



UNIVERSITÄT ZU LÜBECK  
INSTITUT FÜR INFORMATIONSSYSTEME

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# Logic, Logic, and Logic

*Lecture 2: FOL  
16 April 2020*

*Informationssysteme CS4130  
(Summer 2020)*

## Recap: Role of Logic in CS

# Literature Hint: Introductions to Logic

## ► Logic for CS

**Lit:** M. Huth and M. Ryan. Logic in Computer Science: Modelling and Reasoning about Systems. Cambridge University Press, 2000.

**Lit:** M. Ben-Ari. Mathematical Logic for Computer Science. Springer, 2. edition, 2001.

**Lit:** U. Schöning. Logik für Informatiker. Spektrum Akademischer Verlag, 5. edition, 2000.

**Lit:** M. Fitting. First-Order Logic and Automated Theorem Proving. Graduate texts in computer science. Springer, 1996.

## ► Mathematical Logic

**Lit:** H.Ebbinghaus, J.Flum, and W.Thomas. Einführung in die mathematische Logik. Hochschul-Taschenbuch. Spektrum Akademischer Verlag, 2007.

**Lit:** D. J. Monk. Mathematical Logic. Springer, 1976.

**Lit:** R. Cori and D. Lascar. Mathematical Logic: Propositional calculus, Boolean algebras, predicate calculus. Mathematical Logic: A Course with Exercises. Oxford University Press, 2000.

# Recap: First-Order Logic

# FOL Structures and Interpretations

- ▶ **Structures:**  $\mathfrak{A} = (A, R_1^{\mathfrak{A}}, \dots, R_n^{\mathfrak{A}}, f_1^{\mathfrak{A}}, \dots, f_m^{\mathfrak{A}}, c_1^{\mathfrak{A}}, \dots, c_l^{\mathfrak{A}})$
- ▶ Usually: Universe  $A$  assumed to be non-empty  
Example: Graphs  $\mathfrak{G} = (V, E^{\mathfrak{G}})$
- ▶ **Interpretations**  $\mathcal{I} = (\mathfrak{A}, \nu)$   
Adds assignments  $\nu$  for free variables.
- ▶ **Syntax**
  - ▶ Terms (Example:  $c, f(c, x)$ )
  - ▶ Atomic formulae (Example:  $c = d, E(a, d)$ )
  - ▶ Formulae: (Example:  $\exists y \exists z E(x, y) \wedge E(x, z) \wedge E(y, z)$ )

# FOL Semantics

- ▶ **Semantics** (Satisfaction/truth/modeling  $\models$ )
  - ▶ ...
  - ▶  $\mathcal{I} \models \exists x \phi$  iff: There is  $d \in A$  s.t.  $\mathcal{I}_{[x/d]} \models \phi$

## Example

$(\mathcal{G}, x \mapsto a) \models \exists y \exists z E(x, y) \wedge E(x, z) \wedge E(y, z)$



Alternative notation:

$\mathcal{G} \models (\exists y \exists z E(x, y) \wedge E(x, z) \wedge E(y, z))(x/a)$

## Definition (Derived Semantic Notions)

- ▶ **Entailment:**  $\Phi \models \psi$  (“ $\Phi$  entails  $\psi$ ”) iff for all interpretations  $\mathcal{I}$ : if  $\mathcal{I} \models \Phi$ , then  $\mathcal{I} \models \psi$
- ▶  $\psi$  is **satisfiable** iff there is an interpretation  $\mathcal{I}$  s.t.  $\mathcal{I} \models \psi$
- ▶  $\Phi$  is **satisfiable** iff there is an interpretation  $\mathcal{I}$  s.t. for all  $\psi \in \Phi$ :  $\mathcal{I} \models \psi$
- ▶  $Mod(\Phi) = \{\mathcal{I} \mid \mathcal{I} \text{ satisfies all } \psi \in \Phi\}$
- ▶  $\psi$  is **valid** iff for all interpretations  $\mathcal{I}$ :  $\mathcal{I} \models \psi$ .
- ▶  $\psi$  is **contradictory (unsatisfiable)** iff for all interpretations  $\mathcal{I}$ : Not  $\mathcal{I} \models \psi$

END of recap

# FOL: Calculi and Algorithmic Problems



# Plan for Today

- ▶ We investigate corresponding algorithmic problems for FOL
- ▶ Because, e.g., the definition of entailment does not say anything on how to compute that  $\psi$  is entailed by  $\Phi$
- ▶ Moreover, it does not say how much resources (place, time) are needed
- ▶ Example algorithmic problems
  - ▶ Given a structure  $\mathfrak{A}$  and formula  $\phi$ : Decide whether  $\mathfrak{A} \models \phi$
  - ▶ Given a formula decide whether  $\phi$  is satisfiable (valid, contradictory, resp.)
  - ▶ Given  $\Phi, \psi$  decide whether  $\Phi \models \psi$ .

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  - ▶ Given  $\Phi, \psi$  decide whether  $\Phi \models \psi$ .
- ▶ Problems are related by reduction (at least for FOL)

## Wake-Up Exercise

Show:  $\Phi \models \psi$  iff  $\Phi \cup \{\neg\psi\}$  is unsatisfiable

### Remember:

- ▶ Entailment:  $\Phi \models \psi$  (“ $\Phi$  entails  $\psi$ ”) iff for all interpretations  $\mathcal{I}$ :  
if  $\mathcal{I} \models \Phi$ , then  $\mathcal{I} \models \psi$
- ▶  $\psi$  is unsatisfiable (or contradictory) iff for all interpretations  $\mathcal{I}$ :  
Not  $\mathcal{I} \models \psi$

# Challenges of FOL Algorithmic Problems

- ▶ First challenge: Domain of structure may be infinite
- ▶ But this is not the main problem (as we will see in lecture on finite model theory)
  
- ▶ Second challenge: Number of possible structures is infinite
- ▶ We want to tame the infinite by “syntactifying” the problem

# A First Step Towards Algorithmization: Proof Calculi

- ▶ How to approach entailment problem  $\Phi \models \psi$ ?
- ▶ **Idea:** Break down entailment into smaller entailment steps
  - ▶ “Smaller” entailment steps (which are “obvious”)
  - ▶ Realized by applying finite number of rules  $\mathcal{R}$
  - ▶ Apply rules to  $\Phi$  and intermediate results to yield  $\psi$

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## General derivation procedure

- ▶ Input:  $\Phi, \psi$
- ▶ Output:  $\Phi \stackrel{?}{\models} \psi$
- ▶  $DS_0 = \text{Encode}(\Phi, \psi)$
- ▶ Find derivation  $DS_0, \dots, DS_n$   
where  $DS_i$  results from applying a rule from  $\mathcal{R}$  to finite set of  $DS_j$  with  $j < i$ .
- ▶ Decode( $DS_n$ ) into answer to  $\Phi \models \psi$

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  - ▶  $\text{Decode}(DS_n)$  into answer to  $\Phi \models \psi$
- 
- ▶ Differences among calculi regarding: types of rules in  $\mathcal{R}$ ; used data structures  $DS$ ; proof methodology

# Well Known Calculi

Calculus	Rule types	Data structures	Methodology
Hilbert	axioms 2 rules	formulae	direct (premises to conclusion)
Natural deduction	I(ntroduction) and E(limination) rules per constructor	formulae	direct
Gentzen style	axioms + I and E rules per constructor	Entailments	direct
Tableaux	"and", "or" rules	formula in a tree	refutation proofs based on DNF
Resolution	resolution rule	quantifier free formula in CNF in a tree	refutation proofs based on CNF



Resolution

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  - ▶ Data structures: formulas in **clausal-normal form** (Corresponds to CNF (conjunctive normal form) in propositional logic)
  - ▶ One rule: use satisfiability-preserving **resolution rule** to reduce formulae
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# Resolution

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  - ▶ One rule: use satisfiability-preserving **resolution rule** to reduce formulae
  - ▶ Iteratively apply until empty clause (means: contradiction) is derived
- ▶ There are mature and efficient resolution provers (with many ingenious optimizations)
- ▶ Efficient (but nonetheless complete) resolution procedure SLD part of Prolog

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## Definition

A formula of the form  $Q_1x_1, \dots, Q_nx_n\psi$ , where  $Q_i \in \{\forall, \exists\}$  and

- ▶  $\psi$ , the so-called the **matrix**, does not contain quantifiers
- ▶ no variable occurs free and bounded
- ▶ every quantifier bounds a different variable

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- ▶ Here: Simplicity ensured by un-nesting quantifiers (the main reason for un-feasibility)
- ▶ Here “preserve semantic” means: **Ensure equivalence  $\equiv$**

$$\phi \equiv \psi \text{ iff } \phi \models \psi \text{ and } \psi \models \phi$$

## Existence of Prenex Normal Form

### Theorem

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## Propositional Equivalences

- ▶  $\neg\neg\phi \equiv \phi$
- ▶  $\neg(\phi \vee \psi) \equiv \neg\phi \wedge \neg\psi$
- ▶  $\phi \rightarrow \psi \equiv \neg\phi \vee \psi$
- ▶  $\phi \leftrightarrow \psi \equiv (\phi \rightarrow \psi) \wedge (\psi \rightarrow \phi)$
- ▶  $\phi \wedge (\psi \vee \chi) \equiv (\phi \wedge \psi) \vee (\phi \wedge \chi)$

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### Quantifier-specific equivalences

- ▶  $\forall x\phi \equiv \neg\exists x\neg\phi$
- ▶  $\phi \equiv \exists x$  (where  $x$  not free in  $\phi$ )
- ▶  $(\exists x\phi \wedge \psi) \equiv \exists x(\phi \wedge \psi)$   
(where  $x$  not free in  $\psi$ )
- ▶  $(\exists x\phi \vee \psi) \equiv \exists x(\phi \vee \psi)$   
( $x$  not free in  $\psi$ )
- ▶  $\exists x\phi \vee \exists x\psi \equiv \exists x(\phi \vee \psi)$
- ▶  $\exists x\exists y\phi \equiv \exists y\exists x\phi$
- ▶  $\phi \equiv \forall x\phi$  (where  $x$  not free in  $\phi$ )
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- ▶  $\exists x\phi \vee \exists x\psi \equiv \exists x(\phi \vee \psi)$
- ▶  $\exists x\exists y\phi \equiv \exists y\exists x\phi$

### Equivalence under bounded substitutions

- ▶  $\exists x\phi \equiv \exists y(\phi[x/y])$
- ▶ where  $\phi[x/y]$  is result of substituting every free  $x$  with  $y$  in  $\phi$

- ▶  $\phi \equiv \forall x\phi$  (where  $x$  not free in  $\phi$ )
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- ▶  $\forall x\forall y\phi \equiv \forall y\forall x\phi$

# Substituting with Equivalent Formula

## Theorem

*Assume  $\phi \equiv \psi$  and  $\chi$  contains  $\phi$  as subformula. If  $\chi'$  results from substituting  $\phi$  with  $\psi$ , then  $\chi \equiv \chi'$ .*

**Proof:** By structural induction.

# Satisfiably Equivalent

- ▶ Formulae in PNF are going to be transformed to formula in clausal normal form
- ▶ Resulting formula are satisfiably equivalent

$$\phi \equiv_{sat} \psi \text{ iff: } Mod(\phi) \neq \emptyset \text{ iff } Mod(\psi) \neq \emptyset$$

- ▶ One cannot guarantee equivalence

# Elimination of Exists Quantifiers: Skolemization

- ▶ Input a PNF formula  $\phi : \forall_1 x_1, \dots \forall_n x_n \exists y \psi$
- ▶ Output  $\phi' : \forall_1 x_1, \dots \forall_n x_n \psi[y/f(x_1, \dots, x_n)]$   
where  $f$  a fresh  $n$ -ary function symbol  
 $\phi'$  results from skolemization out of  $\phi$ ,  $f$  called Skolem function (or Skolem constant if  $n = 0$ )
- ▶ Can be iteratively applied (starting with left-most  $\exists$ ) until all  $\exists$  are eliminated. Result is said to be in Skolem form and to be the skolemization of the original formula

## Theorem

*A formula and its skolemization are satisfiably equivalent.*

## Example (Skolem Form)

Given formula

$$\phi = \forall x \forall y (P(x, y) \rightarrow Q(x)) \rightarrow \exists x (\forall y \neg Q(y) \rightarrow \exists y \neg P(y, x))$$

transform it to Skolem form

$$\begin{aligned} & \forall x \forall y (P(x, y) \rightarrow Q(x)) \rightarrow \exists x (\forall y \neg Q(y) \rightarrow \exists y \neg P(y, x)) \\ \equiv & \forall x \forall y (\neg P(x, y) \vee Q(x)) \rightarrow \exists x (\neg \forall y \neg Q(y) \vee \exists y \neg P(y, x)) \\ \equiv & \neg \forall x \forall y (\neg P(x, y) \vee Q(x)) \vee \exists x (\neg \forall y \neg Q(y) \vee \exists y \neg P(y, x)) \\ \equiv & \exists x \exists y \neg (\neg P(x, y) \vee Q(x)) \vee \exists x (\exists y \neg \neg Q(y) \vee \exists y \neg P(y, x)) \\ \equiv & \exists x \exists y (\neg \neg P(x, y) \wedge \neg Q(x)) \vee \exists x (\exists y \neg \neg Q(y) \vee \exists y \neg P(y, x)) \\ \equiv & \exists x \exists y (P(x, y) \wedge \neg Q(x)) \vee \exists x (\exists y Q(y) \vee \exists y \neg P(y, x)) \\ \equiv & \exists x_1 \exists y_1 (P(x_1, y_1) \wedge \neg Q(x_1)) \vee \exists x_2 (\exists y_2 Q(y_2) \vee \exists y_3 \neg P(y_3, x_2)) \\ \equiv & \exists x_1 \exists y_1 (P(x_1, y_1) \wedge \neg Q(x_1)) \vee \exists x_2 \exists y_2 (Q(y_2) \vee \exists y_3 \neg P(y_3, x_2)) \\ \equiv & \exists x_1 \exists y_1 (P(x_1, y_1) \wedge \neg Q(x_1)) \vee \exists x_2 \exists y_2 \exists y_3 (Q(y_2) \vee \neg P(y_3, x_2)) \\ \equiv & \exists x_2 \exists y_2 \exists y_3 (\exists x_1 \exists y_1 (P(x_1, y_1) \wedge \neg Q(x_1)) \vee (Q(y_2) \vee \neg P(y_3, x_2))) \\ \equiv & \exists x_2 \exists y_2 \exists y_3 \exists x_1 \exists y_1 ((P(x_1, y_1) \wedge \neg Q(x_1)) \vee (Q(y_2) \vee \neg P(y_3, x_2))) \\ \equiv_{\text{sat}} & ((P(d, e) \wedge \neg Q(d)) \vee (Q(b) \vee \neg P(c, a))) \end{aligned}$$

# Clausal Normal Form

## Definition

$\psi$  is in clausal normal form (CLNF) iff it is in Skolem form, contains no free variables, and its matrix is in CNF



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A quantifier-free formula is in **conjunctive normal form (CNF)** iff it is a conjunction of clauses

- ▶ **Clause**: Disjunction of literals
- ▶ **Literal**: atomic FOL formula or negated atomic FOL formula

**Example CNF**:  $(R(a, x) \vee \neg P(x)) \wedge (\neg P(b) \vee Q(y))$

*clause*                      *clause*

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## Theorem

For every  $\psi$  there exists a satisfiably equivalent  $\psi'$  in CLNF

# Resolution Idea

- ▶ Observation used for resolution:

$$(\alpha \vee \phi) \wedge (\neg\alpha \vee \psi) \wedge \chi \equiv_{sat} (\phi \vee \psi) \wedge \chi$$

where

- ▶  $\{\alpha, \neg\alpha\}$  is a pair of **complementary literals**
  - ▶  $\phi, \psi, \chi$  arbitrary formulae
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- ▶ Apply this equivalence iteratively on the matrix of formula in CLNF until empty clause (i.e. contradiction) is derived
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- ▶ More convenient **set notation for clauses**
    - ▶ Clause  $L_1 \vee \dots \vee L_n$  written as set  $\{L_1, \dots, L_n\}$
    - ▶  $\overline{L_j}$  is complement of  $L_j$   
E.g.:  $\overline{R(a)} = \neg R(a)$ ,  $\overline{\neg R(a)} = R(a)$

# Lazy Proof Strategy by Unification

- ▶ Want to identify literals as complementary using **unification**
- ▶ **Substitution**  $\sigma$ : function from variables to terms
- ▶  $\sigma$  **unifies** literals  $L_1, L_2$  iff  $L_1\sigma = L_2\sigma$
- ▶ **Example**
  - ▶  $L_1 = P(x, y), L_2 = P(g(z), a)$
  - ▶  $\sigma_1 = [x/g(z), y/a]$

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  - ▶  $L_1 = P(x, y), L_2 = P(g(z), a)$
  - ▶  $\sigma_1 = [x/g(z), y/a]$
- ▶ **Laziness**: Find a most general unifier (mgu)
  - ▶  $\sigma_1$  more general than  $\sigma_2 = [x/g(a), y/a, z/a]$ .
  - ▶  $\sigma$  is an **mgu** iff for all unifiers  $\sigma'$  there is substitution  $\sigma''$  such that  $\sigma' = \sigma \circ \sigma''$ .

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## Theorem (Robinson)

*Every unifiable finite set of literals has a mgu.*

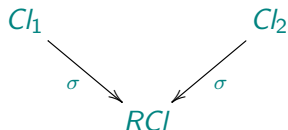
# Resolution Step

## Definition

Given clauses  $C_1, C_2$ , the clause  $RCI$  is a **resolvent** of  $C_1, C_2$  iff

1. There are variable renamings  $\sigma_1, \sigma_2$  s.t.  $C_1\sigma_1$  and  $C_2\sigma_2$  contain different variables.
2. There is a literal  $L_1 \in C_1\sigma_1$  and  $L'_1 \in C_2$  s.t.  $\{L_1, \bar{L}'_1\}$  unifiable with mgu  $\sigma$
3.  $RCI = (C_1\sigma_1 \setminus \{L_1\} \cup C_2\sigma_2 \setminus \{L'_1\})\sigma$

A convenient graphical notation





## Example (Resolution)

$\{\neg P(x_1, y_1), Q(x_1)\}$

$\{\neg Q(y_2)\}$

$\{P(y_3, x_2)\}$

$[x_1/y_2]$

$\{\neg P(y_2, y_1)\}$

$[y_3/y_2][x_2/y_1]$



# Correctness and Completeness

## Definition

A calculus  $C$  is

- ▶ **correct** w.r.t. entailment iff: Whenever  $\Phi \vdash_C \psi$ , then  $\Phi \models \psi$
  - ▶ **complete** w.r.t. entailment iff: Whenever  $\Phi \models \psi$ , then  $\Phi \vdash_C \psi$
- 
- ▶ Correctness means: you can prove entailments only that really hold
  - ▶ Completeness means: Whenever an entailment holds then there is also a proof for it. (Proved by ingenious Gödel)

## Theorem

*All aforementioned calculi are correct and complete*

# Resolution Theorem

- ▶ Let  $\psi$  be a clause set
- ▶  $Res(\psi) = \psi \cup \{RCI \mid RCI \text{ is a resolvent of clauses in } \psi\}$
- ▶  $R^{i+1} = Res(Res^i(\psi))$
- ▶  $Res^*(\psi) = \bigcup Res^i(\psi)$

## Theorem

*Every  $\phi$  in CLNF with matrix  $\psi$  is unsatisfiable iff  $\square \in Res^*(\psi)$   
(or equivalently: if there is a derivation graph ending in  $\square$ .)*

- ▶ This shows correctness and completeness w.r.t. unsatisfiability testing
- ▶ But entailment can be reduced to it (remember wake-up question).
- ▶ Possible proof based on [Herbrand](#) models

## Optional Slide: Completeness and Correctness for Resolution

- ▶ Herbrand structures blur syntax-semantic distinctions.
- ▶ Given  $\psi$  in Skolem form.
- ▶ Herbrand terms  $HT(\psi)$ : all possible closed terms from function symbols (and constants) in  $\psi$
- ▶ Herbrand structure  $HS(\psi)$ 
  - ▶ Domain:  $HT(\psi)$
  - ▶ Interpretation of function symbols:  
 $f^{HS(\psi)}(t_1, \dots, t_n) = f(t_1, \dots, t_n)$
  - ▶ Relation symbols arbitrarily

### Theorem

*A formula is satisfiable iff it (its CLNF) has a Herbrand model*

- ▶ Construction of Herbrand model: Interpret relation symbols  $R$  as  $R^{HS(\psi)}(t_1, \dots, t_n)$  if  $\mathcal{I}(t_1), \dots, \mathcal{I}(t_n) \in R^{\mathcal{I}}$  for satisfying  $\mathcal{I}$ .

## Optional Slide: Herbrand Expansion

- ▶ Given  $\psi$  in Skolem form  $\forall x_1, \dots, \forall x_n \phi$
- ▶  $HE(\psi)$ : All “groundings” of the matrix with Herbrand terms

$$\{\psi[x_1/t_1, \dots, x_n/t_n] \mid t_i \in HS(\psi)\}$$

### Theorem (Herbrand)

*Skolem formula  $\psi$  is satisfiable iff a finite subset of  $HE(\psi)$  is satisfiable*

#### Proof idea

- ▶ Show that  $\psi$  is satisfiable iff it has a Herbrand model
- ▶ Show that  $\psi$  has a Herbrand model iff  $HE(\psi)$  is satisfiable
- ▶ Use compactness of propositional logic (discussed later)

# But wait....

- ▶ We have shown completeness of calculi
- ▶ Doesn't this mean that we have a decision procedure for entailment (unsatisfiability)?

# But wait....

- ▶ We have shown completeness of calculi
- ▶ Doesn't this mean that we have a decision procedure for entailment (unsatisfiability)?
  - ▶ NO!

## Theorem

*Deciding validity (unsatisfiability, entailment) is un-decidable*

- ▶ But semi-decidability holds:  
if formula is valid you will eventually find a derivation; if  
formula not valid you won't know

# Turing Machines

- ▶ One of the first precise computation models are Turing machines (TMs)
- ▶ Specifies precisely what it means to solve a problem algorithmically
  - ▶ Starting from a finite input (encoding)
  - ▶ give after a (finite number) of discrete steps
  - ▶ an encoding of the desired output



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VIDEO: A Lego<sup>TM</sup> Turing machine

<https://www.youtube.com/watch?v=FTSAiF9AHN4>

# Semi-decidability

## Theorem

FOL entailment is *semi-decidable*, i.e., there is a TM s.t.

- ▶ If  $\Phi$  and  $\psi$  are inputs with  $\Phi \models \psi$ , then TM stops with *yes*
- ▶ otherwise it stops with *no* or it does not stop.

## Proof sketch:

- ▶ Given a calculus  $C$  with derivation relation  $\vdash_C$  complete and correct for entailment
- ▶ The possible inferences starting from  $\Phi$  make up a tree (with finite set of children for every node)
  - ▶ The root (level 0) is  $Encode(\Phi, \psi)$
  - ▶ The finitely many children at level  $n + 1$  are those  $D_i$  that are generated from children at level up to  $n$
  - ▶ Do a breadth first search until  $Encode(\Phi \models \psi)$  appears

Why is FOL so Important?

# Why is FOL so Successful (w.r.t.) CS

- ▶ Theoretical Answer: FOL is most expressive logic w.r.t. relevant properties (Lindström Theorems)  
⇒ today
- ▶ Practical Answer: Has proven useful for query answering on SQL DBs and much more  
⇒ next lectures

# Compactness in Topology

“Ah, Kompaktheit, eine wundervolle Eigenschaft” (Jaenich 2008, S.24)

- ▶ Compactness notion stems from mathematical field topology
- ▶ Topologies  $\mathfrak{T} = (X, \mathcal{O})$ 
  - ▶ Domain  $X$  and open sets  $\mathcal{O} \subseteq \text{Pot}(X)$  with
  - ▶ Every union of open sets is open
  - ▶ Every finite intersection is open
  - ▶  $X$  and  $\emptyset$  are open
- ▶ Open covering of  $X$   
Family of open sets  $\{U_i\}_{i \in I}$  with  $U_i \in \mathcal{O}$  and  $\bigcup_{i \in I} U_i = X$

**Lit:** K. Jänich. Topologie. Springer, 8th edition, 2008.

# Compactness in Topology

## Definition

$(X, \mathcal{O})$  is **compact** iff every open covering of  $X$  has a finite sub-covering.

- ▶ How compactness is used to infer global properties from local properties
  - ▶ Let  $P$  be a property such that if open  $U, V$  have it, then also  $U \cup V$  has it.
  - ▶ Then: If for every point  $a \in X$  there is an open  $U_a$  having  $P$ , then  $X$  has  $P$ .

## Wake-Up Exercise

Prove the correctness of this type of reasoning from local to global within compact spaces!



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Prove the correctness of this type of reasoning from local to global within compact spaces!

### Proof

- ▶ Assume that if open  $U, V$  have  $P$ , then also  $U \cup V$  has it. (\*)
- ▶ Assume further that for all  $a$  there is  $U_a$  having  $P$ .
- ▶  $\{U_a\}_{a \in X}$  is a covering of  $X$ .
- ▶ Because of compactness there is a finite covering  $U_{a_1} \cup \dots \cup U_{a_n} = X$ .
- ▶ Because of (\*) it follows that  $U_{a_1}, \dots, U_{a_n}$  has  $P$ , i.e.,  $X$  has  $P$ .

## Definition ((Logical) Compactness)

A logic  $\mathcal{L}$  has the compactness property if the following holds: For all sets  $\Phi$  of formulae in  $\mathcal{L}$ : If every finite subset of  $\Phi$  has a model, then  $\Phi$  has a model.

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If  $\Phi \models \psi$ , then already  $\Phi_0 \models \psi$  for a finite  $\Phi_0$

- ▶ Intuitively: Infiniteness adds not additional expressive power for FOL

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## Theorem

*FOL has the compactness property.*

- ▶ Logical compactness derived from topological notion
- ▶ FOL compactness is a corollary of Tychonoff's Theorem ("Any product of compact topological spaces is compact")

# Application: Reachability is not FOL Expressible

Query  $Q_{reach}$ : List all cities reachable from Hamburg!

$$\begin{aligned}Q_{reach}(x) &= Flight(Hamburg, x) \vee \\ &\quad \exists x_1 Flight(Hamburg, x_1) \wedge Flight(x_1, x) \vee \\ &\quad \exists x_1, x_2 Flight(Hamburg, x_2) \wedge Flight(x_2, x_1) \wedge Flight(x_1, x) \vee \dots\end{aligned}$$

## Theorem

*Reachability is not expressible in FOL.*

## Proof

- ▶ For contradiction assume there is FOL  $\phi_{reach}(x, y)$  expressing reachability over edges  $E$
- ▶ Consider FOL formulae  $\phi_n$ : “There is an  $n$ -path from  $c$  to  $c'$ ”
- ▶ Let  $\Psi = \{\neg\phi_i \mid i \in \mathbb{N}\} \cup \{\phi_{reach}(c, c')\}$
- ▶  $\Psi$  is unsatisfiable, but every finite subset is satisfiable ⚡

# Application: Infinitesimal Probabilities

- ▶ Over continuous domains “low-dimensional” events have probability 0
- ▶ Conditional probability  $P(B|A)$  undefined for  $P(A) = 0$
- ▶ But  $P(\text{point on east hemisphere} \mid \text{point on equator})$  should be  $1/2$  (and not undefined)  
⇒ Need infinitesimal positive probability weights
- ▶ Consider  $T = Th(\mathbb{R}) \cup \{a < \Omega \mid a \text{ is name of a real number}\}$
- ▶ Every finite subset of  $T$  satisfiable; with compactness  $T$  is satisfiable
- ▶  $1/\Omega$  infinitesimal element

**Lit:** J. Weisberg. Varieties of bayesianism. In D. M. Gabbay, S. Hartmann, and J. Woods, editors, Inductive Logic, volume 10 of Handbook of the History of Logic, pages 477–551. North-Holland, 2011.

**Lit:** A. Robinson. Non-standard Analysis. Princeton Landmarks in Mathematics. Princeton University Press, 1996.

# FOL has the Löwenheim-Skolem-Property

## Theorem (Downward Löwenheim-Skolem-Property)

*Every satisfiable, countable set of FOL sentences (theory) has a countable model.*

- ▶ Intuitively: If you can talk with countably many sentences about structures, then there is a countable model verifying this fact.
- ▶ Can be shown by Herbrand expansions
- ▶ Leads to [Skolem's paradox](#)
  - ▶ You can formalize mathematics within countable FOL theory, namely, Zermelo-Fränkel Set Theory (ZFC)
  - ▶  $ZFC \models$  "there are uncountable sets".

# Why FOL is so Important: Lindström Theorems

## Theorem (First Lindström Theorem)

*There is no (regular) logic that is more expressive than FOL and fulfills compactness and Löwenheim-Skolem Property*

- ▶ Meta theorem
- ▶ Intuitively: FOL is the most expressive (regular) logic fulfilling compactness and the Löwenheim-Skolem Property
- ▶ Regularity of logic
  - ▶ Contains boolean operators
  - ▶ Allows relativizing formula to domains
  - ▶ Allows substituting constants and function symbols by relation symbols



# Limits of FOL

- ▶ Positive: FOL can be used for effective query answering on one model (in data complexity)!
- ▶ Negative
  - ▶ Entailment problem, satisfiability etc. not decidable  
⇒ Calls for restriction to feasible fragments
  - ▶ Expressivity not sufficient (no recursion)  
⇒ Calls for extensions (and restrictions)