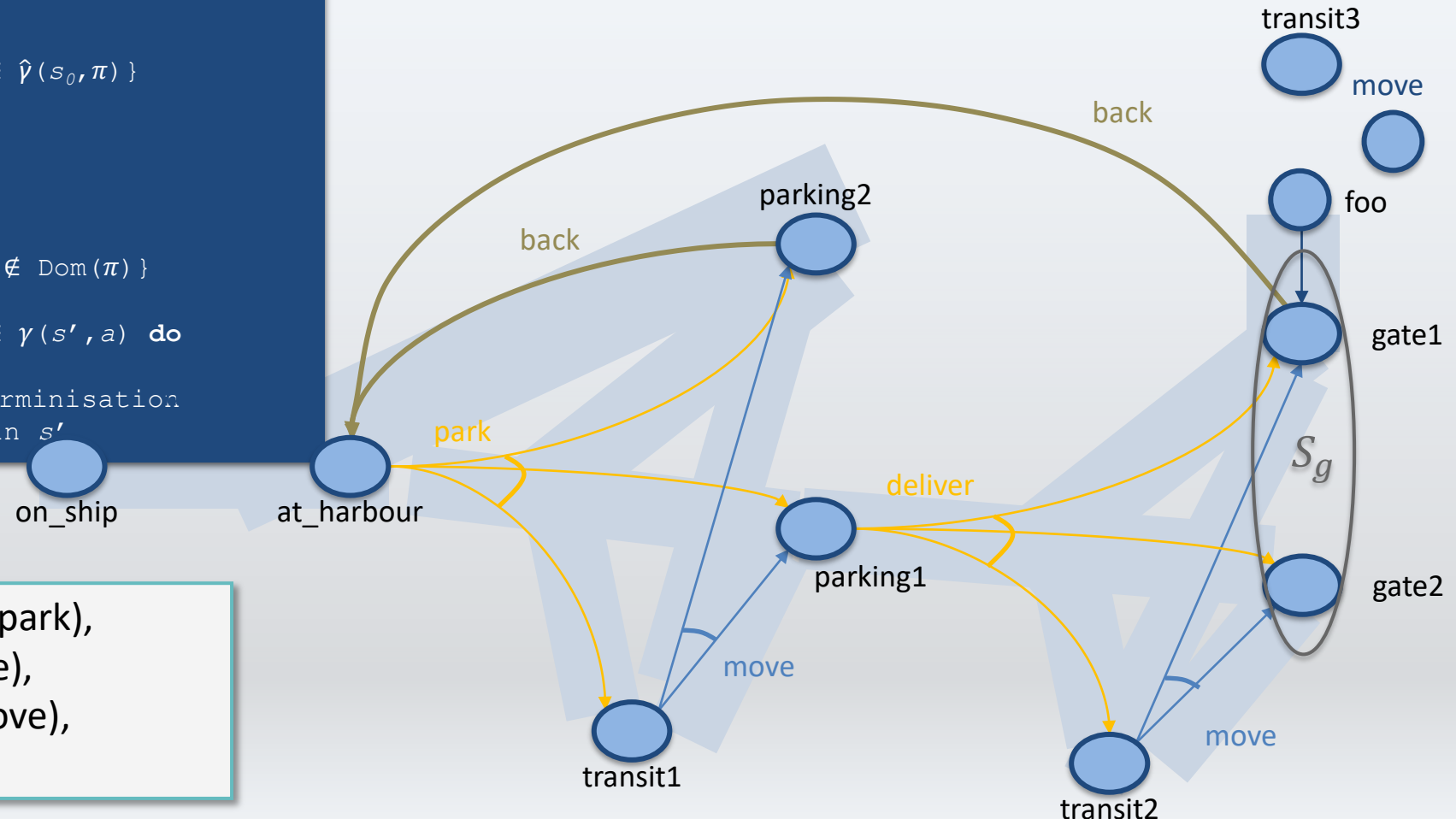
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```

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# Determinisation

**Find-Safe-Solution-by-Determinisation** ( $\Sigma, s_0, S_g$ )

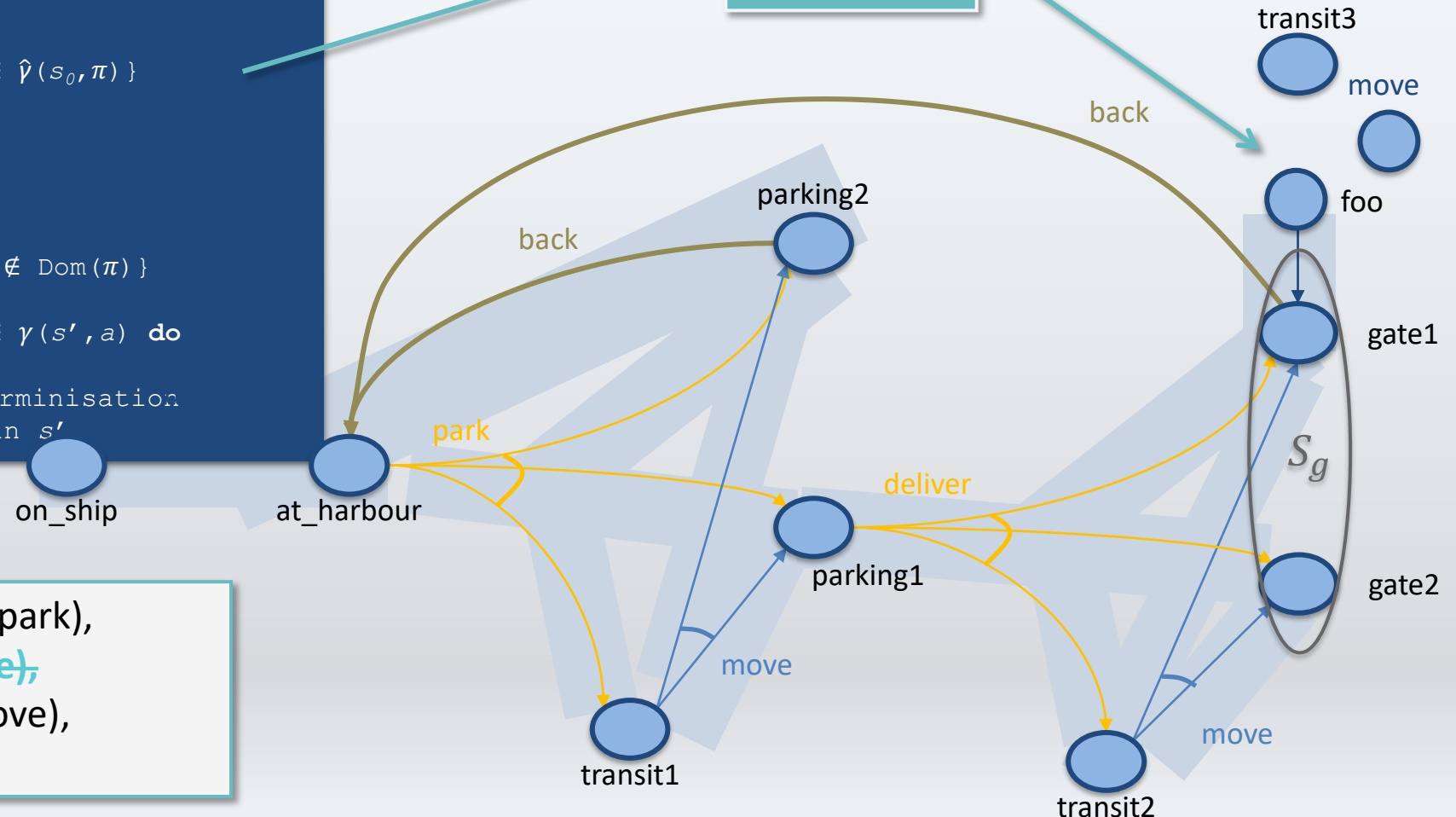
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$p' = \langle \text{move}_2 \rangle$

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 ~~$(parking1, deliver), (foo, move),$~~   
 $(parking2, back), (transit1, move),$   
 $(transit2, move)\}$

Remove  
unreachable  
part of  $\pi$



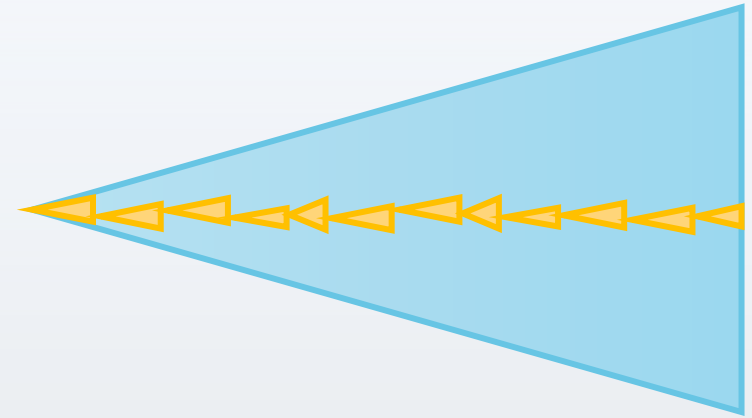


# Intermediate Summary

- Determinisation Techniques
  - Guided-find-safe-solution
    - Call find-solution to get an unsafe solution
    - Call find-solution additional times on the leaves
  - Find-safe-solution-by-determinization
    - Use determinized actions
    - Call classical planner rather than find-solution
    - If dead-ends are encountered, modify actions that lead to them

# Online Approaches

- Motivation
  1. Planning models are approximate – execution seldom works out as planned
  2. Large problems may require too much planning time
- 2<sup>nd</sup> motivation even more stronger in nondeterministic domains
  - Nondeterminism makes planning exponentially harder
    - Exponentially more time, exponentially larger policies



Offline vs. Runtime  
Search Spaces

# Online Approaches

- Need to identify **good** actions without exploring entire search space
  - Can be done using heuristic estimates
- Some domains are **safely explorable**
  - Safe to create partial plans, because goal states are reachable from all situations
- Other domains contain dead-ends, partial planning will not guarantee success
  - Can get trapped in dead ends that we would have detected if we had planned fully
    - No applicable actions
      - Robot goes down a steep incline and cannot come back up
    - Applicable actions, but caught in a loop
      - Robot goes into a collection of rooms from which there is no exit
- However, partial planning can still make success more likely



# Lookahead-Partial-Plan

- Adaptation of Run-Lazy-Lookahead (Ch. 2)
- Lookahead is any planning algorithm that returns a policy  $\pi$ 
  - $\pi$  may be partial solution, or unsafe solution
  - Lookahead-Partial-Plan executes  $\pi$  as far as it will go, then calls Lookahead again
  - $\theta$  context-dependent vector of parameters to restrict in some way the search for a solution

```
Lookahead-Partial-Plan( $\Sigma, s_0, S_g, \theta$ )
   $s \leftarrow s_0$ 
  while  $s \notin S_g$  and Applicable( $s$ )  $\neq \emptyset$  do
     $\pi \leftarrow$  Lookahead( $s, \theta$ )
    if  $\pi = \emptyset$  then
      return failure
    else
      perform partial plan  $\pi$ 
       $s \leftarrow$  observe current state
```

# FS-Replan

- Adaptation of Run-Lookahead (Ch. 2)
- Calls Forward-Search (Ch. 2) on determinised domain, converts to a policy
  - Unsafe solution
- Generalisation:
  - Lookahead can be any planning algorithm that returns a policy  $\pi$

```
FS-Replan ( $\Sigma, s, S_g$ )
```

```
 $\pi_d \leftarrow \emptyset$ 
```

```
while  $s \notin S_g$  and  $\text{Applicable}(s) \neq \emptyset$ 
```

```
do
```

```
  if  $\pi_d$  undefined for  $s$  then
```

```
     $\pi_d \leftarrow \text{Plan2policy}(\text{Forward-}$   
 $\text{search}(\Sigma_d, s, S_g), s)$ 
```

```
    if  $\pi_d = \text{failure}$  then
```

```
      return failure
```

```
    perform action  $\pi_d(s)$ 
```

```
     $s \leftarrow$  observe resulting state
```

```
Generalised-FS-Replan ( $\Sigma, s, S_g, \theta$ )
```

```
 $\pi_d \leftarrow \emptyset$ 
```

```
while  $s \notin S_g$  and  $\text{Applicable}(s) \neq \emptyset$ 
```

```
do
```

```
  if  $\pi_d$  undefined for  $s$  then
```

```
     $\pi_d \leftarrow \text{Lookahead}(s, \theta)$ 
```

```
    if  $\pi_d = \text{failure}$  then
```

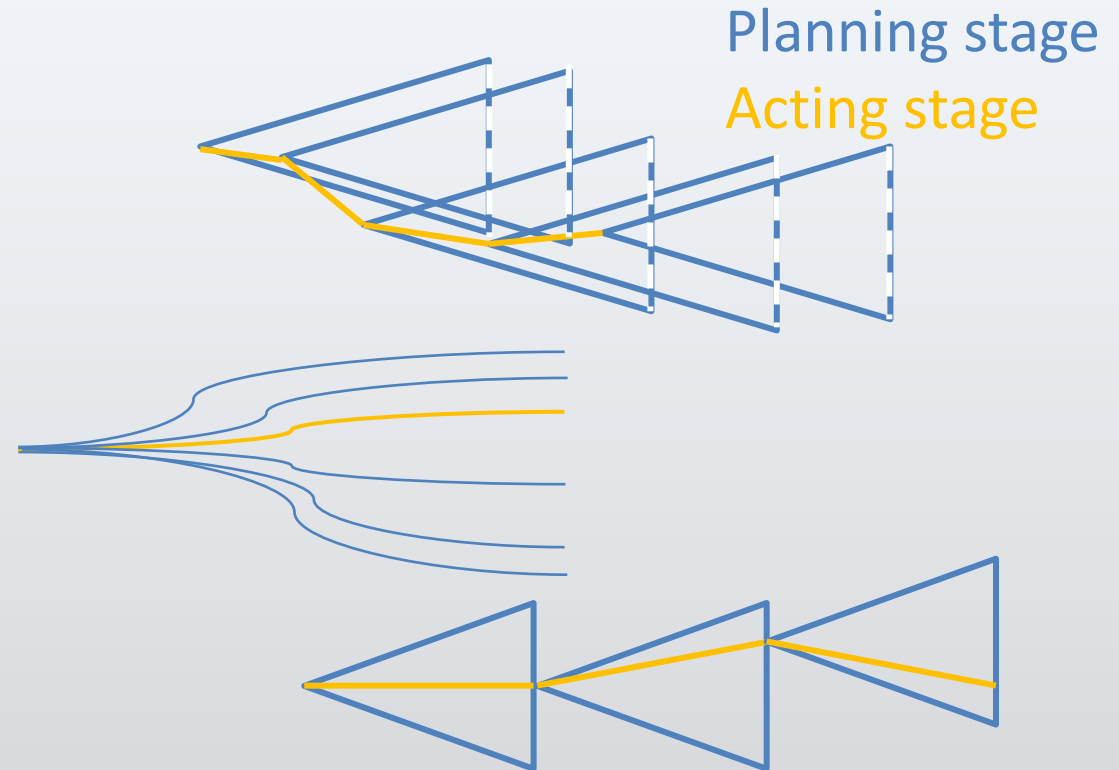
```
      return failure
```

```
    perform action  $\pi_d(s)$ 
```

```
     $s \leftarrow$  observe resulting state
```

# Possibilities for Lookahead

- Lookahead could be one of the algorithms we discussed earlier
  - Find-Safe-Solution
  - Find-Acyclic-Solution
  - Guided-Find-Safe-Solution
  - Find-Safe-Solution-by-Determinization
- What if it does not have time to run to completion?
  - Can use the same techniques, we discussed earlier
    - Receding horizon
    - Sampling
    - Subgoaling
    - Iterative Deepening



## Possibilities for Lookahead (cont'd)

- Full horizon, limited breadth:
  - Look for solution that works for *some* of the outcomes
  - E.g., modify *Find-Acyclic-Solution* to examine  $i$  outcomes of every action
- Iterative broadening:
  - For  $i = 1$ , increase  $i$  by 1 until time runs out
    - Look for a solution that handles  $i$  outcomes per action

```
 $T \leftarrow i$  elements of  $\gamma(s, a) \setminus \text{Dom}(\pi)$   
 $\text{Frontier} \leftarrow \text{Frontier} \cup T$ 
```

```
Find-Acyclic-Solution ( $\Sigma, s_0, S_g$ )  
 $\pi \leftarrow \emptyset$   
 $\text{Frontier} \leftarrow \{s_0\}$   
for every  $s \in \text{Frontier} \setminus S_g$  do  
   $\text{Frontier} \leftarrow \text{Frontier} \setminus \{s\}$   
  if  $\text{Applicable}(s) = \emptyset$  then  
    return failure  
  nondeterministically choose  $a \in \text{Applicable}(s)$   
   $\pi \leftarrow \pi \cup (s, a)$   
   $\text{Frontier} \leftarrow \text{Frontier} \cup (\gamma(s, a) \setminus \text{Dom}(\pi))$   
  if  $\text{has-loops}(\pi, s, \text{Frontier})$  then  
    return failure  
return  $\pi$ 
```

# Safely Explorable Domains

- Safely explorable domain
  - For every state  $s$ , at least one goal state is reachable from  $s$ 
    - No dead ends
- In a safely explorable domain,
  - Using Lookahead-Partial-Plan or FS-Replan
    - Lookahead never returns failure
    - Then we will eventually reach a goal

A yellow thought bubble with a white border and three small circles leading to it from the left. It contains the text 'What about picking a random action?'

What about picking a random action?

# Intermediate Summary

- Online approaches
  - Lookahead-partial-plan
    - Adaptation of Run-Lazy-Lookahead
  - FS-replan
    - Adaptation of Run-Lookahead
- Ways to do the lookahead
  - Full breadth with limited depth
    - Iterative deepening
  - Full depth with limited breadth
    - Iterative broadening
- Convergence in safely explorable domains

Can also adapt  
*Run-Concurrent-Lookahead*

Can put bounds on both depth and breadth