
Intelligent Agents

Doxastic logic and dynamics of beliefs

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Today's lecture based on

- Parts of Lecture notes „EPISTEMIC LOGICS“ by Andreas Herzig, 2017
<https://www.irit.fr/~Andreas.Herzig/Cours/epiLogics.pdf>



DOXASTIC LOGIC



Relevance of Knowledge

- When is knowledge the appropriate informational attitude?
- Remember: “knowledge entails truth” principle in epistemic logic: $\models_{S5n} K_a \phi \rightarrow \phi$
- Relevant for:
 - formal epistemology
 - What is knowledge?
 - Is knowledge possible at all?
 - Are all truths knowable?
 - Distributed processes (Fagin et al 03)

Truth

- Relation to truth less in focus in:
 - philosophy of mind: focus on agent's mental state
 - philosophy of language: effects of speech acts on the participants' mental states: lies, bullshitting
 - implementation of artificial agents
- informational mental attitude not entailing truth: **belief**
 - "he knows that ϕ , but he is wrong": inconsistent
 - "he believes that ϕ , but he is wrong": consistent
 - however: 'belief aims at truth' (Engel 1998), (Hakli 2006)
- Doxastic logic (Hintikka 2005) (Lenzen 1978, Lenzen 1995)
 - **doxa** = $\delta\omicron\xi\alpha$ = 'belief' (Greek)

Definition (Syntax of Doxastic Logic: KD45n)

- Well-formed formula of doxastic logic are given by BNF:

$$\phi ::= p \mid \perp \mid \neg\phi \mid (\phi \wedge \phi) \mid B_a\phi$$

where $p \in AP$ and $a \in AGT$.

- Intended reading: $B_a\phi$ ``agent a believes ϕ ''
- Dual operator: \widehat{B}_a abbreviates $\neg B_a \neg\phi$
``it is possible for a that ϕ ''

Example

- $p \wedge B_a \neg p$
- $B_a \neg p \wedge B_b B_a p$
- $B_a (B_b p \vee B_b \neg p)$

Doxastic attitudes and situations

- Three possible **doxastic attitudes** w.r.t. a formula ϕ

$$B_a\phi \quad \hat{B}_a\phi \wedge \hat{B}_a\neg\phi \quad B_a\neg\phi$$

for ϕ contingent (not tautology and not contradiction)
and non-doxastic

- Six possible **doxastic situations** w.r.t. a formula ϕ

$$\begin{array}{lll} \phi \wedge B_a\phi & \phi \wedge \hat{B}_a\phi \wedge \hat{B}_a\neg\phi & \phi \wedge B_a\neg\phi \\ \neg\phi \wedge B_a\phi & \neg\phi \wedge \hat{B}_a\phi \wedge \hat{B}_a\neg\phi & \neg\phi \wedge B_a\neg\phi \end{array}$$

for ϕ contingent (not tautology and not contradiction)
and non-doxastic

Semantics

Belief explained (as for knowledge) with possible worlds

$B_a \phi =$ „agent a believes that ϕ “



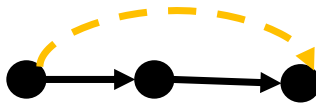

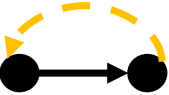
$=$ „ ϕ true in every world that is compatible with a 's beliefs“

Definition (Models of KD45n)

A $KD45_n$ -model is a structure $\mathcal{M} = (W, B, V)$ where

- W nonempty set (of possible worlds)
- $V: AP \rightarrow 2^W$ (valuation)
- $\mathcal{R}: AGT \rightarrow 2^{W \times W}$ such that for every $a \in AGT$:
 - For every w there is some w' such that $(w, w') \in \mathcal{R}_a$ (serial)
 - If $(w, w') \in \mathcal{R}_a$ and $(w', w'') \in \mathcal{R}_a$, then $(w, w'') \in \mathcal{R}_a$ (transitive)
 - If $(w, w') \in \mathcal{R}_a$ and $(w, w'') \in \mathcal{R}_a$, then $(w', w'') \in \mathcal{R}_a$ (Euclidean)

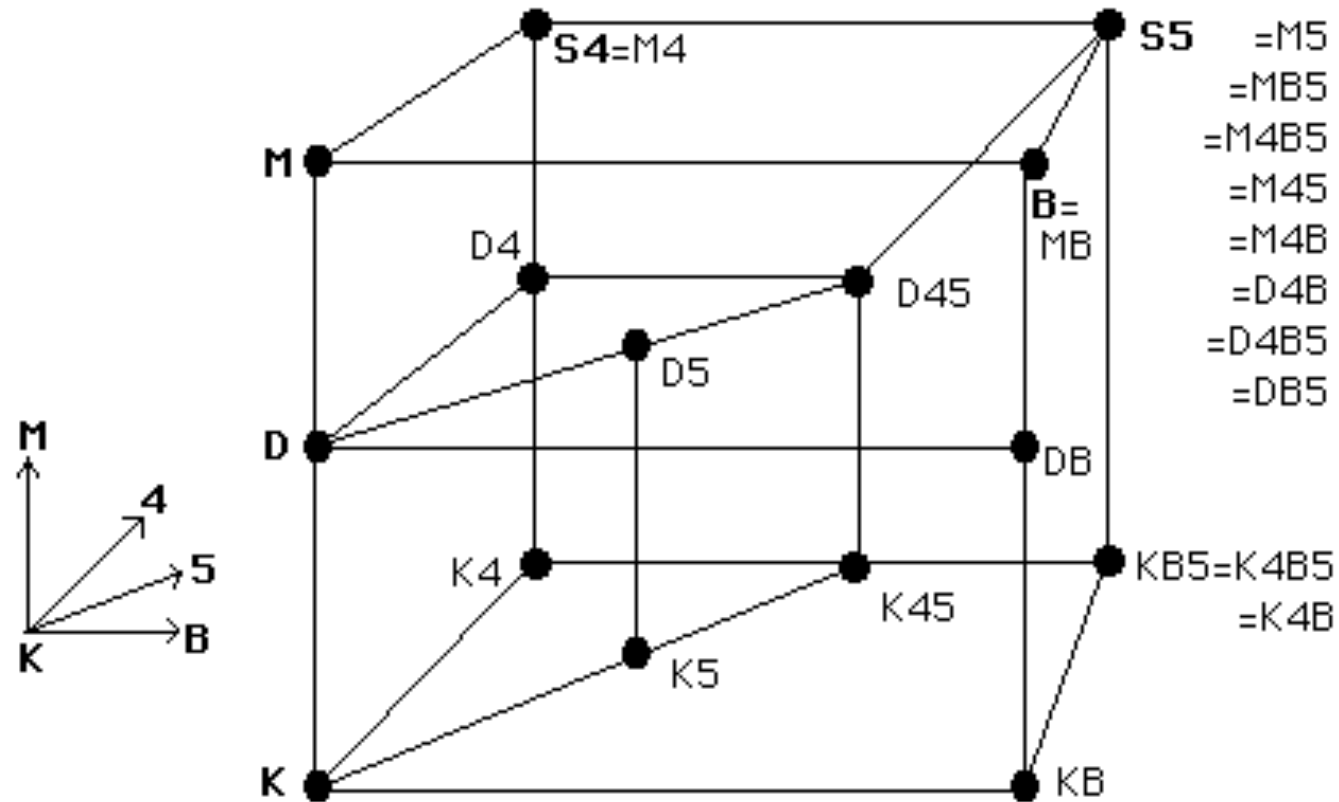
Reminder on notation

	Properties		Related axioms
K	any accessibility relation		
T	Reflexive		$\Box\phi \rightarrow \phi$
D	Serial		$\Diamond T$
4	Transitive		$\Box\phi \rightarrow \Box\Box\phi$
5	Euclidean		$\neg\Box\phi \rightarrow \Box\neg\Box\phi$
B	Symmetric		$\phi \rightarrow \Box\Diamond\phi$

Note that here we use the usual notation \Box for a necessity operator and $\Diamond = \neg\Box\neg$ for its dual, the possibility operator

A picture of well known modal logics

<https://plato.stanford.edu/entries/logic-modal/>



Notation:

- M is used for T (reflexivity)
- $M4B$, e.g., is the result of adding M , 4 and B to K

Some derived notions and observations

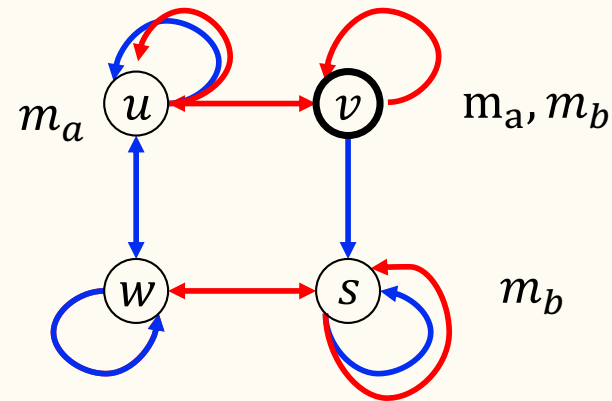
- $\mathcal{R}_a(w) = \{ w' \mid (w, w') \in \mathcal{R}_a \}$
 - = a 's alternatives to w
 - = worlds a cannot distinguish from w on basis of beliefs
 - = set of worlds compatible with a 's beliefs
 - = belief state of agent a at w
- \mathcal{R}_a is serial iff $\mathcal{R}_a(w) \neq \emptyset$
- \mathcal{R}_a is transitive and Euclidean iff:
if $w' \in \mathcal{R}_a(w)$ then $\mathcal{R}_a(w) = \mathcal{R}_a(w')$

Definition (modellig relation in KD45n)

$\mathcal{M}, w \models B_a \phi$ iff $\mathcal{M}, w' \models \phi$ for every $w' \in \mathcal{R}_a(w)$

Example (Variant of muddy children (a, b) with beliefs)

Child *a* wrongly believes it is not muddy



$$R_a(v) = \{s\}$$

$$M, v \models m_a \wedge B_a \neg m_a$$

Axiomatics

Definition (A calculus for multimodal KD45n)

- Axioms for multimodal K
 - Axioms for propositional logic
 - Axiom $B_a\phi \wedge B_a\psi \rightarrow B_a(\phi \wedge \psi)$
 - Rule: $\phi \rightarrow \psi \quad \vdash_{KD45n} \quad B_a\phi \rightarrow B_a\psi$ Rule $M(B_a)$
- Consistency of Belief: $\neg(B_a\phi \wedge B_a\neg\phi)$ Axiom $D'(B_a)$
- Positive Introspection: $B_a\phi \rightarrow B_aB_a\phi$ Axiom $4(B_a)$
- Negative Introspection: $\neg B_a\phi \rightarrow B_a\neg B_a\phi$ Axiom $5(B_a)$

Note that we do NOT have the axiom for reflexivity (T)

Wake-Up question

- Q: The axiom for the consistency of belief $\neg(B_a \phi \wedge B_a \neg\phi)$ is named D' . Show that D' is equivalent (w.r.t the system K) to the usual form of the axiom named D : $\neg B_a \neg \top$
- A:
 - Consider $K + D'$; we have to derive D
 - $\neg(B_a \phi \wedge B_a \neg\phi)$ holds for all ϕ in all Kripkeframes, in particular it holds for $\phi = \neg\top$
 - $\neg(B_a \top \wedge B_a \neg\top) \equiv \neg B_a \neg\top \vee \neg B_a \top$
 - But in any frame $B_a \top$ is true, hence $\neg B_a \top$ false and hence $\neg B_a \neg\top$ holds in any frame of K.

Wake-Up question

- Q: Q: The axiom for the consistency of belief $\neg(B_a \phi \wedge B_a \neg\phi)$ is named D' . Show that D' is equivalent (w.r.t the system K) to the usual form of the axiom named D : $\neg B_a \neg \top$
- A:
 - Consider now $K + D$; we have to derive D' .
 - $K+D$ axiomatizes the class of all serial frames. So consider any serial frame.
 - Note that $\neg(B_a \phi \wedge B_a \neg\phi)$ is equivalent to $B_a \phi \rightarrow \neg B_a \neg\phi$
 - Consider a world w in which $B_a \phi$ holds.
 - So there exists an edge from w to some world where ϕ holds.
 - But then not for all edges reachable from w it can be the case that $\neg \phi$ holds.

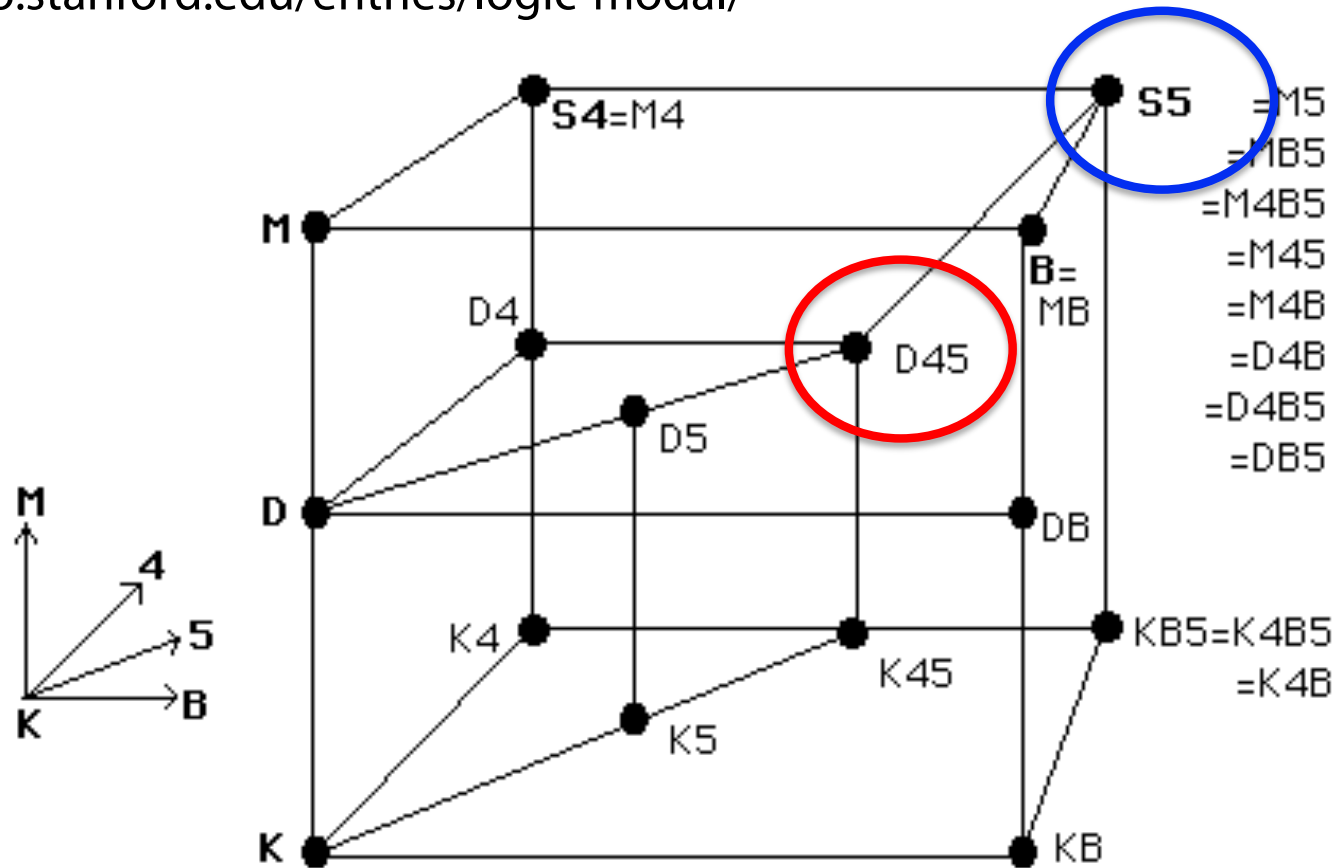
Axiomatics

Theorem (Properties of calculus)

- Sound and complete: \vdash_{KD45_n} iff \models_{KD45_n}
- Decidable
- Complexity of $KD45_n$ -satisfiability
 - NP-complete if $n = 1$
 - PSPACE-complete if $n > 1$
- For $n = 1$ there exists a normal form: modal depth ≤ 1

Logic of belief and Logic of knowledge

<https://plato.stanford.edu/entries/logic-modal/>



Notation:

- Here M is used instead of T (not to be confused with rule $M(B_a)$)
- M4B, e.g., is the result of adding M, 4 and B to K

Discussion: Omniscience problem

- Closure of B_a under inference (see only rule in calculus)
- This is not realistic - in particular for resource bounded agents.

- (Negative) Introspection also criticised (Lenzen 78)

Discussion: belief and probability

- $KD45_n$'s notion of belief is strong („conviction“)
- Weaker version:
 - $B_a\phi = Prob_a(\phi) > Prob_a(\neg\phi)$
 - For classical semantics this amounts to $Prob_a(\phi) > \frac{1}{2}$
- Semantics: $\mathcal{M} = (W, \mathcal{R}, V)$ where
 - $\mathcal{R}: AGT \rightarrow (W \times W)$ and
 - $\mathcal{M}, w \models B_a\phi$ iff among the a -accessible worlds there are more ϕ than $\neg\phi$ worlds
- $(B_a\phi \wedge B_a\psi) \rightarrow B_a(\phi \wedge \psi)$ not valid!
- Weakening of Kripke semantics: neighbourhood semantics (Burgess 1969), (Lenzen 1978)

Wake-Up question

- Q: Show that from $Prob_a(\phi) > Prob_a(\neg \phi)$ for classical semantics of negation \neg it follows that

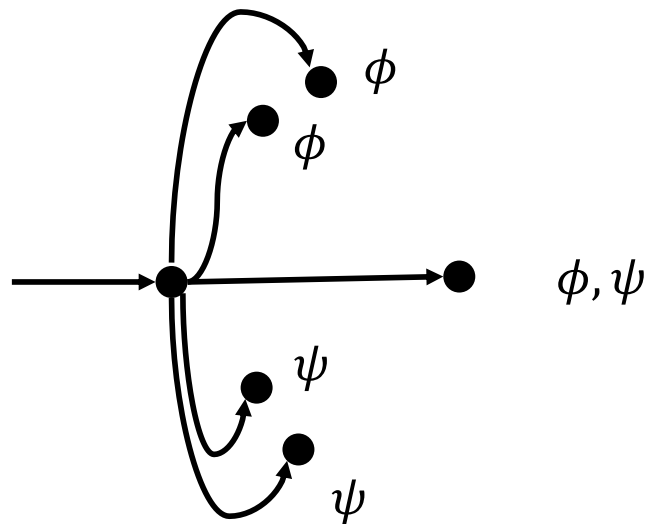
$$Prob_a(\phi) > \frac{1}{2}$$

- A: $Prob_a(\phi) > Prob_a(\neg \phi)$ iff
 $Prob_a(\phi) > 1 - Prob_a(\phi)$ iff
 $2Prob_a(\phi) > 1$ iff
 $Prob_a(\phi) > \frac{1}{2}$

Wake-Up question

- Q: Give a counterexample against the validity of $(B_a\phi \wedge B_a\psi) \rightarrow B_a(\phi \wedge \psi)$

• A:



Discussion: Graded Belief

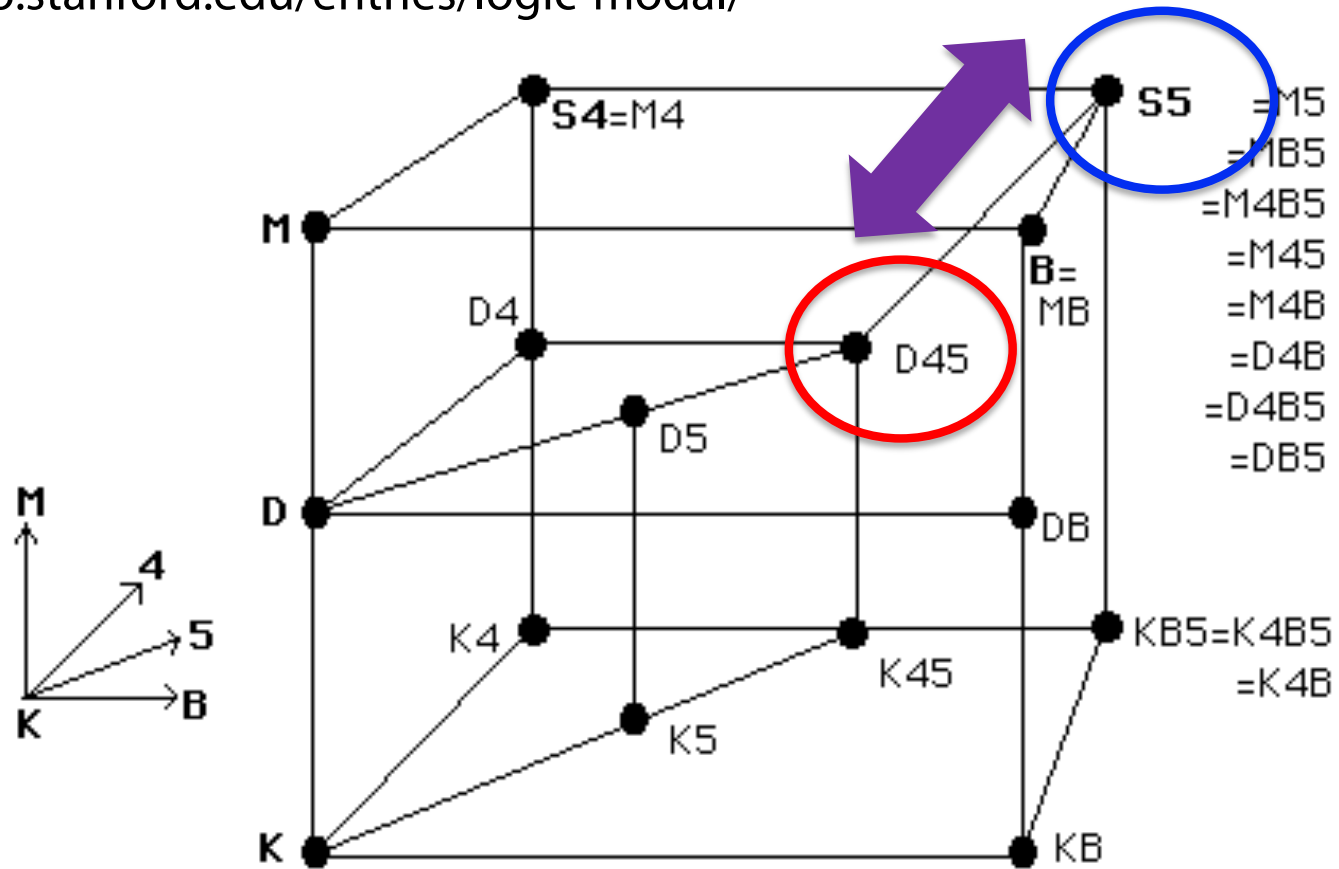
- Language: $B_a^{\geq d} \phi =$ „ a believes ϕ with degree at least d “
(where $d \in [0,1]$)
- Semantics: $\mathcal{M} = (W, \mathcal{R}, V)$ where
 - $\mathcal{R}: AGT \times [0,1] \rightarrow (W \times W)$ such that $\mathcal{R}_a^{\geq d} \subseteq \mathcal{R}_a^{\geq d+d'}$
Linear chain of accesibility relations
(\rightarrow „system of spheres“)
 - $w \mathcal{R}_a^{\geq d} v =$ „for a at w world v has degree of possibility at least d “
- Axiomatics:
 - $KD45(B_a^{\geq d})$ for every a and d
 - $B_a^{\geq d} \phi \rightarrow B_a^{\geq d'} \phi$ if $d \geq d'$

KNOWLEDGE VS BELIEF



Logic of belief and knowledge ?

<https://plato.stanford.edu/entries/logic-modal/>



Can knowledge be defined from belief?

- The antique definition according to Platon (Theaetetus)
 - $K_a\phi = B_a\phi \wedge \phi \wedge \dots? \dots$
Problem: $B_a\phi \wedge \phi$ would allow knowledge by accident
 - $K_a\phi = B_a\phi \wedge \phi \wedge hasJust(a, \phi)$
„Knowledge is justified true belief“
- Held to be true for more than 2000 years
- And then comes Gettier
 - Fun fact: idea written on napkin
 - leading to a highly influential 2 page paper (in analytical philosophy) (Gettier 1963)

Gettiers two counterexamples

Scenario 1

- Smith and Jones apply for a job
- Smith believes (justifiably):
(p) Jones will get the Job &
Jones has ten coins in his pocket
- Smith believes also in the entailed
assertion:
(r) The one who gets the job has ten
coins in his pocket.
- Coincidence : Smith gets the job
and Smith has ten coins in his
pocket.
- Smith „knew“ (r) only by chance

Scenario 2

- Smith justifiably believes
(p) Jones owns a Ford
- Smith also believes in entailed
assertion
- (r) = (p or q): Jones owns a Ford,
or Brown lives in Barcelona
(Though Smith has no
justification for q)
- Coincidence: Jones does not
own Ford, but Brown lives in
Barcelona
- Smith „knew“ (r) only by
chance

General idea: decouple justification and truth conditions of propositional content of belief

General remarks

- What is a justification at all?
 - „Solutions“ to Gettier’s problem deal with this problem
 - A formal treatment of justification similar to provability logic: **Justification Logic** (Artemov 2008) -> Next lecture
- Gettier’s problem formalized
 - Suppose logic of belief and justification such that
(*) $\phi \rightarrow \psi \vdash \text{hasJust}(a, \phi) \rightarrow \text{hasJust}(a, \psi)$
 - Suppose: a wrongly but justifiably believes in p
 $\neg p \wedge B_a p \wedge \text{hasJust}(a, p)$
 - By $M(B_a)$: $B_a(p \vee q) \wedge B_a(p \vee \neg q)$
 - By (*): $\text{hasJust}(a, (p \vee q)) \wedge \text{hasJust}(a, (p \vee \neg q))$
 - Hence: $\models B_a p \wedge \text{hasJust}(a, p) \rightarrow (K_a(p \vee q) \vee K_a(p \vee \neg q))$

Relation of knowledge and belief not obvious

- Suppose logic of knowledge and belief defined as
 - $KD45(B_a)$
 - $S5(K_a)$
 - $K_a\phi \rightarrow B_a\phi$
 - $B_a\phi \rightarrow B_aK_a\phi$
- Would entail that $B_a\phi \leftrightarrow K_a\phi$
(intermediate step: $\neg B_a\neg K_a\phi \rightarrow \neg K_a\neg B_a\phi$)
- Culprit: negative introspection for knowledge
(Axiom 5) (Lenzen 1978, Lenzen 1995)

Wake-Up Question

- Q: Does $K_a\phi \rightarrow B_a\phi$ reflect the usual natural language use of knowledge and belief?
- A: One might argue that in taking action you have to believe in some preconditions holding. You might argue that these on some conscious level tht the preconditions hold but your gut feeling still stops you from taking the action.
- A: „You know that you lost the game but in that moment you do not (want to) believe“

DYNAMICS OF BELIEF



Getting dynamic with beliefs

- How do a 's beliefs evolve when a learns that ϕ is true?
- Extend $KD45_n$ by public announcement operator $[\phi!]$
 - What if agent a wrongly believes that p , but $\neg p$ is announced?
 - This is NOT possible in epistemic logic:
 - $\vdash_{S5_n} K_a p \rightarrow p$ (reflexivity)
 - $\vdash_{S5_n PAL} p \leftrightarrow [\neg p!] \perp$ (reduction axiom)
 - $\vdash_{S5_n PAL} K_a p \rightarrow [\neg p!] \perp$ (Modus ponens)
 - In doxastic logic:
 - $B_a p \wedge \neg p$ is satisfiable
 - $\vdash_{KD45_n PAL} p \leftrightarrow [\neg p!] \perp$ (reduction axiom)
 - $B_a p \wedge \neg[\neg p!] \perp$ should be $KD45_n - PAL$ satisfiable

But in doxastic logic dynamics not trivial

- One can show: inconsistent beliefs possible

$$\vdash_{KD45_nPAL} (\neg p \wedge B_a p) \rightarrow \langle \neg p! \rangle B_a \perp$$

- Ways out:

1. Drop seriality (Axiom D, which amounts to consistency of belief)
2. Modify truth condition for announcements

$$M, w \models [\phi!] \psi \text{ iff } \left(\begin{array}{l} M, w \not\models \phi \text{ or} \\ M, w \models \hat{B}_a \phi \text{ and } M^{\phi!}, w \models \psi \text{ or} \\ M, w \models B_a \neg \phi \text{ and } M, w \models \psi \end{array} \right)$$

- Reduction axiom

$$[\phi!] B_a \psi \leftrightarrow \neg \phi \vee (\hat{B}_a \phi \wedge B_a [\phi!] \psi) \vee (B_a \neg \phi \wedge B_a \psi)$$

- Believe-contravening input is rejected

3. Integrate **belief revision** mechanism

Classical theory of Belief Revision

- We partly follow the presentation of Herzig
- For a more comprehensive treatment see also master course „Information Systems CS4130“ at IFIS
- Landmarking „yellow“ paper of Alchourron, Gärdenfors and Makinson (Alchourron et al 1985)
- Beliefs of an ideal agent = set of Boolean formulas $S \subseteq L$ closed under some consequence operator
 - $S \in BS_L$ is called a **belief set**

AGM takes an internal perspective

- $\phi \in S$ means: ϕ is believed by the agent
- Internal perspective (S is in agent's head)
- Contrast with external perspective:
 - $\phi = \text{``}\phi\text{''}$ is objectively true
 - Taken in doxastic logic
- But can „internalize“ doxastic logic too (Aucher 2008)
 - Distinguished agent Y (for you)
 - $\phi = \text{„}Y \text{ believes that } \phi\text{“}$
 - Wanted: $\vdash \phi \leftrightarrow B_Y \phi$
 - Abandon inference rule of necessitation:
 $\models B_Y \phi \rightarrow \phi$ but $\not\models B_a(B_Y \phi \rightarrow \phi)$

Coherentism vs foundationalism

- Two general approaches in epistemology
- **Foundationalism:**
 - All beliefs rest on some basic beliefs (which do not rest by themselves on others, but are assumed to be true)
 - Some tribute to foundationalism in post AGM-work: **Belief bases** are (arbitrary not necessarily closed) usually finite sets of sentences
- **Coherentism:**
 - Beliefs are justified by their relations (consequence, justification..) to other beliefs in a network
 - Usually there is no notion of truth
 - AGM considers closed sets of beliefs based on a consequence operator (logic not based on a semantics)

Types of Belief Change

- L : Set of well-formed formulas (with at least Boolean operators)
- $Cn: 2^L \rightarrow 2^L$ consequence operator (monotonic, idempotent and conclusive)
- B_L : Sets of belief sets = Cn –closed sets in 2^L
 - Single inconsistent belief-set = L
- AGM considers three types of operators op all of signature $op: B_L \times L \rightarrow B_L$
 - Expansion: $X + \psi$
 - Contraction: $X - \psi$
 - Revision: $X * \phi$

Types of Belief Change

- $X + \psi$ = expanding X by ψ
 - Result of adding ψ to X without considering inconsistencies
 - Desideratum: $\psi \in X + \psi$ or even $Cn(X \cup \{\psi\}) = X + \psi$
- $X - \psi$ = contracting X by ψ
 - Result of deleting ψ and other sentences such that ψ no longer follows (is contained in the resulting belief set)
 - Desiderata: $\psi \notin X - \psi$; $X - \psi \subseteq X$; ...
- $X * \psi$ = revising X by ψ
 - Result of adding consistently ψ
 - Desiderata: $\psi \in X * \psi$; $X * \psi \neq L$ if $Cn(\psi) \neq L$

Desiderata captured by AGM postulates

(here for revision)

- (R1) $X * \psi \in BS_L$ (closure)
- (R2) $\psi \in X * \psi$ (success)
- (R3) $X * \psi \subseteq Cn(X \cup \{\psi\})$ (inclusion)
- (R4) If $\neg\psi \notin Cn(X)$ then $Cn(X \cup \{\psi\}) \subseteq X * \psi$ (vacuity)
- (R5) If $Cn(X * \psi) = L$ then $\neg\psi \in Cn(\emptyset)$ (consistency)
- (R6) If $\phi \leftrightarrow \psi \in Cn(\emptyset)$, then $X * \phi = X * \psi$ (extensionality)

- (R7) $X * (\phi \wedge \psi) \subseteq Cn((X * \phi) \cup \{\psi\})$ (conjunction 1)
- (R8) If $\neg\beta \notin Cn$, then (conjunction 2)
$$Cn((X * \phi) \cup \{\psi\}) \subseteq X * (\phi \wedge \psi)$$

(Note: Postulate is not axiom: talks about Cn)

Semantics for AGM

- Postulates generally specify whole classes of operators (exception: expansion)
- How to construct concrete change operators?
- Different design principles
 - Partial meet based on remainder sets (considered here)
 - Orders (epistemic entrenchment)
 - Systems of spheres
 - ...

Remainder Sets: „Maximal Scenarios“

Definition (remainder set)

The **remainder** set $X \perp \alpha$ of X by α consists of all inclusion-maximal subsets of X not entailing α . The sets in $X \perp \alpha$ are called remainders.

Example

- $\{p, q\} \perp (p \wedge q) = \{\{p\}, \{q\}\}$
- $\{p \vee r, p \vee \neg r, q \wedge s, q \wedge \neg s\} \perp (p \wedge q) =$
 $\{\{p \vee r, p \vee \neg r\}, \{p \vee r, q \wedge s\},$
 $\{p \vee r, q \wedge \neg s\}, \{p \vee \neg r, q \wedge s\}, \{p \vee \neg r, q \wedge \neg s\}$

Selection function

Definition (selection function)

An AGM selection function $\gamma: 2^{B_L} \rightarrow 2^{B_L}$ for X fulfills:

1. If $X \perp \psi \neq \emptyset$, then $\emptyset \neq \gamma(X \perp \alpha) \subseteq X \perp \alpha$
2. $\gamma(\emptyset) = \{X\}$

- As there are many remainders (maximal scenarios) we need to select some of them as possible
- Chooses some remainders (if not empty).

Partial-Meet contraction and revision

Definition

- $X -_{\gamma} \psi = \bigcap \gamma(X \perp \psi)$ (partial meet contraction)
- $X *_{\gamma} \psi = \text{Cn}((X -_{\gamma} \neg\psi) \cup \{\psi\})$ (partial meet revision)

Revision operator defined here by so-called [Levi-identity](#) from contraction

Theorem (Representation)

An operator $*$ fulfills postulates (R1)-(R6) iff there is a selection function γ such that $X * \psi = X *_{\gamma} \psi$

Notes

- Similar representation result for contraction
- Partial meet revision does not necessarily fulfill (R7) and R(8); need to constrain γ further

AGM: integration with doxastic logic

- Work of Segerberg (Segerberg 1995, 1996)
 - Modal operators B_a , $[+\psi]$, $[-\psi]$, $[* \psi]$
 - $[* \psi]\phi =$ „ ϕ is true after revision by ψ “
- Internal version of doxastic logic (Aucher 2008)
 - Straightforward transfer of AGM representation theorems to multiagent case
- Distinguish several versions of belief (Baltag/Smets 2007, 2009)09
 - Soft beliefs: can be revised
 - Hard beliefs: cannot be revised

GROUP BELIEFS



Group beliefs

- Theory of group beliefs developed in the same way as for group knowledge ...
- $EB_J\phi := \bigwedge_{a \in J} B_a\phi$
- $CB_J\phi := EB_J\phi \wedge EB_JEB_J\phi \wedge \dots$
- $\mathcal{R}_{CB_J} := \left(\bigcup_{a \in J} \mathcal{R}_{B_a}\right)^+$
- Axiomatization of $KD45(B_a)$ with common belief
 - Axiomatics of $KD45(B_a)$
 - Fixed point axiom: $CB_J\phi \leftrightarrow (EB_J \wedge EB_JCB_J\phi)$
 - Least fixed point inference rule (induction rule)
 $\phi \rightarrow EB_J\phi \vdash EB_J\phi \rightarrow CB_J\phi$

Uhhh, a lecture with a hopefully useful


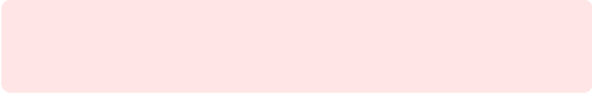

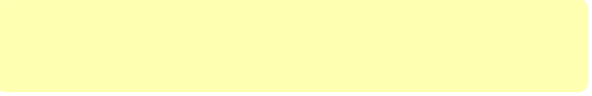
APPENDIX



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Color Convention in this course

- Formulae, when occurring inline
- Newly introduced terminology and definitions 
- Important **results (observations, theorems)** as well as emphasizing some aspects 
- **Examples** are given with standard orange with possibly light orange frame 
- Comments and notes 
- Algorithms 